

# Viterbo, Billiards & Mahler

Based on paper by Artstein-Avidan  
Karasev  
Ostrover

Def a capacity is a map

$$c : \{U \subset \mathbb{R}^{2n} \text{ submfd}\} \rightarrow [0, \infty] \text{ st}$$

$$- U \subset V \Rightarrow c(U) \leq c(V)$$

$$- c(\varphi(U)) = c(U) \text{ if } \varphi \text{ symplectic } \varphi^* \omega = \omega$$

$$- c(\alpha U) = \alpha^2 c(U)$$

$$- c(B_2^{2n}) = c(\mathbb{C}P^n) = \pi$$

ex  $c(U) = \sup \{ \pi r^2 \mid B_2^{2n}(r) \xrightarrow{s} U \}$

$$\bar{c}(U) = \inf \{ \pi r^2 \mid U \xrightarrow{s} \underbrace{\mathbb{R}^2 \times \mathbb{R}^{2n-2}}_{\mathbb{R}^2 \times \mathbb{R}^{2n-2}} \}$$

def - for  $U \subset \mathbb{R}^{2n}$  submfd w/  $\partial U$  smooth

$$\text{def } \Theta_U = \{ (x, \xi) \in T\partial U \mid \forall \eta \in T_x \partial U \text{ next to } \eta \wedge \langle \eta, \xi \rangle = 0 \}$$

= ker  $\omega|_{\Theta_U}$

char. line bundle

- char. lines are solr of ODE  
Hamiltonian

$$w/ H \text{ st. } \partial U = H^{-1}(1)$$

- a closed char. is  $\gamma: S^1 \rightarrow \partial U$   
s.t.  $\dot{\gamma} \in \Theta_u$

$$\dot{\gamma} \parallel \begin{matrix} \mathcal{J} n_u(\gamma) \\ \begin{pmatrix} -I & I \end{pmatrix} \end{matrix} \quad \begin{matrix} n_u: \partial U \rightarrow S^1 \\ \text{normal} \end{matrix}$$

- can extend to non-smooth:

$$\dot{\gamma} \in \mathcal{J} N_u(\gamma)$$

- Action of char.  $\gamma: S^1 \rightarrow \partial U$

$$A(\gamma) = \int_D \omega = \int_{\gamma} p dq$$

$\partial D = \gamma$

- HZ capacity:  $C_{HZ}(U) = \min \{ |A(\gamma)| : \gamma \text{ is cl. char. on } \partial U \}$

$U$  convex  $\Rightarrow C_{HZ}$  is 'capacity'

## Conj (Viterbo)

for  $U$  convex in  $\mathbb{R}^{2n}$  &  $c$  capacity:

$$\frac{c(U)}{\text{vol}(U)^{1/n}} \leq \frac{c(B_1)}{\text{vol}(B_1)^{1/n}}$$

or

$$\frac{c(U)}{c(B_1)} \leq \left( \frac{\text{vol}(U)}{\text{vol}(B_1)} \right)^{1/n}$$

Thm (Artstein-Avidan, Mikami, Ostrover)

Viterbo holds up to universal const

Thm (Abbondandolo, Bramham, Hryniewicz, Salomão)

Viterbo holds in  $C^3$ -neigh of  $B_2$

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Mahler

compact convex sets  $K$

Def

let  $K \in \mathcal{K}_0^n$

$0 \in \text{int } K$

$$K^\circ = \{ y \in \mathbb{R}^n \mid \forall x \in K \langle x, y \rangle \leq 1 \}$$

props -  $K^{\circ\circ} = K$

-  $K \subseteq T \Rightarrow T^{\circ} \subseteq K^{\circ}$

-  $K \hookrightarrow K^{\circ}$  is cont.

-  $(AK)^{\circ} = A^{-*} K^{\circ}$   
 $\uparrow$   
 $GL_n \mathbb{R}$

Thm (Boroczky, Schneider)

If  $\varphi : K_0^n \rightarrow K_0^n$  is  $\vee$ -conv hull

-  $\varphi(K \cap L) = \varphi(K) \vee \varphi(L)$

-  $\varphi(K \vee L) = \varphi(K) \cap \varphi(L)$

$\Rightarrow \varphi = \text{conv}$  or  $\varphi(K) = AK^{\circ}$   
( $A \in GL_n$ )

def Let  $K \in K_0^n$

$h_K : \mathbb{R}^n \rightarrow \mathbb{R}$  support func

$x \mapsto \max_{y \in K} \langle x, y \rangle$

$g_K(\cdot) = \|\cdot\|_K : \mathbb{R}^n \rightarrow \mathbb{R}$

$x \mapsto \min \{ \lambda \mid \frac{x}{\lambda} \in K \}$

If  $K = -K \Rightarrow \|\cdot\|_K$  is a norm

$$v(K) = \text{vol}(K) \cdot \text{vol}(K^0)$$

volume prod  
mahler vol

$v$  is  $GL_n - \text{inv}$

$$v(AK) = \text{vol}(AK) \text{vol}(A^{-1}K^0) =$$

$$= \underbrace{\det A \cdot \det A^{-1}}_1 v(K)$$

Thm (Blaschke - Santaló)

$$\forall K \in K_0^n \quad v(K) \leq v(B_2)$$

$K = -K$

Conj (Mahler)

$$v(K) \geq v([-1, 1]^n) = \frac{4^n}{n!}$$

$$K = -K$$

$$K \in K_0^n$$

conj. min. are Klanner poly

prod. of cubes &  $x$ -poly

Thm (Bourgain - Milman)

$$v(K) \geq c^n \frac{4^n}{n!}$$

(Kuperberg)

$$c = \frac{\pi}{4}$$

(Nazarov, Petrov, Ryabogin, Zvavich)

Mahler holds is BM-neighbor of  $[1,1]^n$

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Thm 1 Viterbo  $\Rightarrow$  Mahler

in fact: Viterbo for  $\mathcal{C}_{H_2}$   
for bodies  $K \times K^o$

Thm 2  $\forall K \in \mathcal{K}_o^n$   $K = -K$  :

$$\mathcal{C}_{H_2}(K \times K^o) = 4$$

PF (Thm 1)

$$\frac{4^n}{\pi^n} \stackrel{\text{Thm 2}}{=} \left( \frac{\mathcal{C}_{H_2}(K \times K^o)}{\mathcal{C}_{H_2}(B_2^{2n})} \right)^n \stackrel{\text{Viterbo}}{\leq} \frac{v(K)}{v(B_2)^5}$$
$$= \frac{n! v(K)}{\pi^n} \quad \square$$

$\mathcal{C}_{H_2}(K \times T)$

$$\partial(K \times T) = \partial K \times \text{int} T \sqcup \text{int} K \times \partial T \sqcup$$

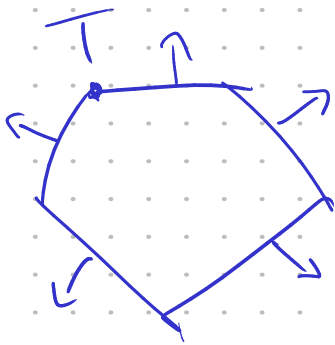
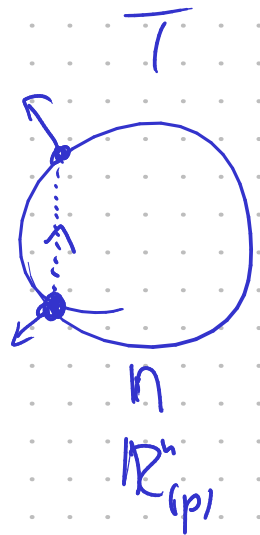
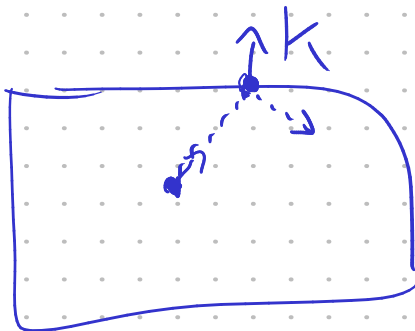
$$\underbrace{\partial K \times \partial T}$$
$$\gamma \in \mathcal{J}N_{K \times T}$$

If  $\gamma \in \text{int } K \times \partial T$

$$N_{K \times T}(\gamma) = N_K(\pi(\gamma)) \times \{0\}$$

$$\supset N_{K \times T} = \{0\} \times N_K(\pi(\gamma))$$

$$\supset \mathbb{R}^n_q = \mathbb{R}^n_p$$



$\mathbb{R}^n_q$

$T = B_2 \Rightarrow$  billiard in  $K$

def a  $(K, T)$ -billiard trajectory is

$$\gamma: S^1 \rightarrow \partial(K \times T)$$

$$- \forall t : \gamma(t) \in \partial(K \times T) \Rightarrow \dot{\gamma}(t) = \overset{\circ}{d}(t) \times X(q, p)$$

$$X(q, p) = \begin{cases} (-\nabla g_T(p), 0) & \text{if } (q, p) \in \text{int } K \times \partial T \\ (0, \nabla g_K(q)) & \text{if } (q, p) \in \partial K \times \text{int } T \end{cases}$$

$$g_K(\cdot) = \|\cdot\|_n$$

$$- \forall t : \gamma(t) \in \partial(K \times T)$$



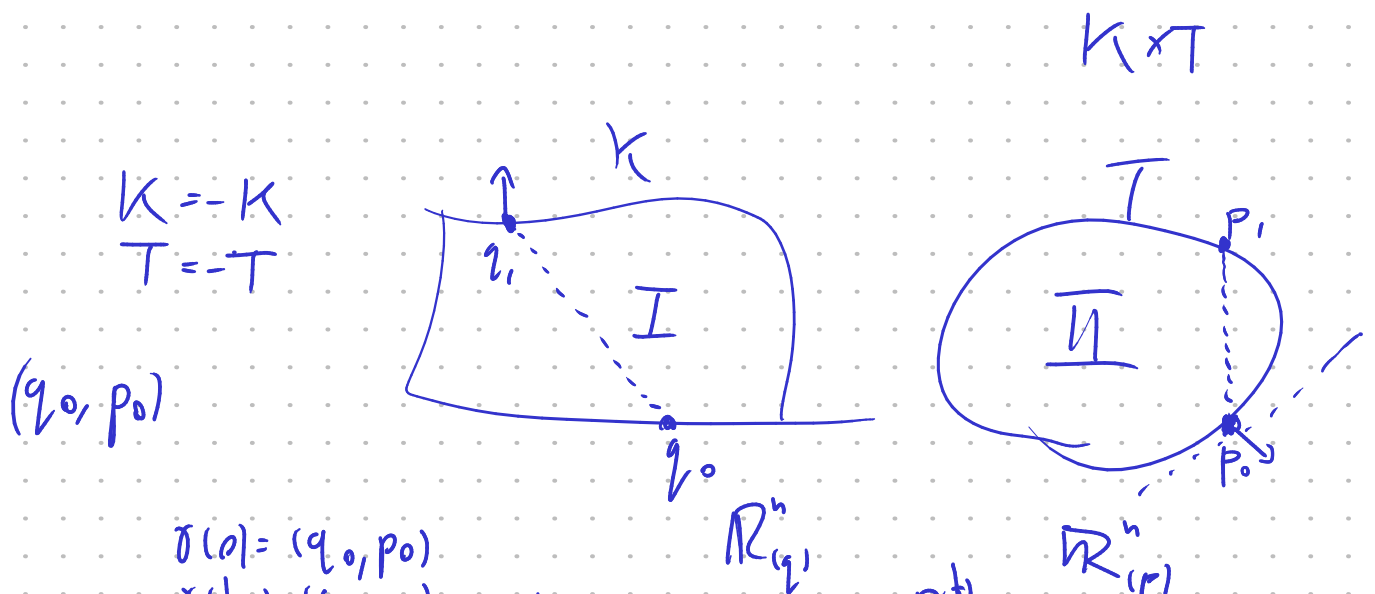
$\exists \dot{\gamma}^\pm(t)$  and

$$\dot{\gamma}^\pm(t) \in \left\{ \alpha (-\nabla g_T(p), 0) + \beta (0, \nabla g_K(q)) \mid \right.$$

$$\left. \begin{array}{l} \alpha, \beta \geq 0 \\ (\alpha, \beta) \neq (0, 0) \end{array} \right\}$$

$$[JN_{K \times T}(q, p)]$$

Thm 3 {closed  $(K, T)$ -billiards} = {cl. chars}



I  $|A(\gamma)| = \left| \int_0^{t_0} p(t) \dot{q}(t) dt \right| = \left| \int_0^{t_0} \langle p(t), \dot{q}(t) \rangle dt \right| = \left| \langle p_0, q_1 - q_0 \rangle \right| = \left| \langle p_0, q_1 - q_0 \rangle \right|$

$$\delta(t_1) = (q_1, p_1) = \max_{p \in \partial T} |\langle p, q_1 - q_0 \rangle| \leq |h_T(q_1 - q_0)|$$

II  $A(\gamma|_{t_0}^{t_1}) = \int_{t_0}^{t_1} p(t) \dot{q}(t) dt = 0$



$$\gamma = q_0, \dots, q_m \in K$$

$$p_0, \dots, p_m \in T$$

$$\text{ex } g_K = h_{K_0}$$

$$A(\gamma) = \sum_{i=0}^{m-1} h_T(q_{i+1} - q_i) = \sum \|q_{i+1} - q_i\|_{T_0}$$

def If  $K = -K$   
 $K \in K_0^n$

$P$  poly. loop in  $\mathbb{R}^n$   
 $x_0, \dots, x_m = x_0$

$$\text{len}_K(P) = \text{Len}_K(x_0, \dots, x_m) = \sum_{i=0}^{m-1} \|x_{i+1} - x_i\|_K$$

Thm 4 Let  $K \in K_0^n, K = -K, x_0, \dots, x_m = x_0$

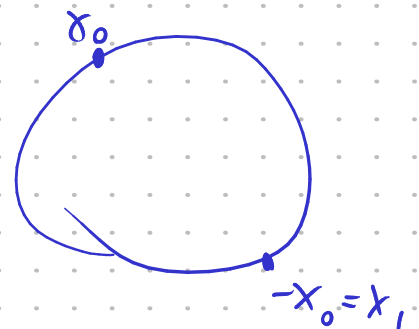
$\forall i: x_{i+1} \neq x_i$

$$0 \in \bigvee_{i=0}^{m-1} \{x_i\}$$

|  
conv hull

$\mathbb{R}^n \setminus \text{int}(K)$

$$\Rightarrow \text{len}_K(x_0, \dots, x_m) \geq 4$$



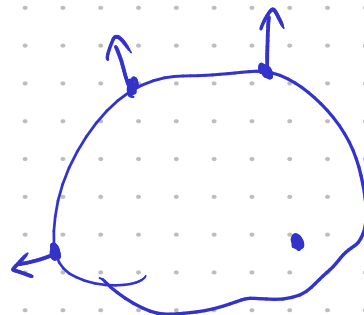
Pf Later

Thm 5 Let  $K \in \mathbb{R}^n$ ,  $K = -K$ ,  $\partial K$  smooth

$$q_0, \dots, q_m = q_0 \in \partial K \quad (q_{i+1} \neq q_i)$$

$$0 \in V \{n_K(q_i)\}_{i=1}^m$$

$$\Rightarrow \text{Len}_K(q_0, \dots, q_m) \geq 4$$

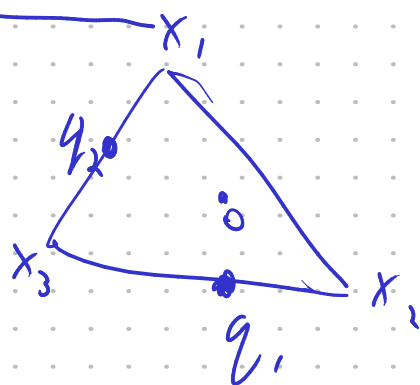


Lemma Let  $S$  a non-deg simplex in  $\mathbb{R}^n$

$$\text{ext}(S) = \{x_i\}_{i=1}^{n+1}, \quad 0 \in S$$

$$\text{Let } q_i \in V \{x_j\}_{j \neq i}$$

$$\Rightarrow \exists \emptyset \neq I \subseteq \{1, \dots, n+1\} : \\ \text{" } \{1, \dots, n+1\}$$



$$0 \in V \{q_i\}_{i \in I} \vee V \{x_i\}_{i \notin I}$$

Pf Set  $C = V \{ \pm e_i \}_{i=1}^{n+1}$   $X$ -poly

Define  $f: C \rightarrow S$  piecewise-linear

$$f(+e_i) = q_i$$

$$f(-e_i) = x_i$$

$$\partial C \cong S^n \quad \Rightarrow \quad \deg f = 0$$

$$0 \in S = f\left(\bigvee_{i=1}^{n+1} \{-e_i\}\right)$$

$\Rightarrow \exists$  another facet of  $C : 0 \in f(F)$  □

pf (Thm 5)  $q_0, \dots, q_m = q_0$

WLOG  $m \leq n+1$   
(Caratheodory)

WLOG  $\text{Span}_{\mathbb{R}_{\geq 0}}\{n_k(q_i)\} = \mathbb{R}^n$

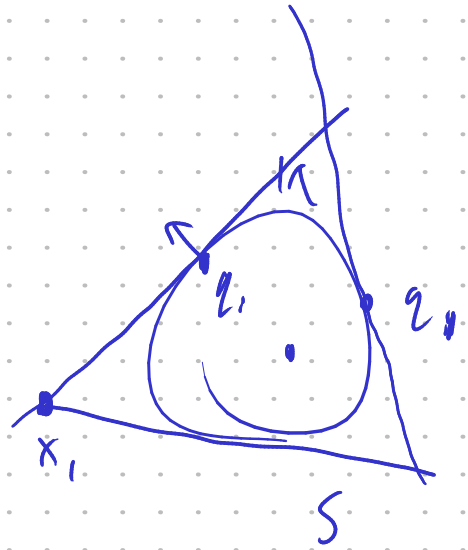
$\Rightarrow m \geq n+1$

$m = n+1$

$\{q_i\} = \partial K \cap \partial S$

Denote by  $\{x_i\} = \text{ext } S$

$q_i \in \bigvee_{j \neq i} \{x_j\}$



Lemma

$\Rightarrow \exists \emptyset \neq I \subseteq [n+1]$

$$0 \in \bigvee_{i \in I} \{q_i\} \vee \bigvee_{i \notin I} \{x_i\}$$

If  $I = [n+1] \Rightarrow \text{Len}_K(q_0, \dots, q_m) \geq 4$   
Thm 4

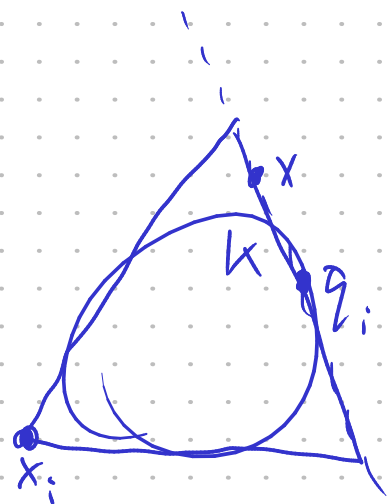
else  $\exists q \in \bigvee_{i \in I} \{q_i\}, x \in \bigvee_{i \notin I} \{x_i\}$   
 $\lambda \in [0, 1]$

$$0 = \lambda x + (1-\lambda)q$$

for  $i \in I$   $q'_i = (1-\lambda)q_i + \lambda x$

-  $0 \in V\{q'_i\}_{i \in I}$

-  $\forall i \in I : q'_i \notin \text{int } K$



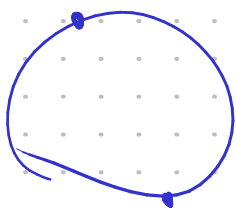
$$\text{Len}_K(\{q'_i\}_{i \in I}) \stackrel{\text{Thm 7}}{\geq} \text{Len}_K(\{q_i\}_{i \in I}) \geq 4$$

□

Want:  $\gamma: S^1 \rightarrow \partial(K \times K^o)$  is  $(K, K^o)$ -billiard

$$\Rightarrow 0 \in V\{n_K(\pi_q(\gamma)) \cap \partial K\}$$

$$\mathbb{R}^{2n} \rightarrow \mathbb{R}^n_{(q)}$$



$$\mathbb{R}^n_{(q)} \cup \mathbb{R}^n_{(p)}$$

Lemma

$\gamma: S^1 \rightarrow \partial(K \times T)$  is a  $(K, T)$ -billiard

denote  $\pi_q: \mathbb{R}^{2n} \rightarrow \mathbb{R}^n_{(q)}$  proj.

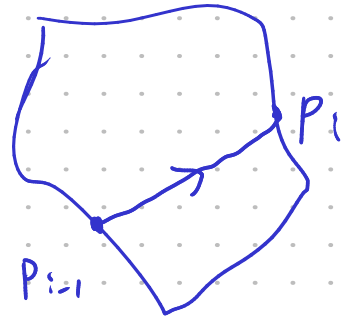
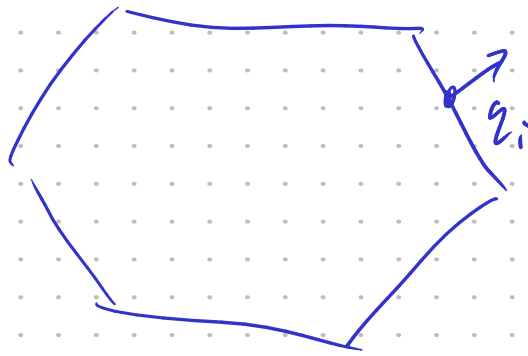
$$\Rightarrow 0 \in V\{n_K(\pi_q(\gamma)) \cap \partial K\}$$

pf

$K$

$T$

$$\begin{pmatrix} q_i, p_i \\ \uparrow \\ q_i, p_{i-1} \end{pmatrix}$$



$$\lambda_i n_K(q_i) = (p_i - p_{i-1})$$

$$\sum \lambda_i n_K(q_i) = \sum p_i - p_{i-1} = 0 \quad \square$$

Thm 4  $K \in K^n$ ,  $K = -K$ ,  $x_0, \dots, x_m = x_0$   
 $\mathbb{R}^n - \text{int}(K)$

$$0 \in V\{x_i\}$$

$$\Rightarrow \text{len}_K(x_0, \dots, x_m) \geq 4$$

Pf

$$\sum_{i=0}^{m-1} \lambda_i x_i = 0 \quad \sum \lambda_i = 1$$

First step: find  $i_0, j_0 \in [m]$  and

$$p \in \mathbb{R}^n$$

$$p \in V\{x_{i_0}, x_{j_0}\} \quad \text{and} \quad -p \in V\{x_{i_0}, x_{j_0}\}$$

If  $\lambda_a = \lambda_b = \frac{1}{2}$  :



Else :  $\lambda_1, \lambda_m < \frac{1}{2}$

set  $i_0 = 1$

start w/  $j_0 = 1$

increase  $j_0$  until

$$\left[ \sum_{i=i_0}^{j_0} \lambda_i \geq \frac{1}{2} \right]$$

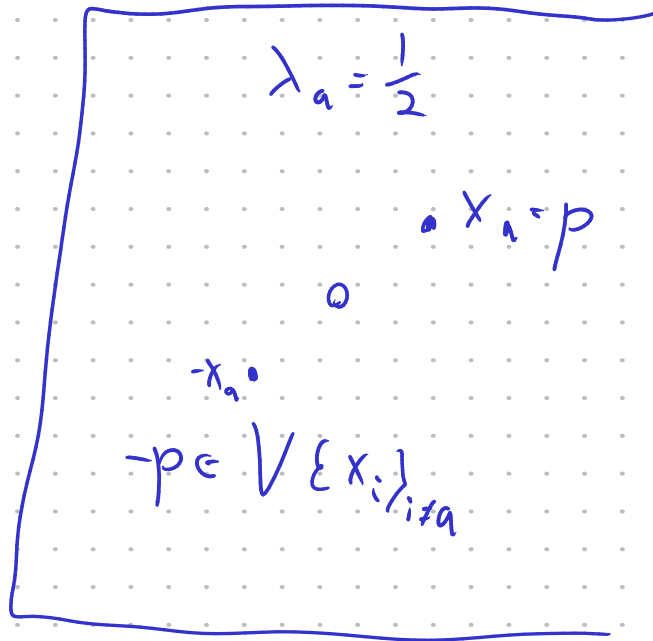
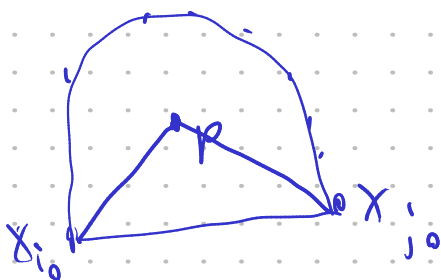
Denote  $\lambda'_{j_0}, \lambda''_{j_0} > 0$

$$\underbrace{\sum_{i=i_0}^{j_0-1} \lambda_i}_{\frac{1}{2}} + \lambda'_{j_0} = \frac{1}{2}, \quad \lambda''_{j_0} = \lambda_{j_0} - \lambda'_{j_0}$$

$$0 = \sum \lambda_i x_i = \underbrace{\sum_{i=i_0}^{j_0-1} \lambda_i x_i + \lambda'_{j_0} x_{j_0}}_{p \in V\{x_i\}_{i=j_0}^{j_0}} +$$

$$+ \sum_{i=j_0+1}^{i_0-1} \lambda_i x_i + \lambda''_{j_0} x_{j_0}$$

$$\underbrace{\hspace{10em}}_{-p \in V\{x_i\}_{i=j_0}^{i_0-1}}$$



$$\text{Len}_K(\{x_i\}) \stackrel{\Delta}{\geq} \text{Len}_K(x_{i_0}, p, x_{j_0}, -p) \geq$$

WLOG

$$\geq \text{Len}_K(x_{i_0}, p, -x_{i_0}, -p) \stackrel{\Delta}{\geq}$$

$$\geq \text{Len}_K(x_{i_0}, -x_{i_0}) =$$

$$= \|x_{i_0} - (-x_{i_0})\|_K + \|-x_{i_0} - x_{i_0}\|_K = 4\|x_{i_0}\| \geq 4$$

$x_{i_0} \notin \text{int}$

□

