The number of F-matchings in almost every tree is a zero residue

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Abstract

For graphs F and G an F-matching in G is a subgraph of G consisting of pairwise vertex disjoint copies of F. The number of F-matchings in G is denoted by s(F, G). We show that for every fixed positive integer m and every fixed tree F, the probability that $s(F, \mathcal{T}_n) \equiv 0 \pmod{m}$, where \mathcal{T}_n is a random labeled tree with n vertices, tends to one exponentially fast as n grows to infinity. A similar result is proven for induced F-matchings. This generalizes a recent result of Wagner who showed that the number of independent sets in a random labeled tree is almost surely a zero residue.

1 Introduction

The number of independent sets in graphs is an important counting parameter. It is particularly well-studied for trees and tree-like structures. Prodinger and Tichy showed in [10] that the star and the path maximize and minimize, respectively, the number of independent sets among all trees of a given size. Part of the interest in this graph invariant stems from the fact that the number of independent sets plays a role in statistical physics as well as in mathematical chemistry, where it is known as the *Merrifield-Simmons index* [9]. A problem that arises in this context is the inverse problem: determine a graph within a given class of graphs (such as the class of all trees) with a given number of independent sets. It is an open conjecture [7] (see also [6]) that all but finitely many positive integers can be represented as the number of independent sets of some tree. Recently Wagner [12] published a surprising result that may partially explain why the inverse problem for independent sets in trees is difficult. He showed that for every positive integer m, the number of independent sets in a random tree with n vertices is zero modulo m with probability exponentially close to one. Wagner's proof does not give an intuitive explanation of the aforementioned fact. In this paper we give a probabilistic proof for Wagner's result. Our proof is intuitive and simple, thus allowing us to generalize the result significantly. We refer the reader to [12] for further motivation and for a recent survey of previous results regarding the number of independent sets in trees.

Another graph parameter popular in statistical physics and in mathematical chemistry is the *Hosoya index* which is the number of matchings in the graph. While the inverse problem for the number of matchings in trees is easy, as the star with n vertices has exactly n matchings, finding the distribution of this number is still open, as is the case with the number of independent sets. Wagner mentions in [12] that his method could be applied to the number of matchings as well, showing that asymptotically this number is typically divisible by any constant m. This may serve as an explanation for the hardness of obtaining distribution results.

Both independent sets and matchings are special cases of F-matchings. Let F and G be graphs. An F-matching in G is a subgraph of G consisting of pairwise vertex disjoint copies of F. We say that the F-matching is *induced* in G if no additional edge of G is spanned by the vertices of G covered by the matching. These two closely related notions generalize naturally matchings and independent sets. Indeed, if F is the graph with

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two vertices and one edge then an F-matching is simply a matching. If F is a single vertex then an induced F-matching is an independent set.

Given graphs F and G we denote the set of F-matchings in G by S(F,G) and its size by s(F,G). The set of all induced F-matchings in G is denoted by S'(F,G) with s'(F,G) = |S'(F,G)| being its size.

In this paper G will be drawn at random from a probability space of graphs. We define the random tree \mathcal{T}_n to be the set of all n^{n-2} labeled trees on n vertices endowed with the uniform distribution.

Our main results are the following:

Theorem 1. Let F be a tree that is not a single vertex and let m be a positive integer. Then there is a constant c = c(F,m) > 0 such that the number of F-matchings in the random tree \mathcal{T}_n is zero modulo m with probability at least $1 - e^{-cn}$.

Note that when F is a single vertex, the number of F-matchings in any graph with n vertices is 2^n .

Theorem 2. Let F be a tree and let m be a positive integer. Then there is a constant c' = c'(F,m) > 0such that the number of induced F-matchings in the random tree \mathcal{T}_n is zero modulo m with probability at least $1 - e^{-c'n}$.

Wagner's result is an immediate consequence of Theorem 2 — simply take F to be a single vertex.

In the next section we prove Theorem 1, in Section 3 we describe a similar proof of the induced case and in the last section we state some extensions and conclude with a few remarks and open questions. Our extensions include the fact that the assertions of both theorems hold when the random tree \mathcal{T}_n is replaced by a random planar graph on *n* vertices.

2 The non-induced case

In this section we prove Theorem 1. The proof is probabilistic and has two parts, a probabilistic claim (Lemma 3) and a deterministic claim (Lemma 4). Theorem 1 is an immediate consequence of these claims.

We shall use the following notation. Let T be a tree and assume that $\{u, v\}$ is an edge in T. We define a rooted tree $T^{(u,v)}$ by first setting v as the root — this defines a direction of parenthood in T — and then removing u along with its descendants. Note that $T^{(u,v)}$ is a rooted (undirected) tree. If R is a rooted tree isomorphic to $T^{(u,v)}$ (a fact we denote by $R \cong T^{(u,v)}$) for some edge $\{u,v\} \in T$, we say that T has an Rleaf. The next Lemma states that for every fixed rooted tree R, a random tree has an R-leaf with probability exponentially close to 1.

Lemma 3. Let R be a rooted tree. There exists a constant c = c(R) > 0 such that

$$\Pr[\exists \{u, v\} \in \mathcal{T}_n \text{ s.t. } R \cong \mathcal{T}_n^{(u,v)}] > 1 - e^{-cn}.$$

Proof. While our object of interest are trees, it is easier to work with functions on $[n] = \{1, 2, ..., n\}$ via the Joyal mapping ([5], also presented in English in [1]).

We shall briefly describe the Joyal mapping and some of its properties that we need. The Joyal mapping maps f, a function from [n] to itself, to an undirected tree T_f over the set of vertices [n]. There are n^n functions in $[n]^{[n]}$, but only n^{n-2} labeled trees over [n]. In order to make the mapping into a bijection we distinguish two vertices of a labeled tree by marking them *left* and *right* (we may mark one vertex with both). Now the target set is the set of all labeled trees over [n] together with the markings, and is of size n^n .

The mapping is defined as follows. Let $f: [n] \to [n]$. Define \overline{G}_f as the functional digraph¹ with vertex set [n]and edge set $\{(i, f(i)) | i \in [n]\}$. Every vertex in \overline{G}_f has outdegree one, so every connected component has one directed cycle, and all edges that are not in a cycle are pointing towards the cycle. Let $M = \{a_1 < a_2 < \cdots < a_m\}$ be the set of all vertices participating in a cycle of \overline{G}_f . Notice that M is the maximal set such that $f|_M$ is a bijection. To get T_f , the tree corresponding to the function f, we first define a path by taking the vertices of M and adding the m-1 edges of the form $\{f(a_i), f(a_{i+1})\}$. We then mark $f(a_1)$ as "left" and $f(a_m)$ as "right". Finally we add the vertices in $[n] \setminus M$ with the edges $\{i, f(i)\}$ from \overline{G}_f (forgetting about directions).

¹A functional digraph is a directed graph with all outdegrees equal one.

Given a tree T with two such markings, we go back by defining M as the vertices in the path P connecting "left" and "right", and directing all other vertices towards P. Sort the members of M according to their value and denote them by $a_1 < a_2 < \cdots < a_m$. We define f as follows. If $i \in M$ is the j'th vertex in the path then $f(i) = a_j$. If $i \notin M$ then there is one edge, (i, j), emanating from i, and we set f(i) = j. It is easy to verify that this is indeed the inverse of the mapping described above.

Notice that vertices that are not in a cycle are left by the Joyal mapping as they were in \vec{G}_f , meaning that they will be incident with exactly the same edges as in the functional graph. In particular, edges with both endpoints being vertices that are not in a cycle of \vec{G}_f will touch the same edges in T_f as in \vec{G}_f . For our purpose, the fate of vertices lying in a cycle is irrelevant.

Direct the edges of R towards the root to get \vec{R} . Consider a random function f on [n] and let X be the random variable counting the number of directed edges (u, v) in \vec{G}_f such that u, v and the ancestors of v in \vec{G}_f do not belong to any cycle in \vec{G}_f , and in addition, v and its ancestors form an isomorphic copy of \vec{R} .

Denote the vertices of \vec{R} by r_1, \ldots, r_k , the root being r_k . Fix a (k+1)-tuple of vertices of \vec{G}_f , say $1, 2, \ldots, k+1$. The probability that the edge (k, k+1) meets the condition described above is at least the probability that $(k, k+1) \in E(\vec{G}_f)$, the mapping $i \to r_i$ is an isomorphism between \vec{R} and $\vec{G}_f[\{1, \ldots, k\}]$, and in addition, there are no other edges of \vec{G}_f incoming to $\{1, \ldots, k+1\}$. The latter is

$$\left(\frac{1}{n}\right)^k \left(\frac{n-k-1}{n}\right)^{n-k}$$

In order to see this simply notice that for $1 \le i \le k$ there is only one valid target for f(i), while for $i \ge k+1$ it is enough to require that f will map i outside of $\{1, 2, \ldots, k+1\}$. Therefore we get

$$EX \ge \binom{n}{k+1} n^{-k} \left(\frac{n-k-1}{n}\right)^{n-k},$$

which implies $EX = \Omega(n)$.

We want to show that X is concentrated around its mean. Consider the value exposing martingale, in which we expose the values of f one by one. Now, changing the value of f in one coordinate, i, can ruin at most two copies of \vec{R} (one using the edge (i, f(i)) and another that now has an extra edge (i, f'(i))). Therefore the Lipschitz condition with constant two holds and we can apply the Azuma Inequality [2, 3] which yields $\Pr[X = 0] < e^{-cn}$ for some constant c > 0.

Observe that if X(f) > 0 then by the definition of X, the corresponding tree T_f contains the edge $\{u, v\}$ requested by the proposition.

As mentioned above, the Joyal correspondence is n^2 to one. If a labeled tree T does not contain an edge as required, then all its n^2 preimages f satisfy X(f) = 0. Therefore, the probability not to get a tree with a required edge is at most $\Pr[X = 0] < e^{-cn}$ as proven above.

The next argument of the proof states the existence of a *nullifying tree* Z (depending on F and m) such that if a tree T has a Z-leaf then $s(F,T) \equiv 0 \pmod{m}$.

Lemma 4. Let F be a tree with at least one edge and let m be an integer. Then there exists a rooted tree Z such that, if $Z \cong T^{(u,v)}$ for some edge $\{u,v\} \in T$, then $s(F,T) \equiv 0 \pmod{m}$.

Proof. The proof is constructive. By Proposition 5 to be proven below there exists a tree Y such that $s(F, Y) \equiv 0 \pmod{m}$.

Let $\Delta(F)$ be the maximal degree of F. To get Z take $\Delta(F) + 1$ copies of Y, add a new vertex r to be viewed as the root of Z, and connect r to a vertex of each Y (thus adding $\Delta(F) + 1$ edges).

Let T be a tree and assume that $Z \cong T^{(u,v)}$ for some edge $\{u, v\} \in T$. We wish to show that $s(F,T) \equiv 0 \pmod{m}$. There are finitely many ways in which one may cover v by a copy of F, and it may also be that v remains uncovered. We classify F-matchings in T into classes C_1, C_2, \ldots, C_q according to the copy of F covering v, with the set of F-matchings not covering v being a separate class C_0 . We argue that the number of F-matchings in each such class is a zero residue. Indeed, the number of F-matchings in a given class C_i is precisely the number of F-matchings in the forest remaining from T after removing v and the copy covering it, if

there is one. In fact, this number is the product of the number of F-matchings in every connected component of the forest. By our construction of Z, at least one of the trees is this forest is isomorphic to Y. Since $s(F,Y) \equiv 0 \pmod{m}$ we deduce that the number of F-matchings in the forest, and also in C_i , is zero modulo m. This is true for all C_i , and since $S(F,T) \equiv \bigcup C_i$ one has $s(F,T) \equiv 0 \pmod{m}$.

Before stating and proving the next proposition we define some notation. Let F be a tree. Take a longest path in F and denote its vertices by $u_1, u_2, \ldots, u_{l+1}$, where l is the diameter of F. If we disconnect all edges of the form $\{u_i, u_{i+1}\}$ we get l+1 subtrees. Let b_i be the number of vertices in the subtree containing u_i . With this notation we have $|F| = \sum_{i=1}^{l+1} b_i$. Since $b_{l+1} = 1$ we may also write $|F| = 1 + \sum_{i=1}^{l} b_i$. We shall use this notation in the proof of the next proposition and in the proof of Proposition 8 as well.

Proposition 5. Let F be a tree with at least one edge and let m be an integer. Then there exists a rooted tree Y such that $s(F,Y) \equiv 0 \pmod{m}$.

Proof. Let W_t be a tree made of t copies of F in which we identify the vertex u_{l+1} of copy i with the vertex u_1 of copy i + 1 (for $1 \le i \le t - 1$). Let $P \subset W_t$ be the path in W_t connecting the first copy of u_1 to the last copy of u_{l+1} , and number its vertices by $1, \ldots, lt + 1$ in the natural order, from the copy of u_1 in the first copy of F to the copy of u_{l+1} in the last copy of F. We want to have a direction of parenthood in W_t , so we set 1 to be the root. Notice that all connected components of $W_t \setminus V[P]$ are of size strictly less than |F|.

We are interested in embeddings of F in W_t , that is, in subgraphs of W_t that are isomorphic to F. Notice that every such embedding must have a vertex in P. Let C be an embedding of F in W_t . We call the vertex $\min\{C \cap P\}$ the starting vertex of C. Consider the set of all starting vertices in W_t . If $1 \le i \le (t-2)l+1$ is a starting vertex, then by symmetry so is i + l. Observe that trivially 1 is a starting vertex (and so are l + 1, 2l + 1, ...). By the symmetry argument above, if there are d starting vertices between 1 and l + 1(inclusive), then there are 1 + (t-1)(d-1) starting vertices in W_t . To see this recall that 1 is always a starting vertex, and each copy but the last adds d - 1 starting vertices; also, the last copy of F in W_t does not contain any starting vertices apart from 1 + l(t-1) as deleting 1 + l(t-1) leaves less than |F| vertices to the right of it. Similarly, if i is a starting vertex then there are d starting vertices between i and i + l, inclusive.

Now we can define $\{Y_r\}$, a family of subtrees of W_t a member of which will eventually be the sought after tree. Set t to be large enough $(t = 1 + \lceil (r-1)/(d-1) \rceil$ will do). To get Y_r take the minimal subpath of $P \subset W_t$ containing the last r starting vertices and then append to each vertex in the subpath the subtree of its descendants through children outside P. For example, Y_1 is the single starting vertex 1 + l(t-1) and Y_d is the next to the last copy of F in W_t .

Let g(r) be the number of F-matchings in Y_r . We count such F-matchings by the membership of *i*, the first vertex in Y_r . If *i* is not covered by the matching, then the next embedding of F begins no earlier than the next starting vertex. This means that the number of F-matchings of Y_r in which *i* is not covered is g(r-1).

We argue now that if *i* is covered by the matching then the next d-1 starting vertices are also covered. Let $\varphi: F \to Y_r$ be an embedding covering *i*. We claim that the next d-1 starting vertices are also covered by φ . First, since the diameter of *F* is *l*, no vertex of *P* farther than i + l (which is the starting vertex d-1away from *i*) is covered by φ . On the other hand, the path from *i* to i + l - 1 contains one copy of each u_i (not necessarily in the natural order). Thus, the number of vertices in the set containing $i, i + 1, \ldots, i + l - 1$ and their descendants is exactly $\sum_{i=1}^{l} b_i$, hence φ extends also to i + l. Therefore, the other embeddings in the *F*-matching need to start after i + l. We get that the number of such matchings is exactly g(r - d). This gives the recursion g(r) = g(r - 1) + g(r - d).

Observe that the tree Y_r , $1 \le r < d$, does not contain a copy of F, and thus the only F-matching in Y_r is the empty one, implying g(r) = 1 for every $1 \le r < d$; also, g(d) = 2 as $Y_d = F$. We can extend the recursion backwards by defining g(0) = 1 and g(-1) = 0. By Claim 6 below there is an integer $r_0 > 0$ such that $g(r_0) \equiv 0 \pmod{m}$. \Box

Claim 6. Let $g(r): \mathbb{N} \to \mathbb{Z}$ be a sequence of integers obeying a recurrence relation with integer coefficients $g(r) = \sum_{i=1}^{d} c_i g(r-i)$. Assume that g(0) = 0 and $c_d = 1$. Then for every positive integer m > 0 there exists an index $r_0 = r_0(m) > 0$ such that $g(r_0) \equiv 0 \pmod{m}$.

Proof. First we claim that $g(r) \pmod{m}$ is periodic. Indeed, since $g(r) \pmod{m}$ is determined by the *d*-tuple of the previous *d* values, and since modulo *m* there are at most m^d possible *d*-tuples, then after at most

 m^d steps the sequence $g(r) \pmod{m}$ must become periodic. Next we claim that $g(r) \pmod{m}$ is periodic from the beginning. To see this simply extend the sequence m^d steps backwards using the recurrence relation $g(r-d) = g(r) - \sum_{i=1}^{d-1} c_i g(r-i)$. The previous argument shows that the extended sequence is periodic starting at most at the m^d th element, which is the first element of the original sequence. Hence $g(r) \pmod{m}$ is periodic from its first element, g(0) = 0, and thus there is some $r_0 > 0$ such that $g(r_0) \equiv 0 \pmod{m}$.

For more information on recurrence sequences modulo m, in particular for a better estimate of the index of the first zero residue element, see [4, Section 6.3].

3 The induced case

In this section we prove Theorem 2. The proof is similar to the proof of Theorem 1 and we shall focus on the differences between the proofs. As before, the proof is probabilistic. Lemma 3 is the probabilistic part here as well, but the deterministic part is replaced by Lemma 7 below.

We begin by constructing a nullifying rooted tree from copies of a tree Y' having $s'(F, Y') \equiv 0 \pmod{m}$.

Lemma 7. Let F be a tree and let m be an integer. There exists a rooted tree Z' such that if $Z' \cong T^{(u,v)}$ for some edge $\{u,v\} \in T$, then $s'(F,T) \equiv 0 \pmod{m}$.

Proof. By Proposition 8 below there exists a tree Y' such that $s'(F, Y') \equiv 0 \pmod{m}$. Construct Z' by taking $\Delta(F) + 2$ copies of Y', adding a new vertex r to be viewed as the root of Z', connecting one copy to r with a new edge and connecting the rest of the $\Delta(F) + 1$ copies to r via a path of length two.

Let T be a tree and assume that $Z' \cong T^{(u,v)}$ for some edge $\{u,v\} \in T$. We need to show that $s'(F,T) \equiv 0 \pmod{m}$.

There are finitely many ways in which v may be covered by a copy of F, if it is covered at all. We classify induced F-matchings according to the copy of F covering v. Denote these classes by C_1, \ldots, C_k and let C_0 be the class of all induced F-matchings of T in which v is left uncovered. Clearly $S'(F,T) = \bigcup_{i=0}^{k} C_i$. We claim that $|C_i| \equiv 0 \pmod{m}$ for every $0 \le i \le k$.

Consider first the class C_0 of induced *F*-matchings in *T* that leave *v* uncovered. The number of such matchings is the number of matchings in the forest remaining after deleting *v*. This forest has a component isomorphic to Y — the copy of *Y* that was connected to *v* by a single edge. The number of induced *F*-matchings in C_0 is then the product of the number of induced *F*-matchings in every connected component of the aforementioned forest which is zero modulo *m*.

Consider now the class C_i for i > 0. As before, there is a natural one to one correspondence between induced F-matchings in T that belong to C_i and induced F-matchings of the forest remaining after removing the copy of F covering v and all neighbors of vertices in that copy. Since v is covered by the matching, all of its neighbors that are not covered by the same copy of F must remain uncovered. Otherwise, an additional edge outside the copies of F would be spanned. This means that in the above forest at least one of the $\Delta(F) + 1$ copies that were connected to v by a path of length two will now remain as a connected component. Hence, the number of induced F-matchings in C_i is a zero residue.

Summing the sizes of the C_i 's we get that $m'(F,T) \equiv 0 \pmod{m}$.

Proposition 8. Let F be a tree and let m be an integer. Then there exists a rooted tree Y' such that $s'(F, Y') \equiv 0 \pmod{m}$.

Proof. The construction and the proof are similar to those in the proof of Proposition 5, and we shall use the notation defined just before it. We define W'_t as a collection of t disjoint copies of F, and we add an edge between the vertex u_{l+1} of the *i*'th copy and the vertex u_1 of the (i + 1)'th copy. We think of the first copy of u_1 as the root of W'_t .

Let P' be the path connecting the first copy of u_1 with the last copy of u_{l+1} and denote its vertices by $1, \ldots, t(l+1)$ in the natural order. We define starting vertices in the same manner as in the proof of Lemma 4. The symmetry argument still holds, only now the period is l+1, that is, if $1 \le i \le (t-2)(l+1)+1$ is a starting vertex then so is i+l+1. Also, if there are d starting vertices between 1 and l+1, then there are d starting vertices between every starting vertex i and i+l and all in all there are (t-1)d+1 starting vertices in W'_t .

Let Y'_r be the subgraph of W'_t composed of the minimal path of P containing the last r starting vertices together with their descendants through vertices that are not in P. Hence, Y'_1 is a single vertex and Y'_{d+1} is a copy of F with an extra vertex connected to u_{l+1} . Finally we define g'(r) as the number of induced F-matchings in Y'_r .

We wish to derive a recurrence formula for g'(r). We count induced *F*-matchings of Y'_r by the membership of the first vertex. The number of induced *F*-matchings that do not cover the first vertex (who is also the first starting vertex) is exactly g'(r-1).

Consider matchings in which the first starting vertex i is covered. The embedding of F covering i can not cover vertices of P farther than i + l, since the diameter of F is l. On the other hand, the number of vertices in the subgraph made of the path connecting i to i + l together with their descendants that are not in P is exactly $\sum b_i = |F|$. Hence i + l is also covered by the same embedding that covers i. Now, if i + l + 1 is covered by another embedding of F, then $\{i + l, i + l + 1\}$ is spanned, which is forbidden, so i + l + 1 is not covered. Since there are d starting vertices between i and i + l, and since i + l + 1 is a starting vertex as well, we get that the number of such matchings is exactly g'(r - d - 1). Therefore we have g'(r) = g'(r - 1) + g'(r - d - 1).

Clearly g'(r) = 1 for every $1 \le r \le d-1$, as the number of vertices in Y'_r in these cases is smaller than |F|. The value of g'(d) may be either 1 or 2, depending on whether F may be embedded into Y_d or not. The value of g'(d+1) can also be one of a few options. Still, we extend g' backwards by defining g'(0) = g'(d+1) - g'(d), g'(-1) = g'(d) - g'(d-1), and g'(-2) = g'(d-1) - g'(d-2) = 0. We complete the proof by applying Claim 6.

4 Concluding discussion

Our initial objective was to provide a simple and intuitive explanation to the fact that almost all labeled trees have an even number of independent sets. Indeed, there are nullifying trees Z s.t. when a tree T has a Z-leaf, the number of independent sets in T is even. Also, every fixed tree Z appears as a Z-leaf in a random tree with n vertices with probability tending to one as n goes to infinity. Therefore almost all trees have an even number of independent sets.

The simplicity of the explanation allowed vast generalizations — Theorems 1 and 2 above. In fact, the proof also works in other scenarios. If a probability space of graphs has a property corresponding to the probabilistic part of the proof, then the number of (induced) F-matchings will be a zero residue in that probability space as well.

As a concrete example, let \mathcal{P}_n be the random planar graph of order n, that is, \mathcal{P}_n is the set of all simple labeled planar graphs with n vertices endowed with the uniform distribution. In [8] it is shown that with probability exponentially close to one, \mathcal{P}_n has an R-leaf for every fixed rooted tree R. Thus, by the above, the number of (induced) F-matchings is a zero residue in a random planar graph. Notice that \mathcal{P}_n is connected with probability at least 1/e as shown in [8], so a potential simpler strategy of proving the same result — showing the existence of a component having a zero residue number of (induced) F-matchings — will not suffice.

Similar results may be obtained for other random graphs models as well. On the other hand, if we consider dense random graphs then a different approach is required. For example, it is not clear how the number of independent sets typically behaves as a residue for the binomial random graph G(n, 1/2). Moreover, it is not difficult to show that for p = p(n) close to 1 in the range in which the maximum independent set of G(n, p) is $\Theta(1) > 1$ asymptotically almost surely, the number of independent sets in G(n, p) is nearly uniformly distributed modulo any constant m. See [11] for several related results.

Our proof implies that the number of F-matchings in a random tree of order n is typically zero modulo any constant m when the size of F grows slowly enough with n. It may be interesting to find the maximal rate of growth for which this property still holds.

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