



TEL AVIV UNIVERSITY

# Information Security – Theory vs. Reality

0368-4474, Winter 2015-2016

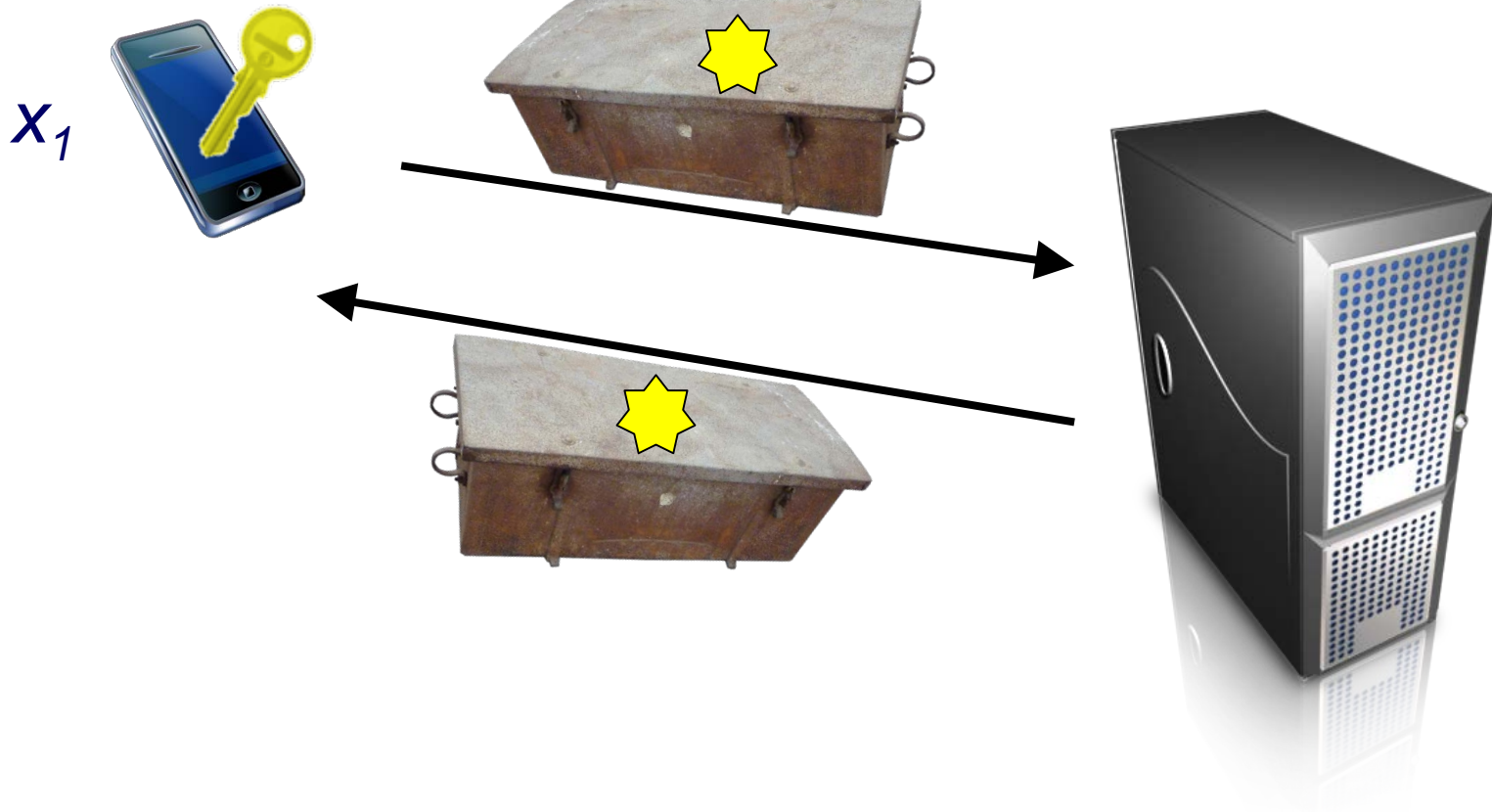
## **Lecture 11: Fully homomorphic encryption**

Lecturer:  
**Eran Tromer**

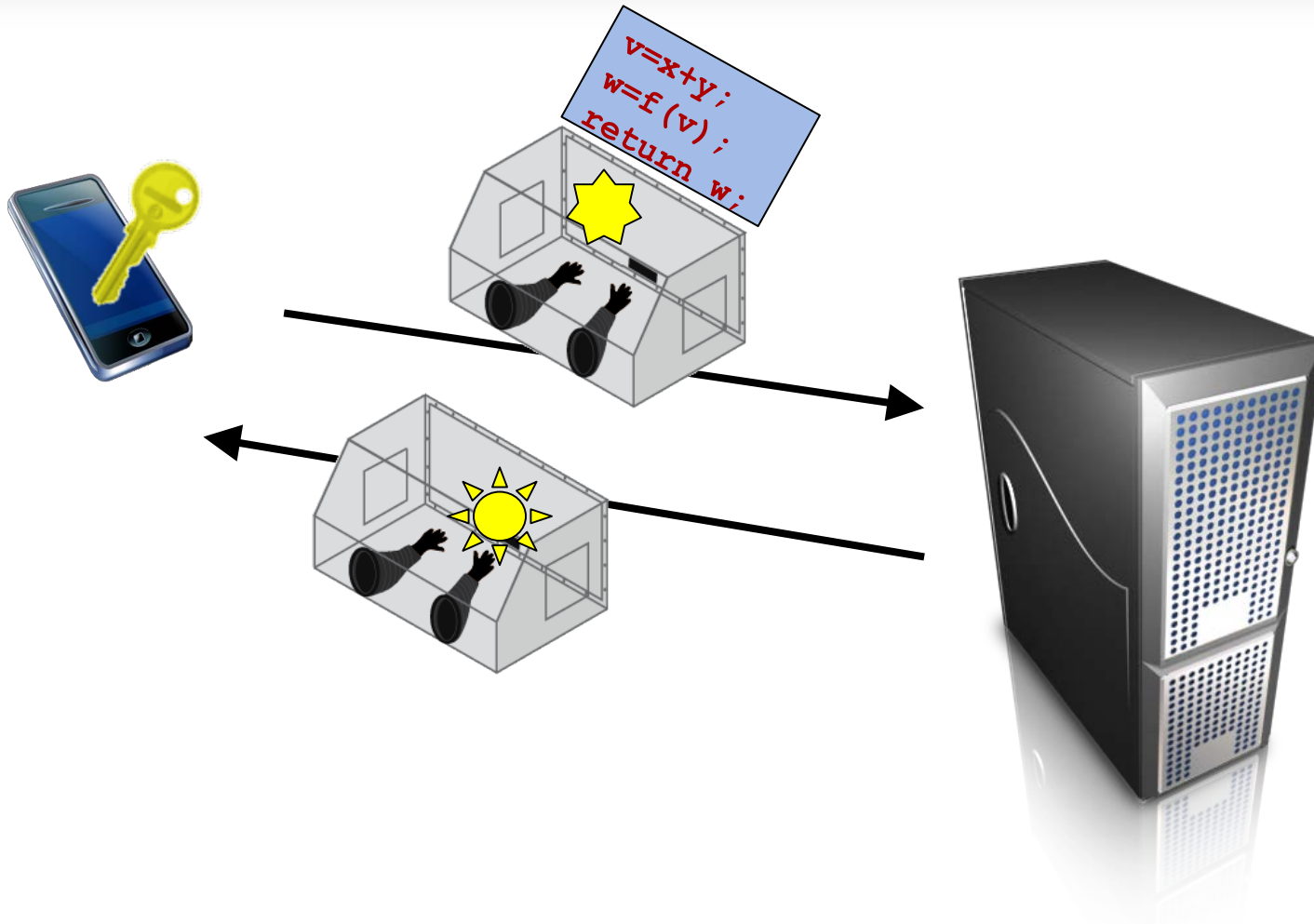
Including presentation material by  
Vinod Vaikuntanathan, MIT

# Fully Homomorphic Encryption

# Confidentiality of static data: plain encryption



# Confidentiality of data inside computation: Fully Homomorphic Encryption



# Fully Homomorphic Encryption

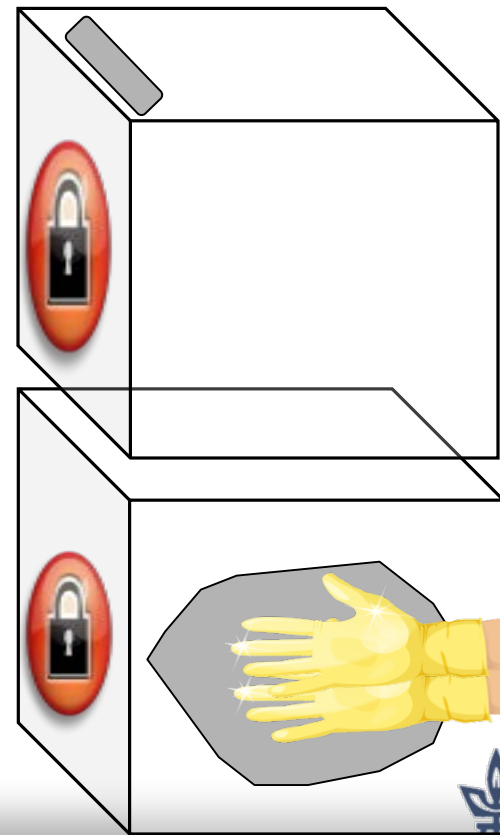
- Goal: delegate computation on data without revealing it
- A confidentiality goal



# Example 1: Private search

Delegate processing of data  
without revealing it

- ▶ **You:** Encrypt the query,  
send to Google  
(Google does not know the key,  
cannot “see” the query)
- ▶ **Google:** Encrypted query →  
Encrypted results  
(You decrypt and recover the  
search results)



# Example 2: Private Cloud Computing

Delegate processing of data  
without revealing it

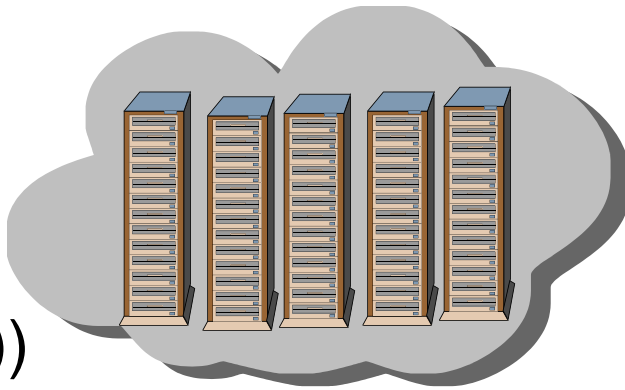


(Input: x)

Encrypt x  
→

$(\text{Enc}(x), P) \rightarrow \text{Enc}(P(x))$

←



(Program: P)



# Fully Homomorphic Encryption

**Encrypted  $x$ , Program  $P \rightarrow$  Encrypted  $P(x)$**

**Definition:** (KeyGen, Enc, Dec, **Eval**)

(as in regular public/private-key encryption)

□ **Correctness of Eval:** For every input  $x$ , program  $P$

– If  $c = \text{Enc}(\text{PK}, x)$  and  $c' = \text{Eval}(\text{PK}, c, P)$ ,

then  $\text{Dec}(\text{SK}, c') = P(x)$ .

□ **Compactness:** Length of  $c'$  independent of size of  $P$

□ **Security:** semantic security / indistinguishability [GM82]





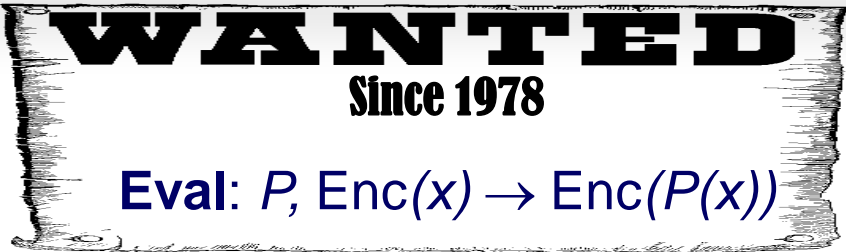
# History of Fully Homomorphic Encryption

– **First Defined:**

“Privacy homomorphism”

[Rivest Adleman Dertouzos 78]

motivation: searching encrypted data



• **Limited homomorphism:**

- RSA & El Gamal: multiplicatively homomorphic

multiply ciphertexts  $\mapsto$  multiply plaintext

- GM & Paillier: additively homomorphic

plaintext in exponent

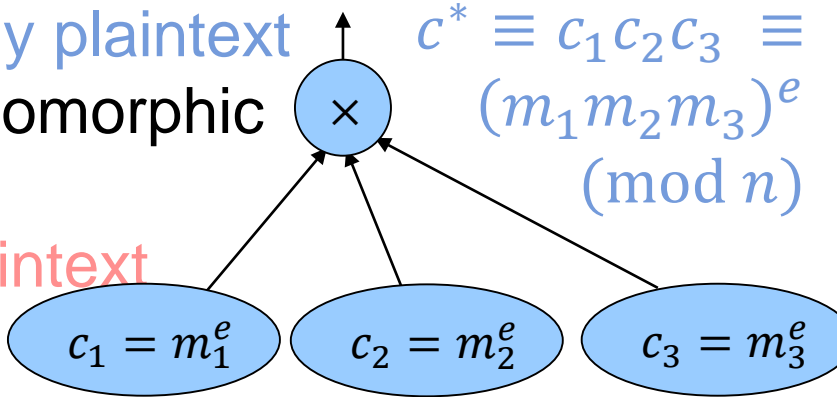
multiply ciphertext  $\mapsto$  add plaintext

- Quadratic formulas

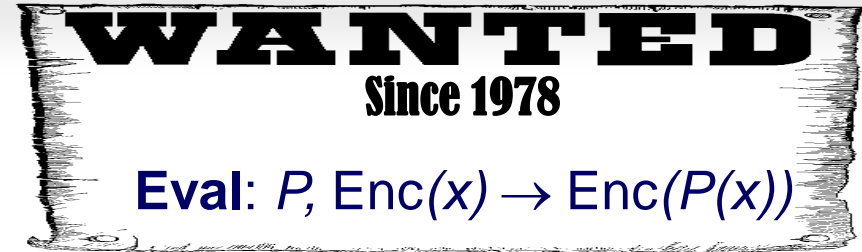
[BGN 05] [GHV 10]

• **Non-compact homomorphic encryption:**

- Based on Yao garbled circuits
- [SYY 99] [MGH 08]:  $c^*$  grows exp with degree/depth
- [IP 07] branching programs



# Fully Homomorphic Encryption



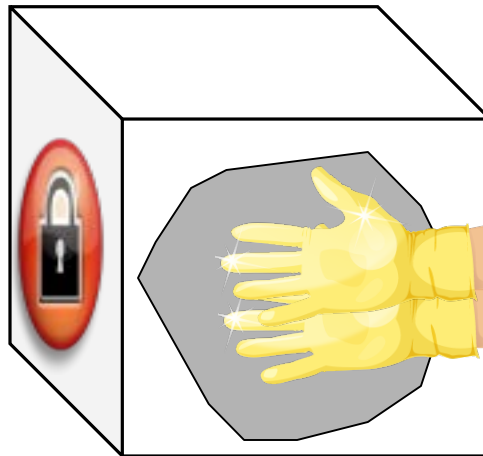
## Big Breakthrough: [Gentry09]

**First Construction of Fully Homomorphic Encryption**  
using algebraic number theory & “ideal lattices”

- ▶ Full-semester course
- ▶ Today: an alternative construction [DGHV 10]
  - using just integer addition and multiplication
  - easier to understand, implement and improve



Constructing  
**fully-homomorphic encryption**  
assuming  
**hardness of approximate GCD**



# A Roadmap

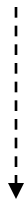


**1. Secret-key “Somewhat”** Homomorphic Encryption  
(under the approximate GCD assumption)



(a simple transformation)

**2. Public-key “Somewhat”** Homomorphic Encryption  
(under the approximate GCD assumption)





(borrows from Gentry’s techniques)

**3. Public-key FULLY** Homomorphic Encryption  
(under approx GCD + sparse subset sum)



# Secret-key Homomorphic Encryption

- ① Secret key: a large  odd number  $p$  (sec. param =  $n$ )
- ② To Encrypt a bit  $b$ :
  - pick a random “large” multiple of  $p$ , say  $q \cdot p$  ( $q \sim n^5$  bits)
  - pick a random “small” even number  $2 \cdot r$  ( $r \sim n$  bits)
  - Ciphertext  $c = q \cdot p + 2 \cdot r + b$ 

“noise”
- ③ To Decrypt a ciphertext  $c$ :
  - $c \pmod{p} = 2 \cdot r + b \pmod{p}$  
  - read off the least significant bit



# Secret-key Homomorphic Encryption

## ④ How to Add and Multiply Encrypted Bits:

– Add/Mult two near-multiples of  $p$  gives a near-multiple of  $p$ .

$$- \mathbf{c}_1 = q_1 \cdot p + (2 \cdot r_1 + b_1), \mathbf{c}_2 = q_2 \cdot p + (2 \cdot r_2 + b_2)$$

$$- \mathbf{c}_1 + \mathbf{c}_2 = \mathbf{p} \cdot (q_1 + q_2) + \underbrace{2 \cdot (r_1 + r_2) + (b_1 + b_2)}_{\text{LSB} = b_1 \text{ XOR } b_2} \ll \mathbf{p}$$

$$- \mathbf{c}_1 \mathbf{c}_2 = \mathbf{p} \cdot (c_2 \cdot q_1 + c_1 \cdot q_2 - q_1 \cdot q_2) + \underbrace{2 \cdot (r_1 r_2 + r_1 b_2 + r_2 b_1) + b_1 b_2}_{\text{LSB} = b_1 \text{ AND } b_2} \ll \mathbf{p}$$



# Problems



## ① Ciphertext grows with each operation

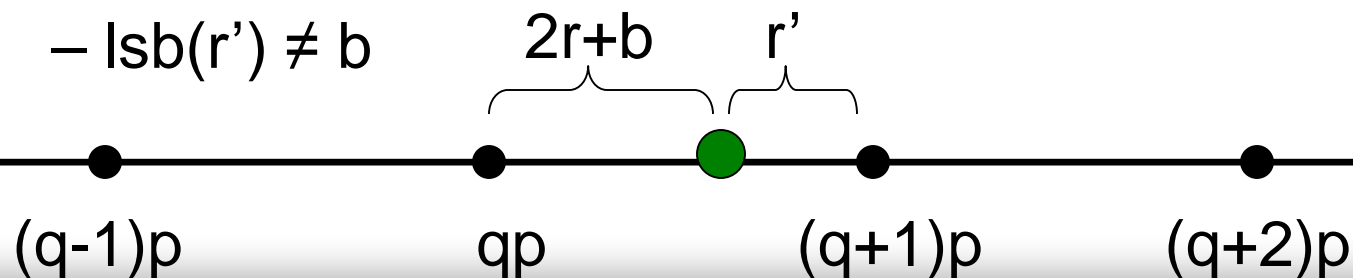
- ❖ Useless for many applications (cloud computing, searching encrypted e-mail)

## ② Noise grows with each operation

– Consider  $c = qp + 2r + b \leftarrow \text{Enc}(b)$

–  $c \pmod{p} = r' \neq 2r + b$

–  $\text{lsb}(r') \neq b$



# Problems



## ① Ciphertext grows with each operation

- ❖ Useless for many applications (cloud computing, searching encrypted e-mail)

## ② Noise grows with each operation

- ❖ Can perform “limited” number of hom. operations
- ❖ What we have: **“Somewhat Homomorphic”** Encryption





# Public-key Homomorphic Encryption

❶ Secret key: an  $n^2$ -bit odd number  $p$

Public key:  $[q_0p+2r_0, q_1p+2r_1, \dots, q_t p+2r_t] \stackrel{\Delta}{=} (x_0, x_1, \dots, x_t)$

–  $t+1$  encryptions of 0

– Wlog, assume that  $x_0$  is the largest of them

❷ To Decrypt a ciphertext  $c$ :

–  $c \pmod{p} = 2 \cdot r + b \pmod{p} = 2 \cdot r + b$

– read off the least significant bit

❸ Eval (as before)



# Public-key Homomorphic Encryption

- 1 Secret key: an  $n^2$ -bit odd number  $p$

Public key:  $[q_0p+2r_0, q_1p+2r_1, \dots, q_t p+2r_t] \stackrel{\Delta}{=} (x_0, x_1, \dots, x_t)$

- 2 To Encrypt a bit  $b$ : pick random subset  $S \subseteq [1 \dots t]$

$$c = \sum_{i \in S} x_i + 2r + b \pmod{x_0}$$

- 3 To Decrypt a ciphertext  $c$ :

- $c \pmod{p} = 2 \cdot r + b \pmod{p} = 2 \cdot r + b$
- read off the least significant bit

- 4 Eval (as before)



# Public-key Homomorphic Encryption

- ❶ Secret key: an  $n^2$ -bit odd number  $p$

Public key:  $[q_0p+2r_0, q_1p+2r_1, \dots, q_t p+2r_t] \stackrel{\Delta}{=} (x_0, x_1, \dots, x_t)$

- ❷ To Encrypt a bit  $b$ : pick random subset  $S \subseteq [1 \dots t]$

$$c = \sum_{i \in S} x_i + 2r + b \pmod{x_0}$$

$$\begin{aligned} c &= p \left[ \sum_{i \in S} q_i \right] + 2 \left[ r + \sum_{i \in S} r_i \right] + b \pmod{x_0} \quad (\text{mod } x_0 \text{ for a small } k) \\ &= p \left[ \sum_{i \in S} q_i - kq_0 \right] + 2 \left[ r + \sum_{i \in S} r_i - kr_0 \right] + b \\ &\quad (\text{mult. of } p) + (\text{“small” even noise}) + b \end{aligned}$$



# Public-key Homomorphic Encryption

## Ciphertext Size Reduction

- ❶ Secret key: an  $n^2$ -bit odd number  $p$

Public key:  $[q_0p+2r_0, q_1p+2r_1, \dots, q_t p+2r_t] \stackrel{\Delta}{=} (x_0, x_1, \dots, x_t)$

- ❷ To Encrypt a bit  $b$ : pick random subset  $S \subseteq [1 \dots t]$

$$c = \sum_{i \in S} x_i + 2r + b \pmod{x_0}$$

- ❸ To Decrypt a ciphertext  $c$ :

- $c \pmod{p} = 2 \cdot r + b \pmod{p} = 2 \cdot r + b$
- read off the least significant bit

- ❹ Eval: Reduce mod  $x_0$  after each operation



# Public-key Homomorphic Encryption

## Ciphertext Size Reduction

- ① Secret key: an  $n^2$ -bit odd number  $p$

Public key:  $[q_0p+2r_0, q_1p+2r_1, \dots, q_t p+2r_t] \stackrel{\Delta}{=} (x_0, x_1, \dots, x_t)$

- Resulting ciphertext  $< x_0$
- Underlying bit is the same (since  $x_0$  has even noise)
- Noise does not increase by much<sup>(\*)</sup>

- read out least significant bit

④ Eval: Reduce mod  $x_0$  after each operation



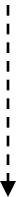
# A Roadmap



**Secret-key “Somewhat” Homomorphic Encryption**



**Public-key “Somewhat” Homomorphic Encryption**



**3. Public-key FULLY Homomorphic Encryption**



# How “Somewhat” Homomorphic is this?

Can evaluate (multi-variate) polynomials with  $m$  terms, and maximum degree  $d$  if  $d \ll n$ .

$$m \cdot 2^{nd} < p/2 = 2^{n^2}/2 \quad \text{or} \quad d \sim n$$

$$f(x_1, \dots, x_t) = \underbrace{x_1 \cdot x_2 \cdot x_d + \dots + x_2 \cdot x_5 \cdot x_{d-2}}_{m \text{ terms}}$$

$m$  terms

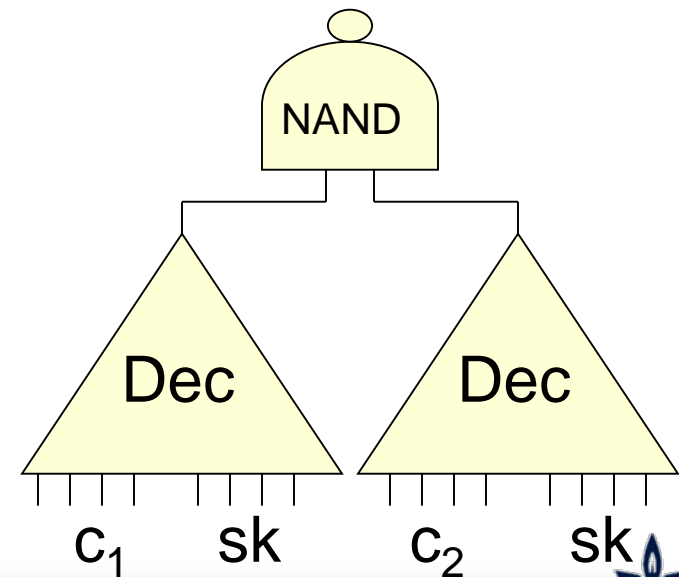
Say, noise in  $\text{Enc}(x_i) < 2^n$

$$\text{Final Noise} \sim (2^n)^d + \dots + (2^n)^d = m \cdot (2^n)^d$$



# Bootstrapping: from “somewhat HE” to “fully HE”

## Decrypt-then-NAND circuit





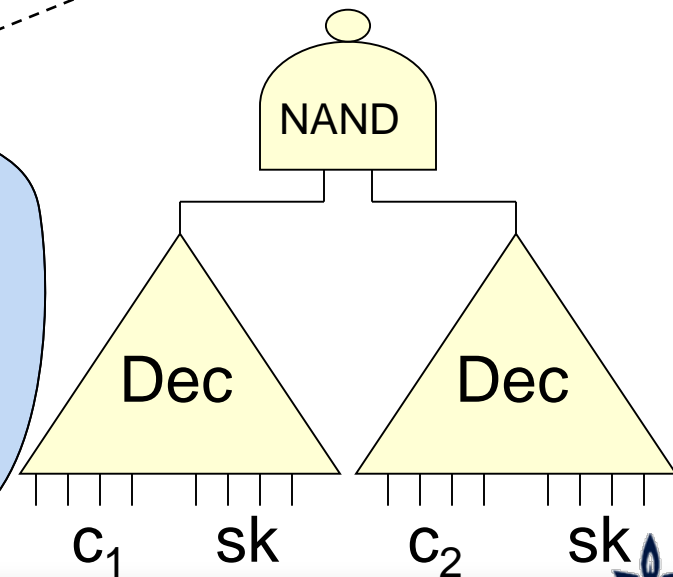
# Bootstrapping: from “somewhat HE” to “fully HE”

**Theorem [Gentry'09]:** Convert “bootstrappable”  $\rightarrow$  FHE.

**FHE = Can eval all circuits**

**“Bootstrappable”**

Decrypt-then-NAND  
circuit



# Is our Scheme “Bootstrappable”?

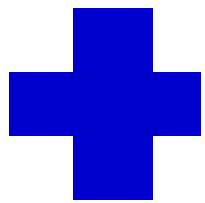
What functions can the scheme evaluate?

(polynomials of degree  $< n$ )

(?)  
 $\supseteq$

Complexity of the Decrypt-then-NAND circuit

(degree  $\sim n^{1.73}$  polynomial)



Can be made bootstrappable by “preprocessing” some of the decryption outside the decryption circuit (Following [Gentry 09])



**Caveat:** Assume Hardness of “Sparse Subset Sum”



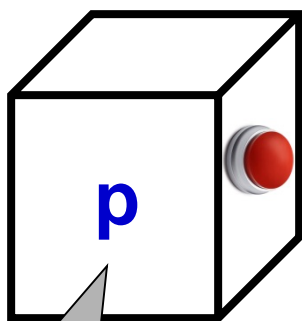
# Security

(of the “somewhat” homomorphic scheme)

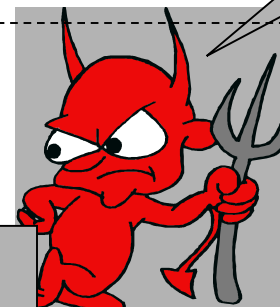


# The Approximate GCD Assumption

**Parameters of the Problem:** Three numbers  $P, Q$  and  $R$



$(q_1p+r_1, \dots, q_t p+r_t)$



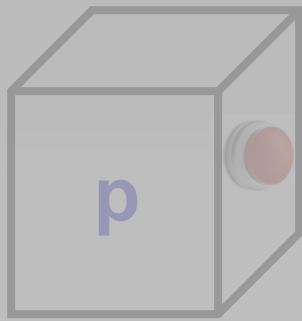
$q_1 \leftarrow [0 \dots Q]$   
 $r_1 \leftarrow [-R \dots R]$

**Assumption:** no PPT adversary can guess the number  $p$

odd  $p \leftarrow [0 \dots P]$

$p?$





$$(q_1p+r_1, \dots, q_t p+r_t)$$

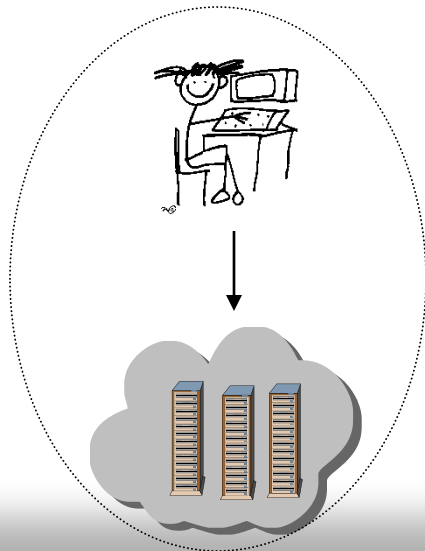


Assumption: no PPT adversary can guess the number  $p$

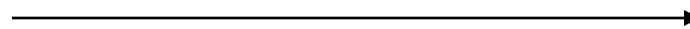
(proof of security)



**Semantic Security** [GM'82]: no PPT adversary can guess the bit  $b$



$$PK = (q_0p+2r_0, \{q_i p+2r_i\})$$



$$Enc(b) = (qp+2r+b)$$



# Progress in FHE

▶ “Galactic” → “Efficient”

Asymptotically: nearly *linear-time*\* algorithms

Practically:

- Implementations, including bootstrapping and “packing”  
[github.com/shaih/HElib](https://github.com/shaih/HElib)      [github.com/lducas/FHEW](https://github.com/lducas/FHEW)
- a few milliseconds for Enc, Dec [LNV’11, Gentry Halevi Smart ‘11]
- a few minutes (amortized) for evaluating an AES block [GHS ‘12]
- single bootstrapping < 1 second [Ducas Micciancio ‘14]
- bootstrapping takes 5.5 minutes and allows a “payload” of depth 9 computation on  $GF(2^{16})^{1024}$  vectors

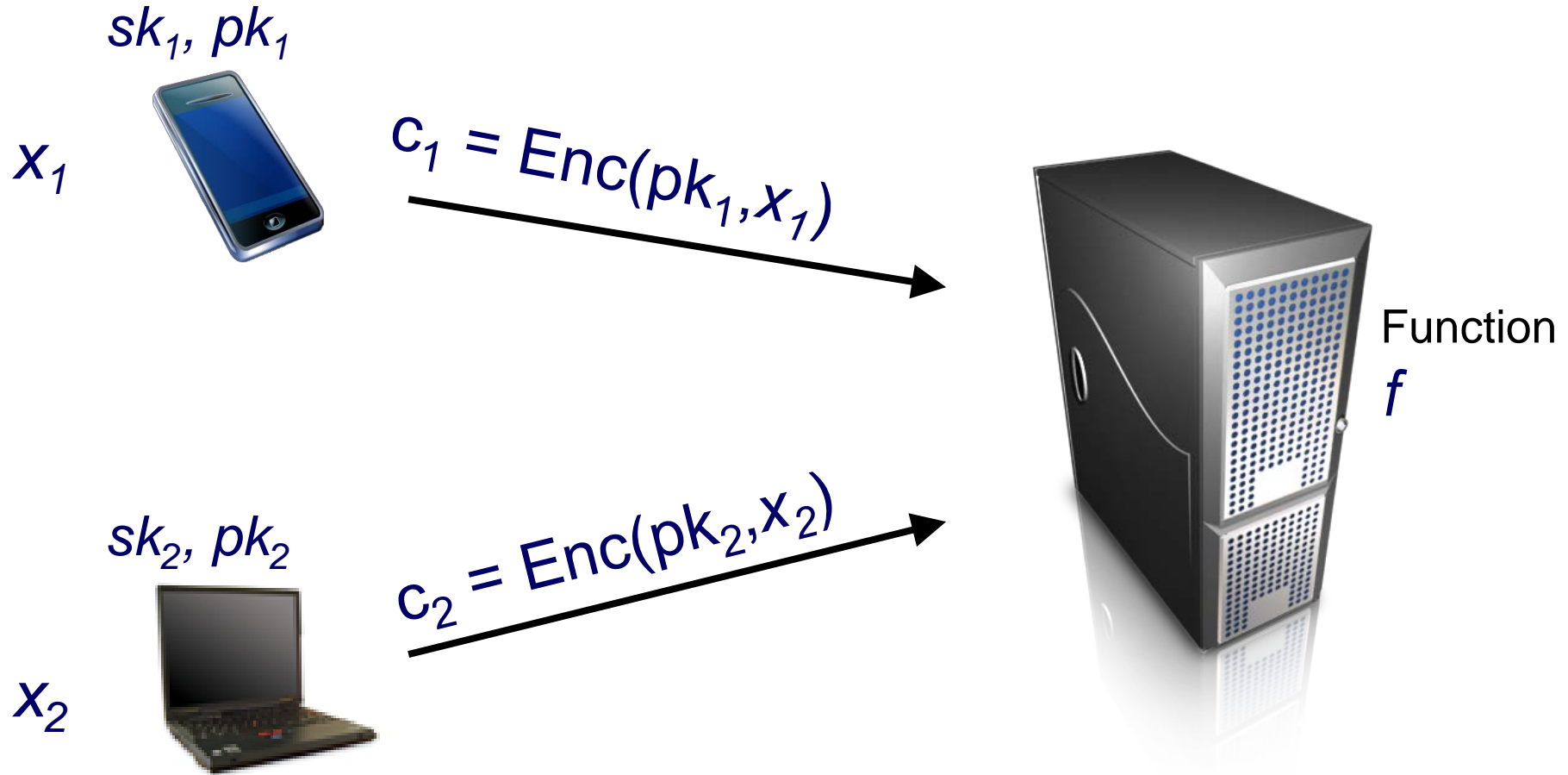
▶ **Strange assumptions** → **Mild assumptions**

- **Best Known [BGV11]**: (leveled) FHE from worst-case hardness of  $n^{O(\log n)}$ -approx short vectors on lattices

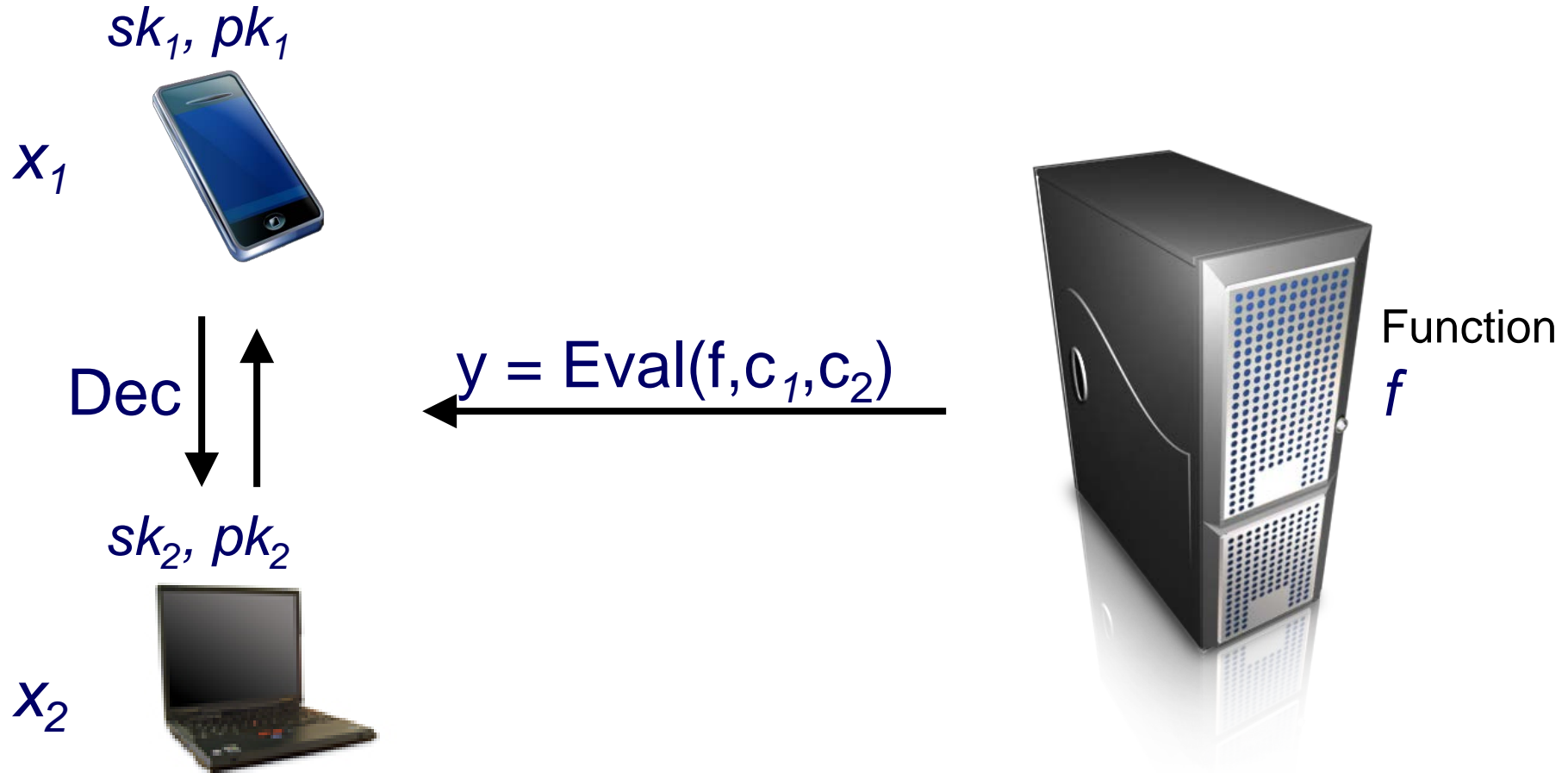
\*linear-time in the security parameter



# Multi-key FHE



# Multi-key FHE



**Correctness:**  
 $\text{Dec}(sk_1, sk_2, y) = f(x_1, x_2)$





# Fully Homomorphic Encryption

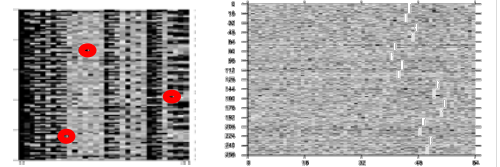
Whiteboard discussion:

- Properties
- Performance
- Contrast with obfuscation
- Usefulness

# Protecting memory using Oblivious RAM

# Motivation: memory/storage attacks

- Physical attacks
  - Memory/storage is on a physical separate device (DRAM chip, SD card, hard disk, ...)
  - Communication between CPU and device is easy to tap
  - Memory/storage device may be under attack or stolen
    - Aggravated by data remanence problem
- Software side channels
  - Leakage of accesses memory addresses across software confinement boundaries (via data cache, instruction cache, page table, ...)
- Network attacks
  - External storage (file server, Network Attached Storage, cloud service, ...)
  - Remote server/appliance/provider may be compromised



# Protecting against memory attack

- Computation model:
  - Random access memory
  - Small processor (logarithmic in memory size)
- Leakage/tampering model:
  - All memory accesses (both data and address) leak or are corrupted arbitrary (relaxation: by polytime adversary)
  - Processor assumed secure
- Goal: a compiler that converts any program into one that resists memory attacks
  - Functionality: input/output precisely preserved
  - Security: privacy against leakage [MR04] with suitable (restricted) circuit classes and admissible functions



# Protecting memory content from leakage

- Encrypt the whole memory as a single message

**INEFFICIENT**

- Encrypt every block separately

**INSECURE**

– encrypt block data using AES

**INSECURE**

– encrypt block number + data using AES

**OK** – encrypt block using semantically-secure (probabilistic encryption)

- Keep the decryption key inside the secure processor



# Protecting memory content from corruption

- Sign every block, keep the signing key inside the secure processor
- Hash every block, keep digests inside the secure processor
- Using Merkle trees
  - Maintain a Merkle hash tree over the memory
  - Merkle nodes stored in the untrusted memory
  - Merkle root stored in secure processor
  - At every read, processor verifies Merkle path
  - At every write, update Merkle path

**INSECURE**

**INEFFICIENT**

**OK**



## Protecting against memory access leakage

**Compile any program  $P$  and memory size  $n$  into a new program  $P'$ , such that:** (this definition follows [Chung Pass 2013])

For any  $P$  with memory size  $n$ , and input  $x$ :

- **Correctness:**  $P'(x) = P(x)$  (up to some small failure probability)
- **Efficiency:**
  - $P'$  on  $x$  runs  $c(n)$  times longer than  $P$  on  $x$ , where  $c(\cdot)$  is the computational overhead
  - $P'$  uses memory of size  $m(n) \cdot n$ , where  $m(\cdot)$  is the memory overhead
  - Extra registers in secure processor

- **Obliviousness (security):**

For any  $P_1, P_2$  with memory size  $n$ , and inputs  $x_1, x_2$ ,

such that the number of memory accesses done by  $P_1$  on  $x_1$

is the same as  $P_2$  on  $x_2$ ,

the `(address, val)` memory transcript of  $P'_1$  on  $x_1$  is

statistically close to that of  $P'_2$  on  $x_2$ .



# “Simple ORAM” construction

[Chung Pass '13]

Given a program  $P$  and memory size  $n$ , output  $P'$ :

$P'$  proceeds like  $P$ , except:

- $read(r) \mapsto Oread(r)$
- $write(r, val) \mapsto Owrite(r, val)$
- Memory divided into blocks of size  $\alpha$ .
- External memory holds a complete binary tree of depth  $d = \log\left(\frac{n}{\alpha}\right)$
- $Pos$  maps each memory blocks  $b$  to a leaf  $pos$ .

*Invariant: the content of block  $b$  is stored somewhere along path to  $pos$ .*

- Each node contains a bucket: at most  $K$  tuples  $(b, pos, data)$  where  $b$  is a block index and  $v$  is the block's data.  
(  $K = \text{polylog}(n)$  )
- All registers and memory are initialized to  $\perp$ .

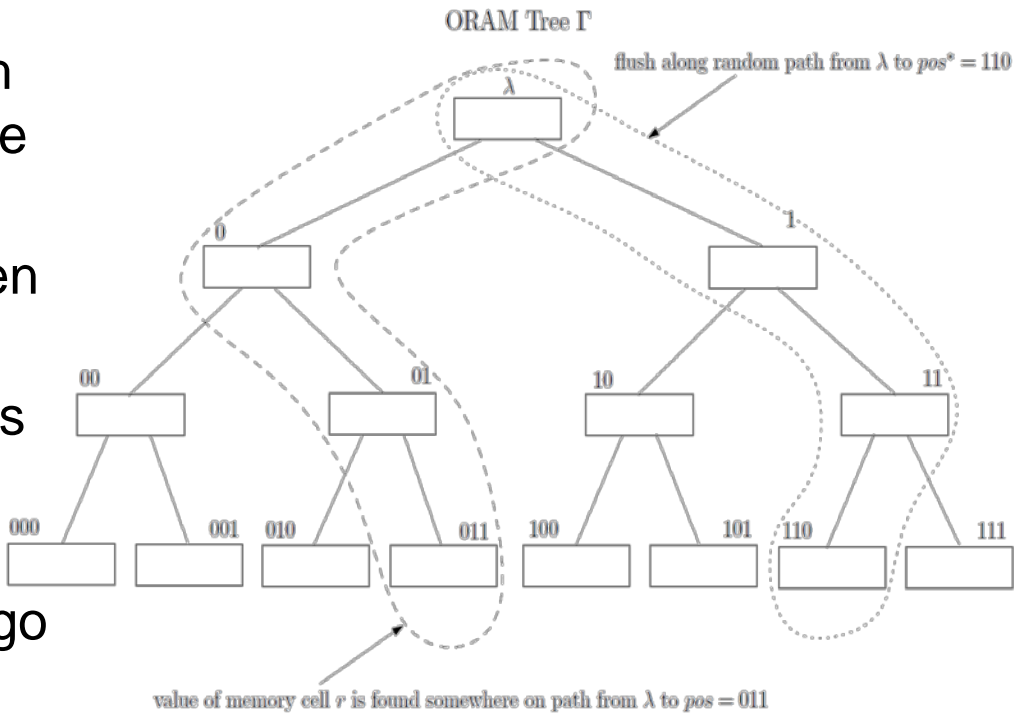
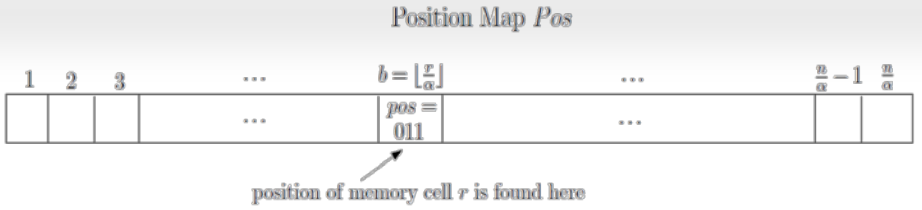




# Simple ORAM construction: reading

*Oread*( $r$ ):

- $b$  is  $r$ 's block
- $pos \leftarrow Pos[b]$
- Fetch  $r$ 's block by traversing path from root to  $pos$  looking for a tuple  $(b, pos, v)$ . (if not found, output  $\perp$ )
- Update map  $Pos[b] \leftarrow pos'$  chosen at random.
- Put back  $(b, pos', v)$  into the root's bucket. (if overflow, output  $\perp$ )
- Flush tuples down a path to a random  $pos^*$ , as far as they can go while consistent with invariant. (if overflow, output  $\perp$ )



Obliviousness: each *Oread* operation traverses the tree along two paths that are chosen at random and independently of the history so far (doing a single read and single write at every node).



# Simple “ORAM” construction: further details

- Writing:  
 $Owrite(r, val)$ :  
same as  $Oread(r)$  except we put back the updated  $(b, pos', v')$ .
- Storing the position map
  - Problem: the position map is too large.
  - Solution (“full-fledged construction”):  
recursively stored the position map in a smaller oblivious RAM (same  $K$  but smaller memory).
- Correctness:  
Obvious as long as overflows don’t happen. Easy probabilistic analysis shows that overflows happen with negligible probability (for suitable parameters  $\alpha$  and  $K$ ). See [Chung Pass ’13 – “A Simple ORAM”] for details.
- Overheads: all polylogarithmic.  $O(1)$  registers suffice.

## Other ORAMs

- Lower bound:  $\log(n)$  computational overhead.
- There are several variants of such “path ORAM”, and others.
- Implemented in software, FPGA hardware.

