

# Shared-state Monad

Denotational semantics for parallel execution

Yotam Dvir, Ohad Kammar, Ori Lahav

Tel Aviv University, University of Edinburgh

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## Roadmap to Contextual Equivalence via Denotational Semantics

- Equational Theory  $UU\text{-last } U_{x,v} U_{x,u} \chi = U_{x,u} \chi$
- Monad  $States \rightarrow X \times States$
- Denotations  $\llbracket x := z? \rrbracket = \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{*}) = \lambda \sigma. \langle *, (\begin{smallmatrix} x & y & z \\ \sigma_x & \sigma_y & \sigma_z \end{smallmatrix}) \rangle$
- Adequacy  $\llbracket M \rrbracket = \llbracket N \rrbracket \implies \forall C[-]. C[M] \cong C[N]$

### Research Question

How could this work with shared-state concurrency?

## Example – Global-state

$Locs = \{x, y, z\}$  ;  $Vals = \{0, 1\}$

(simplification for talk)

$$\llbracket x := y? ; x := z? \rrbracket = \tilde{L}_y(v. \tilde{U}_{x,v} \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{x}))$$

$$\text{(LU-comm)} \longrightarrow = \tilde{L}_y(v. \tilde{L}_z(u. \tilde{U}_{x,v} \tilde{U}_{x,u} \tilde{x}))$$

$$\text{(UU-last)} \longrightarrow = \tilde{L}_y(v. \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{x}))$$

$$\text{(L-noop)} \longrightarrow = \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{x}) = \llbracket x := z? \rrbracket$$

Fact (Adequacy for global-state [Folklore?])

$$\llbracket M \rrbracket = \llbracket N \rrbracket \implies \forall C[-]. C[M] \cong C[N]$$

Consider context  $C[M] := M ; x?$

$$\begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \quad x := y? ; x := z? ; x? \quad \rightarrow^* \quad \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \quad 1$$

$$\begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \quad x := z? ; x? \quad \rightarrow^* \quad \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \quad 1$$

# Global-state – Equations

$x, y, z$  – meta-variables for locations

(note the font:  $x$  vs.  $\mathbf{x}$ )

The equations come from the global-state theory [Plotkin & Power, 2002].

## Axioms

- **LU-noop**  $L_x(v. U_{x,v}\chi) = \chi$
- **UL-det**  $U_{x,u}L_x(v. \chi_v) = U_{x,u}\chi_u$
- **UU-last**  $U_{x,v}U_{x,u}\chi = U_{x,u}\chi$
- **LU-comm**  $L_x(v. U_{y,u}\chi_v) = U_{y,u}L_x(v. \chi_v) \quad (x \neq y)$
- **LL-comm** **UU-comm** (Different locations commute)

## Notable consequences

- **U-noop**  $L_x(v. U_{x,v}\chi_v) = L_x(v. \chi_v)$
- **L-noop**  $L_x(v. \chi) = \chi$
- **LL-diag**  $L_x(v. L_x(u. \chi_{v,u})) = L_x(v. \chi_{v,v})$  [Melliès, 2014]

# Global-state Monad

## Global-state Normal Form

$$L_z(w. U_{x,w} \star) = L_x(v. L_y(u. L_z(w. U_{x,w} U_{y,u} U_{z,w} \star)))$$

## Fact

Every equational theory induces a (bijectively)-unique monad over *Set*.

- $States := Locs \rightarrow Vals$
- $\underline{TX} := States \rightarrow X \times States$
- $\tilde{L}_x(v. t_v) := \lambda\sigma. t_{\sigma_x} \sigma$
- $\tilde{U}_{x,v} t := \lambda\sigma. t(\sigma[x := v])$

## Theorem (Representation for global-state [Plotkin & Power, 2002])

The free global-state model on  $X$  is  $FX := \langle \underline{TX}, \tilde{L}, \tilde{U} \rangle$  with

$$\begin{aligned} \text{ret} &: X \rightarrow \underline{TX} \\ \text{ret } \xi &:= \lambda\sigma. \langle \xi, \sigma \rangle \quad (\tilde{\xi} := \text{ret } \xi) \end{aligned}$$

# Shared-state – Intro

## Desired (Adequacy for shared-state)

$$\llbracket M \rrbracket = \llbracket N \rrbracket \implies \forall C[-]. \quad C[M] \cong C[N]$$

Consider context  $C[M] = M \parallel x?$

$$\begin{aligned} \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \quad x := y? ; x := z? \parallel x? &\rightarrow^* \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \quad (\langle \rangle, 0) \\ \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \quad x := z? \parallel x? &\not\rightarrow^* \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \quad (\langle \rangle, 0) \end{aligned}$$

## Question

Should we invalidate equations or change the denotations?

## Approach

$$\begin{aligned} \llbracket x := y? ; x := z? \rrbracket &\neq \tilde{L}_y(v. \tilde{U}_{x,v} \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{x})) \\ &= \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{x}) \neq \llbracket x := z? \rrbracket \end{aligned}$$

## Roadmap to Contextual Equivalence via Denotational Semantics

- Equational Theory
- Monad
- Denotations
- Adequacy (WIP)

# Shared-state – Operations

New operators reflecting **meaning** of programs:

**Expose**  $E_x$  : another thread did  $L_x(v. \dots)$

**Interfere**  $I_{x,v}$  : another thread did  $U_{x,v}$

**Choice**  $\Sigma$  : non-deterministic choice

$$\bullet \tilde{\mathbb{E}} := \tilde{\Sigma}_{s \in (\{\tilde{I}_{x,v} \mid x \in \text{Locs}, v \in \text{Vals}\} \cup \{\tilde{E}_x \mid x \in \text{Locs}\})^* s}$$

$$[x := z?] = \tilde{\mathbb{E}} \tilde{L}_z(u. \tilde{\mathbb{E}} \tilde{U}_{x,u} \tilde{\mathbb{E}} \tilde{x})$$

$$[x := y? ; x := z?] = \tilde{\mathbb{E}} \tilde{L}_y(v. \tilde{\mathbb{E}} \tilde{U}_{x,v} \tilde{\mathbb{E}} \tilde{L}_z(u. \tilde{\mathbb{E}} \tilde{U}_{x,u} \tilde{\mathbb{E}} \tilde{x}))$$

$$\bullet \text{ We will see: } [x := y? ; x := z?] \neq [x := z?]$$



# Shared-state – Equations

## Axioms

- Global-state
- **IL-det**  $I_{x,u}L_x(v.\chi_v) = I_{x,u}\chi_u$
- **II-last**  $I_{x,v}I_{x,u}\chi = I_{x,u}\chi$
- **EE-idem**  $E_xE_x\chi = E_x\chi$
- Different locations commute
- $L, E$  commute even in same location
- Standard ND-theory
- ND and other operators commute

## Notable consequences

- **IU-redr**  $I_{x,v}U_{x,v}\chi = I_{x,v}\chi$
- **UEU-redr**  $U_{x,v}E_xU_{x,v}\chi = U_{x,v}E_x\chi$

# Shared-state – Equations (cont.)

## Notable non-consequences

- **UI-redl**  $U_{x,v} I_{x,v} \chi = I_{x,v} \chi$ 
  - Similar to **IU-redr**  $I_{x,v} U_{x,v} \chi = I_{x,v} \chi$
  - Implies out-of-thin-air behaviour  $I_{x,0} U_{x,0}^* \parallel U_{x,0} I_{x,0}^* \Rightarrow U_{x,0} U_{x,0} \langle *, * \rangle$

$$\begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}, \langle \rangle \parallel \langle \rangle \quad \not\rightarrow^* \quad \begin{pmatrix} x & y & z \\ 0 & 0 & 1 \end{pmatrix}, (\langle \rangle, \langle \rangle)$$

- **LI-noop**  $L_x(v. I_{x,v} \chi) = \chi$ 
  - Analogous to **LU-noop**  $L_x(v. U_{x,v} \chi) = \chi$
  - The only  $U$  vs.  $I$  global-state analogue not included
  - Perhaps worrying that  $I$  can appear from nothing
  - Work-in-Progress
- **UI-gobr**  $I_{x,v} U_{x,u} \chi = I_{x,u} \chi$

# Shared-state Monad – Carrier

- $\underline{T}X := States \rightarrow P_{Countable}(X \times Histories)$ 
  - $P_{Countable}$  for ND
  - *Histories* instead of *States* for intervening effects
- *Histories* := *Locs*  $\rightarrow$  *Chronicles*
- *Chronicles* – certain sequences of  $U_v, I_v, E$ 
  - No adjacent same-type operators
  - Start with  $U$
  - $U$  after every  $I$  and every  $E$
  - No  $I_v U_v I_u$
  - No  $U_v E U_v E$

UU-last II-last EE-idem  
U-noop  
UEU-redr IU-redr  
IU-redr II-last  
UEU-redr EE-idem

## Example

- $U_0 E U_1 \in Chronicles$
- $U_0 I_0 E U_1 \notin Chronicles$
- $(U_0^x E U_1^y U_0^z U_1^z) \in Histories$
- $\lambda\sigma. \left\{ \left\{ \star, \left( U_{\sigma_y}^x E U_{\sigma_z}^y U_{\sigma_y}^z U_{\sigma_z}^z \right) \right\} \right\} \in \underline{T}\{\star\}$

# Shared-state Monad – Algebraic Operations

- $\tilde{L}_x(v. t_v) := \lambda\sigma. t_{\sigma_x}\sigma$
- $\tilde{U}_{x,v}t := \lambda\sigma. t(\sigma[x := v])$
- $\tilde{E}_x t := \lambda\sigma. \text{map}_x \overline{U_{\sigma_x} E}(t\sigma)$
- $\tilde{I}_{x,v} t := \lambda\sigma. \text{map}_x \overline{U_{\sigma_x} I_v}(t(\sigma[x := v]))$
- $\tilde{\Sigma}_{i < \alpha} t_i := \lambda\sigma. \bigcup_{i < \alpha} t_i\sigma$

## Example

$$\begin{aligned} & \tilde{L}_y(v. \tilde{U}_{x,v} \tilde{E}_x \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{\star})) \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix} \\ &= \tilde{E}_x \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{\star}) \begin{pmatrix} x & y & z \\ 0 & 0 & 1 \end{pmatrix} \\ &= \text{map}_x \overline{U_0 E} \left( \tilde{L}_z(u. \tilde{U}_{x,u} \tilde{\star}) \begin{pmatrix} x & y & z \\ 0 & 0 & 1 \end{pmatrix} \right) \\ &= \text{map}_x \overline{U_0 E} \left\{ \left\{ \star, \begin{pmatrix} x & y & z \\ U_1 & U_0 & U_1 \end{pmatrix} \right\} \right\} \\ &= \left\{ \left\{ \star, \begin{pmatrix} x & y & z \\ U_0 E U_1 & U_0 & U_1 \end{pmatrix} \right\} \right\} \end{aligned}$$

# Shared-state Monad – Representation Theorem

## Theorem (Representation for shared-state)

The free shared-state model on  $X$  is  $FX := \langle \underline{TX}, \tilde{L}, \tilde{U}, \tilde{E}, \tilde{I}, \tilde{\Sigma} \rangle$  with

$$\begin{aligned} \text{ret} : X &\rightarrow \underline{TX} \\ \text{ret } \xi &:= \lambda \sigma. \{ \langle \xi, ( \dots U_{\sigma_x}^x \dots ) \rangle \} \quad (\tilde{\xi} := \text{ret } \xi) \end{aligned}$$

# Shared-state – Denotational Semantics

$$\Gamma \vdash M : A \implies \llbracket M \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \underline{T}[A] \quad (\text{in our examples } \Gamma = \emptyset)$$

Standard sequential semantics [Moggi, 1991], e.g.

$$\begin{aligned} \llbracket \langle \rangle \rrbracket \gamma &:= \tilde{x} \\ \llbracket M ; N \rrbracket \gamma &:= \llbracket M \rrbracket \gamma \gg \lambda \cdot \llbracket N \rrbracket \gamma \end{aligned}$$

State effects including interference

$$\begin{aligned} \llbracket M? \rrbracket \gamma &:= \llbracket M \rrbracket \gamma \gg \lambda x. \tilde{\mathbb{E}} \tilde{L}_x (v. \tilde{\mathbb{E}} \tilde{v}) \\ \llbracket M := N \rrbracket \gamma &:= \llbracket M \rrbracket \gamma \gg \lambda x. \llbracket N \rrbracket \gamma \gg \lambda v. \tilde{\mathbb{E}} \tilde{U}_{x,v} \tilde{\mathbb{E}} \tilde{x} \\ \llbracket M \parallel N \rrbracket \gamma &:= \dots? \dots \end{aligned}$$

## Example

$$\llbracket x := z? \rrbracket \gamma = \llbracket x \rrbracket \gamma \gg \lambda x. \llbracket z? \rrbracket \gamma \gg \lambda v. \tilde{U}_{x,v} \tilde{\mathbb{E}} \tilde{x} = \tilde{\mathbb{E}} \tilde{L}_z (v. \tilde{\mathbb{E}} \tilde{U}_{x,v} \tilde{\mathbb{E}} \tilde{x})$$

## Shared-state – Back to the Example

Let's show:  $\llbracket x := y? ; x := z? \rrbracket \neq \llbracket x := z? \rrbracket$

- $\langle \star, (U_0^x EU_1^y U_0^z U_1^z) \rangle \in \tilde{\mathbb{E}}\tilde{L}_y(v. \tilde{\mathbb{E}}\tilde{U}_{x,v} \tilde{\mathbb{E}}\tilde{L}_z(u. \tilde{\mathbb{E}}\tilde{U}_{x,u} \tilde{\mathbb{E}}\tilde{x})) \binom{x \ y \ z}{1 \ 0 \ 1}$   
 $= \llbracket x := y? ; x := z? \rrbracket \binom{x \ y \ z}{1 \ 0 \ 1}$
- $\langle \star, (U_0^x EU_1^y U_0^z U_1^z) \rangle \notin \tilde{\mathbb{E}}\tilde{L}_z(u. \tilde{\mathbb{E}}\tilde{U}_{x,u} \tilde{\mathbb{E}}\tilde{x}) \binom{x \ y \ z}{1 \ 0 \ 1} = \llbracket x := z? \rrbracket \binom{x \ y \ z}{1 \ 0 \ 1}$ 
  - Can't take  $\tilde{L}_{x,-}$  in any of the  $\tilde{\mathbb{E}}$ 's – get stuck with it
  - Essentially 8 options remain (where to take  $\tilde{E}_x$ ) – easy to check

## Example

$$\begin{aligned}
& \tilde{L}_y(u. \tilde{U}_{x,u} \tilde{E}_x \quad \tilde{L}_z(w. \tilde{U}_{x,w} \tilde{*})) \quad \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix} \subseteq \tilde{\mathbb{I}\mathbb{E}}[x := y? ; x := z?] \quad \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix} \\
& \tilde{E}_y \quad \tilde{I}_{x,0} \tilde{L}_x(v. \tilde{E}_z \quad \tilde{I}_{x,1} \quad \tilde{v}) \quad \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix} \subseteq \tilde{\mathbb{I}\mathbb{E}}[x?] \quad \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix} \\
& \tilde{L}_y(u. \tilde{U}_{x,u} \tilde{L}_x(v. \tilde{L}_z(w. \tilde{U}_{x,w} \langle \tilde{*}, \tilde{v} \rangle))) \quad \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix} \subseteq [x := y? ; x := z? \parallel x?] \quad \begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}
\end{aligned}$$

$y = 0$	$L_y$	$E_y$	$\begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}$	$L_y$
	$U_{x,0}$	$I_{x,0}$	$\begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}$	$U_{x,0}$
$x = 0$	$E_x$	$L_x$	$\begin{pmatrix} x & y & z \\ 0 & 0 & 1 \end{pmatrix}$	$L_x$
$z = 1$	$L_z$	$E_z$	$\begin{pmatrix} x & y & z \\ 0 & 0 & 1 \end{pmatrix}$	$L_z$
	$U_{x,1}$	$I_{x,1}$	$\begin{pmatrix} x & y & z \\ 0 & 0 & 1 \end{pmatrix}$	$U_{x,1}$
	$*$	$0$	$\begin{pmatrix} x & y & z \\ 1 & 0 & 1 \end{pmatrix}$	$\langle *, 0 \rangle$

$$[M \parallel N]_{\gamma} := \lambda \sigma. \bigcup \left\{ \tilde{r}\sigma \mid \begin{array}{l} \exists t, s. \quad t \parallel s \xRightarrow{\sigma} r \\ \wedge \quad \tilde{t}\sigma \subseteq \tilde{\mathbb{I}\mathbb{E}}[M]_{\gamma}\sigma \\ \wedge \quad \tilde{s}\sigma \subseteq \tilde{\mathbb{I}\mathbb{E}}[N]_{\gamma}\sigma \end{array} \right\}$$



# Shared-state Monad – Soundness Theorem

## Theorem (Soundness for shared-state)

Assuming  $\vdash M : A$ ,

$$\sigma, M \rightarrow \sigma', M' \implies [M]\sigma \sqsupseteq [M']\sigma'$$

# Conclusion

## Summary




- Definition of algebraic theory for shared-state
- Representation theorem: free model defined explicitly
- Soundness theorem: assuming  $\vdash M : A$ ,

$$\sigma, M \rightarrow \sigma', M' \implies \llbracket M \rrbracket \sigma \supseteq \llbracket M' \rrbracket \sigma'$$

## Future Prospects

- Prove Adequacy:  $\llbracket M \rrbracket = \llbracket N \rrbracket \implies \forall C[-]. C[M] \cong C[N]$
- Type-and-effect systems (modular effects)
- Weak-memory models

# References

-  [Eugenio Moggi](#)  
Notions of Computation and Monads
-  [Gordon D. Plotkin and John Power](#)  
Notions of Computation Determine Monads
-  [Paul-André Melliès](#)  
Local States in String Diagrams

# Syntactic Sync Rules

$$\frac{t \parallel s \xrightarrow{\sigma[x:=v]} r}{I_{x,v} t \parallel U_{x,v} s \xRightarrow{\sigma} U_{x,v} r} \text{ U-RIGHT}$$

$$\frac{t \parallel s_{\sigma_x} \xRightarrow{\sigma} r_{\sigma_x}}{E_x t \parallel L_x(v. s_v) \xRightarrow{\sigma} L_x(v. r_v)} \text{ L-RIGHT}$$

$$\frac{t \parallel s \xrightarrow{\sigma[x:=v]} r}{U_{x,v} t \parallel I_{x,v} s \xRightarrow{\sigma} U_{x,v} r} \text{ U-LEFT}$$

$$\frac{t_{\sigma_x} \parallel s \xRightarrow{\sigma} r_{\sigma_x}}{L_x(v. t_v) \parallel E_x s \xRightarrow{\sigma} L_x(v. r_v)} \text{ L-LEFT}$$

$$\frac{t \parallel s \xrightarrow{\sigma[x:=v]} r}{I_{x,v} t \parallel I_{x,v} s \xRightarrow{\sigma} I_{x,v} r} \text{ I}$$

$$\frac{t \parallel s \xRightarrow{\sigma} r}{E_x t \parallel E_x s \xRightarrow{\sigma} E_x r} \text{ E}$$

$$\frac{}{\xi \parallel \zeta \xRightarrow{\sigma} \langle \xi, \zeta \rangle} \text{ VAR}$$

# Shared-state Monad – Representation Theorem

## Theorem (Representation for shared-state)

The free shared-state model on  $X$  is  $FX := \langle \underline{TX}, \tilde{L}, \tilde{U}, \tilde{E}, \tilde{I}, \tilde{\Sigma} \rangle$  with

$$\begin{aligned} \text{ret} &: X \rightarrow \underline{TX} \\ \text{ret } \xi &:= \lambda \sigma. \{ \langle \xi, (\dots U_{\sigma_x}^x \dots) \rangle \} \quad (\tilde{\xi} := \text{ret } \xi) \end{aligned}$$

$$- \gg f : \underline{TX} \rightarrow \underline{A}$$

$$t \gg f := \tilde{L}^{\vec{A}}(\vec{v}. \sum_{\langle \xi, \eta \rangle \in t\vec{v}} [\eta]^A (f\xi))$$

- $A$  is a shared-state model
- $f : X \rightarrow \underline{A}$