

# The Minrank of Random Graphs over Arbitrary Fields

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## Abstract

The minrank of a graph  $G$  on the set of vertices  $[n]$  over a field  $\mathbb{F}$  is the minimum possible rank of a matrix  $M \in \mathbb{F}^{n \times n}$  with nonzero diagonal entries such that  $M_{i,j} = 0$  whenever  $i$  and  $j$  are distinct nonadjacent vertices of  $G$ . This notion, over the real field, arises in the study of the Lovász theta function of a graph. We obtain tight bounds for the typical minrank of the binomial random graph  $G(n, p)$  over any finite or infinite field, showing that for every field  $\mathbb{F} = \mathbb{F}(n)$  and every  $p = p(n)$  satisfying  $n^{-1} \leq p \leq 1 - n^{-0.99}$ , the minrank of  $G = G(n, p)$  over  $\mathbb{F}$  is  $\Theta(\frac{n \log(1/p)}{\log n})$  with high probability. The result for the real field settles a problem raised by Knuth in 1994. The proof combines a recent argument of Golovnev, Regev, and Weinstein, who proved the above result for finite fields of size at most  $n^{O(1)}$ , with tools from linear algebra, including an estimate of Rónyai, Babai, and Ganapathy for the number of zero-patterns of a sequence of polynomials.

## 1 Introduction

In this paper we discuss the notion of the minrank of a graph over a field, defined as follows.

**Definition 1.1.** The minrank of a graph  $G$  on the vertex set  $[n] = \{1, 2, \dots, n\}$  over a field  $\mathbb{F}$ , denoted by  $\text{min-rank}_{\mathbb{F}}(G)$ , is the minimum possible rank of a matrix  $M \in \mathbb{F}^{n \times n}$  with nonzero diagonal entries such that  $M_{i,j} = 0$  whenever  $i$  and  $j$  are distinct nonadjacent vertices of  $G$ .

The notion of the minrank over the real field  $\mathbb{R}$  (with the added requirement that the representing matrix  $M$  be positive semidefinite) arises in the study of orthogonal representations of graphs, which play an important role in the definition of the Lovász theta function of a graph and its relation to the study of the Shannon capacity, see [11, 12]. The minrank over finite fields has been studied for its connections to the Shannon capacity [8] and to linear index coding [4]. Knuth [11] raised the problem of determining the asymptotic behavior of the typical minrank of the binomial random graph  $G(n, p)$  over the real field for fixed  $p \in (0, 1)$  and large  $n$ , mentioning that it is at least  $\Omega(\sqrt{n})$ .

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In a beautiful paper, Golovnev, Regev, and Weinstein [6] recently proved that for any finite field  $\mathbb{F} = \mathbb{F}(n)$  and every  $p = p(n)$  in  $(0, 1)$ , the minrank of the random graph  $G(n, p)$  over  $\mathbb{F}$  is with high probability at least

$$\Omega\left(\frac{n \log(1/p)}{\log(n|\mathbb{F}|/p)}\right).$$

Since the minrank of every graph over any field is at most the chromatic number of its complement, the known results about the behavior of the chromatic number of random graphs show that the above estimate is tight up to a constant factor for every finite field of size at most  $n^{O(1)}$  and for every  $p$  which is not too close to 0 or 1, e.g., for all  $n^{-1} \leq p \leq 1 - n^{-0.99}$ . This, however, provides no information for infinite fields, and in particular for the real field.

Our main result is an extension of this result to all finite or infinite fields. Here and in what follows, the expression “with high probability” (w.h.p. for short) means “with probability tending to 1 as  $n$  goes to infinity”.

**Theorem 1.2.** *Let  $\mathbb{F} = \mathbb{F}(n)$  be a field and assume that  $p = p(n)$  satisfies  $n^{-1} \leq p \leq 1$ . Then w.h.p.*

$$\text{min-rank}_{\mathbb{F}}(G(n, p)) \geq \frac{n \log(1/p)}{80 \log n}.$$

The proof combines the method of Golovnev, Regev, and Weinstein with tools from linear algebra, most notably an estimate of Rónyai, Babai, and Ganapathy [13] for the number of zero patterns of a sequence of multivariate polynomials over a field.

The result for the real field settles the problem of Knuth mentioned above. We conclude this introduction by making several remarks. The proof of Theorem 1.2 is given in Sections 2 and 3. Section 4 contains a number of applications of our main theorem to the study of various geometric representations of random graphs. Section 5 contains some concluding remarks and open problems.

## 1.1 Remarks

*Remark 1.3* (Tightness of Theorem 1.2). Theorem 1.2 is tight up to the value of the multiplicative constant  $\frac{1}{80}$  for every field  $\mathbb{F}$  and every  $n^{-1} \leq p \leq 1 - n^{-0.99}$ . Indeed, for every graph  $G$  and every field  $\mathbb{F}$  we have  $\text{min-rank}_{\mathbb{F}}(G) \leq \chi(\overline{G})^1$ , and it is known that for  $p$  in the above range,  $G = G(n, p)$  w.h.p. satisfies  $\chi(\overline{G}) = \Theta\left(\frac{n \log(1/p)}{\log n}\right)$ , see [5, 10]. This proves the following result:

**Theorem 1.4.** *Let  $\mathbb{F} = \mathbb{F}(n)$  be a field and assume that  $p = p(n)$  satisfies  $n^{-1} \leq p \leq 1 - n^{-0.99}$ . Then w.h.p.*

$$\text{min-rank}_{\mathbb{F}}(G(n, p)) = \Theta\left(\frac{n \log(1/p)}{\log n}\right).$$

*Remark 1.5* (Amplifying the success probability in Theorem 1.2). The proof of Theorem 1.2 gives a bound of  $n^{-\Omega(1)}$  on the probability that  $G = G(n, p)$  (for  $p \geq n^{-1}$ ) satisfies  $\text{min-rank}_{\mathbb{F}}(G) < \frac{n \log(1/p)}{80 \log n}$ . Using Azuma’s inequality for the vertex exposure martingale, one can show that  $\text{min-rank}_{\mathbb{F}}(G)$  is highly concentrated around its expectation, which is  $\Omega\left(\frac{n \log(1/p)}{\log n}\right)$  by Theorem 1.2. This way, one can deduce that  $\text{min-rank}_{\mathbb{F}}(G) \geq \Omega\left(\frac{n \log(1/p)}{\log n}\right)$  holds with probability at least  $1 - e^{-\Omega(n/\log^2 n)}$ , see [6] for a detailed argument.

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<sup>1</sup>Given a coloring of  $\overline{G}$ , define  $M$  by  $M_{i,j} = 0$  if  $i, j$  lie in different color classes, and  $M_{i,j} = 1$  otherwise. It is easy to see that the rank of  $M$  is the number of colors, and that  $M_{i,j} = 0$  whenever  $(i, j) \notin E(G)$ .

*Remark 1.6* (High minrank over many fields simultaneously). Tao [14] proved (following Grosu [7]) that for every pair of integers  $m$  and  $s$ , there is some  $C = C(m, s)$  such that for every field  $\mathbb{F}$  of characteristic at least  $C$  and every  $A \subseteq \mathbb{F}$  of size  $m$ , there is a map  $\varphi: A \rightarrow A' \subseteq \mathbb{C}$  that with the following property: for every sequence of elements  $x_1, \dots, x_r \in A$  and for every  $r$ -variate polynomial  $f$  of degree at most  $s$  with integer coefficients whose sum of magnitudes is at most  $s$ , we have

$$f(x_1, \dots, x_r) = 0 \quad \text{if and only if} \quad f(\varphi(x_1), \dots, \varphi(x_r)) = 0.$$

In other words,  $\varphi$  preserves low-degree polynomial relations among the elements of  $A$ . The case where  $\mathbb{F} = \mathbb{F}_p$  for prime  $p$  was proved earlier by Grosu [7].<sup>2</sup> Tao's result implies that for every integer  $n$  there is some  $C = C(n)$  such that if  $G$  is an  $n$ -vertex graph,  $\mathbb{F}$  is a field of characteristic larger than  $C$ , and  $M = (M_{i,j})$  is a matrix over  $\mathbb{F}$  satisfying the conditions in Definition 1.1 with respect to  $G$ , then there is a matrix  $M' = (M'_{i,j})$  over  $\mathbb{C}$  that has the same rank as  $M$  and also satisfies the conditions in Definition 1.1 with respect to  $G$ . Indeed, we use Tao's result with  $A \subseteq \mathbb{F}$  being the set of entries of  $M$ , and set  $M'_{i,j} = \varphi(M_{i,j})$ , observing that the main-diagonal entries of  $M'$  are nonzero, and that  $M'$  has the same rank as  $M$  because any minor of  $M'$  is nonzero if and only if the corresponding minor of  $M$  is nonzero. It follows that  $\text{min-rank}_{\mathbb{F}}(G) \geq \text{min-rank}_{\mathbb{C}}(G)$  for every field  $\mathbb{F}$  of characteristic larger than  $C(n)$ . By combining this with Theorem 1.2, we see that  $G = G(n, p)$  w.h.p. satisfies  $\text{min-rank}_{\mathbb{F}}(G) \geq \Omega\left(\frac{n \log(1/p)}{\log n}\right)$  simultaneously for all fields of sufficiently large characteristic (as a function of  $n$ ).

## 2 Preliminaries

**Definition 2.1.** An  $(n, k, s)$ -matrix (over some field  $\mathbb{F}$ ) is an  $n \times n$  matrix  $M$  of rank  $k$  with  $s$  nonzero entries and containing rows  $R_{i_1}, \dots, R_{i_k}$  and columns  $C_{j_1}, \dots, C_{j_k}$  such that  $R_{i_1}, \dots, R_{i_k}$  is a row basis for  $M$ ,  $C_{j_1}, \dots, C_{j_k}$  is a column basis for  $M$ , and the overall number of nonzero entries in all  $2k$  vectors  $R_{i_1}, \dots, R_{i_k}, C_{j_1}, \dots, C_{j_k}$  is at most  $4ks/n$ .

The following is the key lemma in [6].

**Lemma 2.2.** *Let  $\mathbb{F}$  be any field and let  $M \in \mathbb{F}^{n \times n}$  be a matrix of rank  $k$ . Then there exist integers  $n', k'$ , and  $s'$  with  $k'/n' \leq k/n$  such that  $M$  contains an  $(n', k', s')$ -principal-submatrix (that is, a principal submatrix that is an  $(n', k', s')$ -matrix).*

The *zero-pattern* of an  $n \times n$  matrix  $M$  is the  $n \times n$  matrix  $P$  with entries from the set  $\{0, *\}$  such that  $P_{i,j} = 0$  if  $M_{i,j} = 0$  and  $P_{i,j} = *$  if  $M_{i,j} \neq 0$ . If the entries of  $M$  are given by polynomials over a field  $\mathbb{F}$ , then the *set of zero-patterns* of  $M$  is the set of all zero-patterns of matrices obtained by assigning values from  $\mathbb{F}$  to the variables of these polynomials. Rónyai, Babai, and Ganapathy [13] gave the following bound for the number of zero-patterns of a sequence of polynomials:

**Lemma 2.3.** *Let  $\bar{f} = (f_1, \dots, f_M)$  be a sequence of polynomials in  $N$  variables over a field  $\mathbb{F}$ , each of degree at most  $d$ . Then the number of zero-patterns of  $\bar{f}$  is at most  $\binom{Md}{N}$ .*

We now state and prove the key lemma of this paper.

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<sup>2</sup>For fields of prime order, Grosu's result is stronger than that of Tao [14], as it provides an explicit bound on  $C(m, s)$ , while Tao's proof is not effective.

**Lemma 2.4.** *The number of zero-patterns of  $(n, k, s)$ -matrices is at most  $\binom{n}{k}^2 \cdot n^{20ks/n}$ .*

*Proof.* It is easy to see that the lemma holds for  $s \in \{0, 1\}$ , so we may assume for convenience that  $s \geq 2$ . The term  $\binom{n}{k}^2$  corresponds to the number of ways to choose the sequences  $(i_1, \dots, i_k)$  and  $(j_1, \dots, j_k)$  from Definition 2.1 (that is, the number of ways to choose the positions of the rows  $R_{i_1}, \dots, R_{i_k}$  and the columns  $C_{j_1}, \dots, C_{j_k}$ ). From now on we assume without loss of generality that  $(i_1, \dots, i_k) = (j_1, \dots, j_k) = (1, \dots, k)$ . The number of ways to choose a set  $\mathcal{F} \subseteq ([k] \times [n]) \cup ([n] \times [k])$  of at most  $4ks/n$  entries which are allowed to be nonzero is at most

$$\sum_{t=0}^{4ks/n} \binom{2kn}{t} \leq \left( \frac{e \cdot n^4}{s^2} \right)^{2ks/n} \leq n^{8ks/n}$$

(the first inequality follows from the fact that for all  $0 < x < 1$ , we have  $\sum_{t=0}^{4ks/n} \binom{2kn}{t} x^{4ks/n} \leq \sum_{t=0}^{4ks/n} \binom{2kn}{t} x^t \leq (1+x)^{2kn} \leq e^{x2kn}$  and setting  $x = s/n^2 < 1$ ). So it is enough to show that for every fixed  $\mathcal{F} \subseteq ([k] \times [n]) \cup ([n] \times [k])$  as above, there are at most  $n^{12ks/n}$  zero-patterns of matrices for which the first  $k$  rows form a row basis, the first  $k$  columns form a column basis, and for every  $(i, j)$  with  $\min(i, j) \leq k$  and  $(i, j) \notin \mathcal{F}$ , the  $(i, j)$ -entry is zero. Let  $M = (M_{i,j})$  be such a matrix, and denote by  $M'$  the submatrix of  $M$  on  $[k] \times [k]$ . Then  $M'$  is invertible because the first  $k$  rows (resp. columns) of  $M$  form a row (resp. column) basis. Fix any  $k+1 \leq \ell \leq n$ . The  $\ell$ -th column of  $M$  is a linear combination of the first  $k$  columns of  $M$ . The coefficients in this linear combination are the coordinates of the unique solution to the system

$$M' \cdot x = \begin{pmatrix} M_{1,\ell} \\ \vdots \\ M_{k,\ell} \end{pmatrix}.$$

By Cramer's rule, this solution can be expressed as

$$\begin{pmatrix} f_{1,\ell}(y^{(\ell)}) / \det(M') \\ \vdots \\ f_{k,\ell}(y^{(\ell)}) / \det(M') \end{pmatrix},$$

where  $f_{1,\ell}, \dots, f_{k,\ell}$  are polynomials of degree  $k$  (which do not depend on the matrix  $M$ ), and the vector of variables  $y^{(\ell)}$  contains the entries  $(M_{i,j})_{i,j=1}^k$  and  $(M_{i,\ell})_{i=1}^k$ . We see that for every  $k+1 \leq \ell \leq n$  and  $1 \leq i \leq n$ , we have

$$M_{i,\ell} = \frac{1}{\det(M')} \sum_{j=1}^k f_{j,\ell}(y^{(\ell)}) \cdot M_{i,j}.$$

This means that every entry of  $M$  can be given as a polynomial of degree  $k+1$  in the entries  $(M_{i,j})_{\min(i,j) \leq k}$ , divided by the nonzero polynomial  $\det(M')$ . Since  $M_{i,j} = 0$  if  $\min(i, j) \leq k$  and  $(i, j) \notin \mathcal{F}$ , it is enough to take  $(M_{i,j})_{(i,j) \in \mathcal{F}}$  as the sequence of variables of all polynomials. We conclude that the zero-pattern of  $M$  is the zero-pattern of a sequence of  $n^2$  polynomials in  $|\mathcal{F}| \leq 4ks/n$  variables, each of degree (at most)  $k+1$  (note that removing the factor  $\frac{1}{\det(M')}$  does not change the zero-pattern of  $M$ , and that all polynomials are independent of  $M$ ). By Lemma 2.3, the number of zero-patterns of this matrix of polynomials is at most  $\binom{(k+1)n^2}{4ks/n} \leq \left( \frac{e(k+1)n^3}{4ks} \right)^{4ks/n} \leq n^{12ks/n}$ , as required.  $\square$

Finally, we will need the following simple lemma from [6] (which follows, with a slightly better constant, from Turán's Theorem).

**Lemma 2.5.** *Every  $n \times n$  matrix of rank  $k$  having nonzero entries on the main diagonal contains at least  $n^2/(4k)$  nonzero entries.*

### 3 The Min-rank of Random Graphs

*Proof of Theorem 1.2.* If, say,  $p \geq 1 - n^{-1}$ , then  $\frac{n \log(1/p)}{\log n} = o(1)$ , so the theorem holds trivially. So from now on we assume that  $p < 1 - n^{-1}$ .

Suppose that  $G$  is an  $n$ -vertex graph with  $\text{min-rank}_{\mathbb{F}}(G) \leq k$ . Then by definition, there is a  $n \times n$  matrix  $M$  over  $\mathbb{F}$  of rank at most  $k$ , such that all entries of  $M$  on the main diagonal are nonzero, and such that  $M_{i,j} = 0$  whenever  $(i, j) \notin E(G)$ . By Lemma 2.2,  $M$  contains an  $(n', k', s')$ -principal submatrix  $M'$  with  $k'/n' \leq k/n$ .

We conclude that for every graph  $G$  satisfying  $\text{min-rank}_{\mathbb{F}}(G) \leq k$ , there is a set  $U \subseteq V(G)$  and an  $(n', k', s')$ -matrix  $M'$ , where  $n' = |U|$  and  $k'/n' \leq k/n$ , such that all entries of  $M'$  on the main diagonal are nonzero, and such that for every pair of distinct  $i, j \in U$ , we have  $M'_{i,j} = 0$  whenever  $(i, j) \notin E(G)$ . For given  $n', k', s'$ , the number of choices for  $U$  is  $\binom{n}{n'}$ , and the number of  $(n', k', s')$ -matrices is at most  $\binom{n'}{k'}^2 \cdot n'^{20k's'/n'}$  by Lemma 2.4. For a given pair  $U, M'$ , the probability that  $G = G(n, p)$  satisfies the above event with respect to  $U, M'$  is at most  $p^{(s'-n')/2}$ , since there are at least  $(s'-n')/2$  pairs  $1 \leq i < j \leq n'$  for which  $M'_{i,j} \neq 0$ , and each such pair must span an edge in  $G$ . By Lemma 2.5 we have  $s' \geq n'^2/(4k') \geq n'n/(4k)$ . Hence, the probability that  $G = G(n, p)$  satisfies  $\text{min-rank}_{\mathbb{F}}(G) \leq k$  is at most

$$\begin{aligned} & \sum_{n'=1}^n \sum_{k'=1}^{n'/k/n} \sum_{s' \geq n' \cdot \frac{n}{4k}} \binom{n}{n'} \cdot \binom{n'}{k'}^2 \cdot n'^{20k's'/n'} \cdot p^{(s'-n')/2} \\ & \leq \sum_{n'=1}^n \sum_{k'=1}^{n'/k/n} n^{n'+2k'} \cdot p^{-n'/2} \sum_{s' \geq n' \cdot \frac{n}{4k}} \left( n^{20k/n} p^{1/2} \right)^{s'} \end{aligned} \quad (1)$$

For  $k \leq \frac{n \log(1/p)}{80 \log n}$  we get  $n^{20k/n} \leq (1/p)^{1/4}$ , and so

$$\sum_{s' \geq n' \cdot \frac{n}{4k}} \left( n^{20k/n} p^{1/2} \right)^{s'} \leq \sum_{s' \geq n' \cdot \frac{n}{4k}} p^{s'/4} \leq p^{n' \cdot \frac{n}{16k}} \cdot \frac{1}{1 - p^{1/4}} \leq e^{-5n' \log n} \cdot \frac{1}{1 - p^{1/4}} = n^{-5n'} \cdot \frac{1}{1 - p^{1/4}}.$$

Hence, (1) is at most

$$\frac{1}{1 - p^{1/4}} \cdot \sum_{n'=1}^n \sum_{k'=1}^{n'/k/n} n^{n'+2k'} \cdot p^{-n'} \cdot n^{-5n'} \leq \frac{1}{1 - p^{1/4}} \cdot \sum_{n'=1}^n n^{4n'} \cdot n^{-5n'} = \frac{1}{1 - p^{1/4}} \cdot \sum_{n'=1}^n n^{-n'}.$$

If (say)  $p \leq 1/2$ , then the above sum is clearly  $o(1)$ . In the complementary case  $p > 1/2$  we have  $k = O(n/\log n)$ , and so in (1) we can restrict ourselves to  $n'$  satisfying  $n' \geq n/k = \Omega(\log n)$  (as otherwise there are no  $k'$  between 1 and  $n'/k/n$ ). Now, recalling the assumption  $p < 1 - n^{-1}$ , we see that (1) evaluates to  $o(1)$ . This completes the proof.  $\square$

## 4 Geometric Representations of Random Graphs

### 4.1 Orthogonal representations

The parameter  $\text{min-rank}_{\mathbb{R}}(\cdot)$  is closely related to orthogonal representations of graphs. An orthogonal representation of dimension  $d$  of a graph  $G$  is an assignment of nonzero vectors in  $\mathbb{R}^d$  to the vertices of  $G$ , so that the vectors corresponding to any nonadjacent pair are orthogonal. Orthogonal representations of graphs were introduced by Lovász in his seminal paper on the theta function [12] (see also [11] for a survey on the subject). Note that if  $v_1, \dots, v_n \in \mathbb{R}^d$  is an orthogonal representation of a graph  $G$ , then the Gram matrix of  $v_1, \dots, v_n$  represents  $G$  as in Definition 1.1, and has rank  $d$ . Hence, Theorem 1.2 shows that the minimal dimension of an orthogonal representation of  $G = G(n, p)$  is w.h.p.  $\Omega(\frac{n \log(1/p)}{\log n})$ . By Remark 1.3 this is tight for all  $n^{-1} \leq p \leq 1 - n^{-0.99}$ . This proves the following theorem, which settles a problem of Knuth [11].

**Theorem 4.1.** *For every  $p = p(n)$  satisfying  $n^{-1} \leq p \leq 1 - n^{-0.99}$ , the minimum dimension  $d$  such that the random graph  $G = G(n, p)$  has an orthogonal representation in  $\mathbb{R}^d$  is, w.h.p.,  $\Theta(\frac{n \log(1/p)}{\log n})$ .*

### 4.2 Unit distance graphs

A *complete* unit-distance graph in  $\mathbb{R}^d$  is a graph whose set of vertices is a finite subset of the  $d$ -dimensional Euclidean space, where two vertices are adjacent if and only if the Euclidean distance between them is exactly 1. A unit distance graph in  $\mathbb{R}^d$  is any subgraph of such a graph. Unit distance graphs have been considered in several papers, see, e.g., [3] and the references therein. Note that if  $u, v \in \mathbb{R}^d$  are two adjacent vertices of such a unit distance graph, then  $\|u - v\|_2^2 = 1$ . Let  $u_1, u_2, \dots, u_n \in \mathbb{R}^d$  be the vertices of a unit distance graph  $G$  in  $\mathbb{R}^d$ . Then the  $n \times n$  matrix  $M$  defined by  $M_{i,j} = 1 - \|u_i - u_j\|_2^2$  is a real matrix in which every entry on the diagonal is 1 and for every pair of distinct adjacent vertices  $u_i, u_j$ ,  $M_{i,j} = 0$ . This implies that the rank of the matrix  $M$  must be at least  $\text{min-rank}_{\mathbb{R}}(\overline{G})$ . On the other hand, it is easy to see that the rank of  $M$  is at most  $d + 2$ . Indeed  $M$  can be expressed as a sum of three matrices  $A, B, C$  where  $A_{i,j} = 1 - \|u_i\|^2$ ,  $B_{i,j} = -\|u_j\|^2$  and  $C_{i,j} = 2u_i^t u_j$ . As all columns of  $A$  and all rows of  $B$  are identical,  $A$  and  $B$  are of rank 1. The matrix  $C$  is twice the Gram matrix of vectors in  $\mathbb{R}^d$ , and hence its rank is at most  $d$ . Therefore  $M$  has rank at most  $d + 2$ . It is also clear that every graph of chromatic number  $d$  is a unit distance graph in  $\mathbb{R}^{d-1}$ . Indeed, the  $d$  vertices  $x_1, \dots, x_d$  of a regular simplex of diameter 1 in  $\mathbb{R}^{d-1}$  can be used to represent all vertices of  $G$ , assigning  $x_i$  to all vertices in color class number  $i$  of  $G$ , for  $1 \leq i \leq d$ . This establishes the following result.

**Theorem 4.2.** *For every  $p = p(n)$  satisfying  $n^{-0.99} \leq p \leq 1 - n^{-1}$ , the minimum dimension  $d$  such that the random graph  $G = G(n, p)$  is a unit distance graph in  $\mathbb{R}^d$  is, w.h.p.,  $\Theta(\frac{n \log(1/(1-p))}{\log n})$ .*

### 4.3 Graphs of touching spheres

The notion of a unit distance graph can be extended as follows. Call a graph  $G$  on  $n$  vertices a graph of touching spheres in  $\mathbb{R}^d$  if there are spheres  $S_1, S_2, \dots, S_n$  in  $\mathbb{R}^d$ , where the sphere  $S_i$  is centered at  $u_i$  and its radius is  $r_i$ , and for every pair of adjacent vertices  $i$  and  $j$ , the two corresponding spheres  $S_i$  and  $S_j$  touch each other and their convex hulls have disjoint interiors. That is, the distance between  $u_i$  and  $u_j$  is exactly  $r_i + r_j$ . Note that if  $r_i = 1/2$  for all  $i$ , then this is exactly the definition of a unit

distance graph. For  $u_i$  and  $r_i$  as above, the matrix  $M = (M_{i,j})$  where  $M_{i,j} = (r_i + r_j)^2 - \|u_i - u_j\|^2$  has nonzero diagonal elements and  $M_{i,j} = 0$  for every pair of adjacent vertices  $i, j$ . Furthermore,  $M$  can be written as a sum of the four matrices in which the  $(i, j)$ -th entry is  $r_i^2 - \|u_i\|^2$ ,  $r_j^2 - \|u_j\|^2$ ,  $r_i r_j$ , and  $2u_i^t u_j$ , respectively. These have ranks at most 1, 1, 1, and  $d$ , respectively, showing that the rank of any such matrix  $M$  is at most  $d + 3$ . The chromatic number of  $G$  provides a representation as before (even as a unit distance graph), implying the following extension of Theorem 4.2.

**Theorem 4.3.** *For every  $p = p(n)$  satisfying  $n^{-0.99} \leq p \leq 1 - n^{-1}$ , the minimum dimension  $d$  such that the random graph  $G = G(n, p)$  is a graph of touching spheres in  $\mathbb{R}^d$  is, w.h.p.,  $\Theta(\frac{n \log(1/(1-p))}{\log n})$ .*

#### 4.4 Graphs defined by a polynomial

Let  $P = P(x, y) = P(x_1, x_2, \dots, x_d, y_1, y_2, \dots, y_d)$ , where  $x = (x_1, \dots, x_d)$  and  $y = (y_1, \dots, y_d)$ , be a polynomial of  $2d$  variables over a field  $\mathbb{F}$ , and assume that it satisfies  $P(x, y) = P(y, x)$  for all  $x, y \in \mathbb{F}^d$ . Say that a graph  $G$  on  $n$  vertices  $1, 2, \dots, n$  is a  $P$ -graph over  $\mathbb{F}^d$  if there are vectors  $x^{(1)}, \dots, x^{(n)} \in \mathbb{F}^d$  such that  $P(x^{(i)}, x^{(i)}) \neq 0$  for all  $1 \leq i \leq n$ , and for every pair of distinct adjacent vertices  $i, j$ ,  $P(x^{(i)}, x^{(j)}) = 0$ . Thus, for example, unit distance graphs correspond to the polynomial  $1 - \|x - y\|^2$ . We will often think of  $P$  as a sequence of polynomials, indexed by  $n$  (so the number of variables is allowed to grow with  $n$ ).

For any  $P$ -graph as above, the matrix  $M$  given by  $M_{i,j} = P(x^{(i)}, x^{(j)})$  vanishes in every entry corresponding to adjacent vertices, and has nonzero entries on the main diagonal. If the degree of  $P$  is large, then even a small number of variables  $2d$  can be enough to represent all  $n$ -vertex graphs as  $P$ -graphs. Indeed, if for example, the field is  $\mathbb{F}_2$ ,  $d = \log_2 n$ , and  $P = \prod_{i=1}^d (1 + x_i + y_i)$ , then for the set  $X = \{0, 1\}^d$  of  $n = 2^d$  vertices, we have  $P(x, x') \neq 0$  if and only if  $x = x'$ , meaning that every graph on  $n$  vertices is a  $P$ -graph, although the number of variables is only  $O(\log n)$ . On the other hand, if  $P$  is of degree at most 3, it is not difficult to see that if  $G$  is a  $P$ -graph then the rank of the matrix  $M$  defined as above is at most  $2d + 1$ . To see this, write  $P$  in the form

$$P = c + \sum_{i=1}^d x_i f_i(y) + \sum_{j=1}^d y_j h_j(x).$$

Next define, for each vector  $x = (x_1, x_2, \dots, x_d)$ , two vectors  $F(x)$  and  $H(x)$  of length  $2d + 1$  each, as follows:

$$F(x) = (1, x_1, x_2, \dots, x_d, h_1(x), h_2(x), \dots, h_d(x)),$$

$$H(x) = (c, f_1(x), f_2(x), \dots, f_d(x), x_1, x_2, \dots, x_d).$$

Thus for every  $x = (x_1, \dots, x_d)$  and  $y = (y_1, \dots, y_d)$ ,  $P(x, y)$  is exactly the inner product of  $F(x)$  with  $H(y)$ . This shows that if  $G$  is a  $P$ -graph then the matrix  $M$  above can be written as a product of the  $n \times (2d + 1)$  matrix whose rows are the vectors  $F(x)$  by the  $(2d + 1) \times n$  matrix whose columns are the vectors  $H(x)$  (where in both cases  $x$  goes over all vectors representing the vertices of  $G$ ). This shows that indeed the rank of  $M$  is at most  $2d + 1$  and implies the following.

**Theorem 4.4.** *Let  $P = P(x_1, x_2, \dots, x_d, y_1, y_2, \dots, y_d)$  be a polynomial of degree at most 3 over a field  $\mathbb{F}$  and let  $p = p(n)$  satisfy  $n^{-1} \leq p \leq 1$ . If the random graph  $G = G(n, p)$  is a  $P$ -graph with probability  $\Omega(1)$ , then  $d$  is at least  $\Omega(\frac{n \log(1/(1-p))}{\log n})$ .*

Note that the proof above works for every polynomial  $P$  with  $O(d)$  monomials like, for example,

$$P(x, y) = 1 - \|x - y\|_4^4 = 1 - \sum_{i=1}^d (x_i - y_i)^4,$$

or even every polynomial  $P$  which is the sum of  $O(d)$  terms, each being either a product of a monomial in the variables of  $x$  times any function of those in  $y$ , or vice versa.

## 4.5 Spaces of polynomials

For a field  $\mathbb{F}$  and a linear space  $\mathbb{S}$  of polynomials in  $\mathbb{F}[x_1, x_2, \dots, x_m]$ , a graph  $G$  on the vertices  $1, 2, \dots, n$  has a representation over  $\mathbb{S}$  if for every vertex  $i$  there are  $P_i \in \mathbb{S}$  and  $v_i \in \mathbb{F}^m$  so that  $P_i(v_i) \neq 0$  for all  $i$  and  $P_i(v_j) = 0$  for every two distinct nonadjacent vertices  $i$  and  $j$ . As shown in [1], if  $G$  has such a representation then its Shannon capacity is at most the dimension of the linear space  $\mathbb{S}$ . It is easy to see that the rank of the matrix  $M = (M_{i,j}) = (P_i(v_j))$  is at most the dimension of  $\mathbb{S}$ . Therefore we get the following.

**Theorem 4.5.** *Let  $\mathbb{S}$  be a linear space of polynomials in variables  $x_1, x_2, \dots, x_m$  over a field  $\mathbb{F}$  and let  $p = p(n)$  satisfy  $n^{-1} \leq p \leq 1$ . If  $G = G(n, p)$  has a representation over  $\mathbb{S}$  with probability  $\Omega(1)$ , then  $\dim \mathbb{S}$  is at least  $\Omega(\frac{n \log(1/p)}{\log n})$ .*

## 5 Concluding Remarks and Open Problems

- We have shown that for all  $n^{-1} \leq p \leq 1 - n^{-0.99}$  and for any finite or infinite field  $\mathbb{F}$ , the minrank of the random graph  $G(n, p)$  over  $\mathbb{F}$  satisfies, w.h.p.,  $\text{min-rank}_{\mathbb{F}}(G) = \Theta(\frac{n \log(1/p)}{\log n})$ . For  $p = n^{-1}$  this gives a lower bound of  $\Omega(n)$ , and as  $n$  is always a trivial upper bound and the function  $\text{min-rank}_{\mathbb{F}}(G)$  for  $G = G(n, p)$  is clearly monotone decreasing in  $p$ , it follows that for all  $0 \leq p \leq n^{-1}$ ,  $\text{min-rank}_{\mathbb{F}}(G) = \Theta(n)$ . In the other extreme, for  $p \geq 1 - O(n^{-1})$ , the graph  $G = G(n, p)$  satisfies w.h.p.  $\chi(\overline{G}) = \Theta(1)$ , and hence  $\text{min-rank}_{\mathbb{F}}(G) = \Theta(1)$ . So the only regime in which there is a gap of more than a constant factor between the lower bound of Theorem 1.2 and the typical value of  $\chi(G(n, 1 - p))$  is when  $\omega(n^{-1}) \leq 1 - p \leq n^{-1+o(1)}$ .

In all the range of  $p$  discussed in this paper, the minrank of a graph is equal, up to a constant factor, to the chromatic number of its complement. It will be interesting to decide how close these two quantities really are, and in particular, to decide whether or not for  $G = G(n, 1/2)$ ,

$$\text{min-rank}_{\mathbb{R}}(G) = (1 + o(1))\chi(\overline{G}) \quad \left( = (1 + o(1))\frac{n}{2 \log_2 n} \right).$$

- It is worth noting that the minrank of a graph may depend heavily on the choice of the field. By Remark 1.6, the minrank of any graph over any field of sufficiently large characteristic is at least its minrank over the complex field. This does not hold without the requirement that the characteristic is large. To see this we use the well-known fact that for any graph  $G$  on  $n$  vertices and for any field  $\mathbb{F}$ ,

$$\text{min-rank}_{\mathbb{F}}(G) \cdot \text{min-rank}_{\mathbb{F}}(\overline{G}) \geq n.$$



Indeed, if  $A = (A_{i,j})$  and  $B = (B_{i,j})$  are representations for  $G$  and its complement over  $\mathbb{F}$  (as in Definition 1.1), then the matrix  $(A_{i,j} \cdot B_{i,j})$  has nonzeros on the diagonal and zero in every other entry, hence its rank is  $n$ . As it is a submatrix of the tensor product of  $A$  and  $B$ , its rank is at most the product of their ranks, proving the above inequality. On the other hand, [1] contains an example of a family of graphs  $G_n$  on  $n$  vertices satisfying  $\min\text{-rank}_{\mathbb{F}_p}(G_n), \min\text{-rank}_{\mathbb{F}_q}(\overline{G_n}) \leq n^{o(1)}$ , where  $\mathbb{F}_p$  and  $\mathbb{F}_q$  are two distinct appropriately chosen prime fields (with  $p$  and  $q$  depending on  $n$ ). An even more substantial gap between the minrank of a graph over a finite field and its minrank over the reals, at least when insisting on a representation by a positive semi-definite matrix, is given in [2], which provides an example of a sequence of graphs  $G_n$  on  $n$  vertices for which  $\min\text{-rank}_{\mathbb{F}}(G_n) = 3$  for some finite field  $\mathbb{F}$  (depending on  $n$ ), whereas the minimum possible rank over the reals by a positive semi-definite matrix is greater than  $n^{1/4}$ .

- It was shown in [8] that the minrank of a graph over any field is an upper bound for its Shannon capacity. In particular, the infimum of  $\min\text{-rank}_{\mathbb{F}}(G)$  over all fields  $\mathbb{F}$  is such an upper bound. We believe that for the random graph  $G(n, 1/2)$  this bound is weaker than the theta function, which is  $\Theta(\sqrt{n})$ .

**Conjecture 5.1.** *For the random graph  $G = G(n, 1/2)$ , it is w.h.p. the case that  $\min\text{-rank}_{\mathbb{F}}(G) = \Theta(n/\log n)$  for every field  $\mathbb{F}$ .*

Note that by our results here this holds for any fixed field and also simultaneously for all fields of sufficiently large characteristic.

- Haviv has recently combined the key lemma of [6] with the Lovász Local Lemma and proved a related result. To state it, we use the following density parameter. For a graph  $H$  with  $h \geq 3$  vertices, let  $m_2(H)$  denote the maximum value of  $\frac{f'-1}{h'-2}$  over all pairs  $(h', f')$  such that there is a subgraph  $H'$  of  $H$  with  $h' \geq 3$  vertices and  $f'$  edges.

**Theorem 5.2** (Haviv [9]). *Let  $H$  be a graph with  $h \geq 3$  vertices and  $f$  edges. Then there is some  $c = c(H) > 0$  so that for every finite field  $\mathbb{F}$  and every integer  $n$  there is a graph  $G$  on  $n$  vertices whose complement contains no copy of  $H$ , so that*

$$\min\text{-rank}_{\mathbb{F}}(G) \geq c \frac{n^{1-1/m_2(H)}}{\log(n|\mathbb{F}|)}.$$

Combining the proof of Haviv with our approach here we can get rid of the dependence on the size of the field and prove the following stronger result.

**Theorem 5.3.** *Let  $H$  be a graph with  $h \geq 3$  vertices. Then there is a constant  $c = c(H) > 0$  such that for every finite or infinite field  $\mathbb{F}$  and every integer  $n$  there is a graph  $G$  on  $n$  vertices whose complement contains no copy of  $H$ , so that*

$$\min\text{-rank}_{\mathbb{F}}(G) \geq c \frac{n^{1-1/m_2(H)}}{\log n}.$$

We omit the detailed proof.

- The results in this paper are formulated for undirected graphs, but can be easily extended to the directed case, with essentially the same proofs.

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