

# Large induced forests in sparse graphs

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## Abstract

For a graph  $G$ , let  $a(G)$  denote the maximum size of a subset of vertices that induces a forest. Suppose that  $G$  is connected with  $n$  vertices,  $e$  edges, and maximum degree  $\Delta$ . Our results include:

- (a) if  $\Delta \leq 3$ , and  $G \neq K_4$ , then  $a(G) \geq n - e/4 - 1/4$  and this is sharp for all permissible  $e \equiv 3 \pmod{4}$ ,
- (b) if  $\Delta \geq 3$ , then  $a(G) \geq \alpha(G) + (n - \alpha(G))/(\Delta - 1)^2$ .

Several problems remain open.

## 1 Introduction

For a (simple, undirected) graph  $G = (V, E)$ , we say that an  $S \subseteq V$  is an *acyclic set* if the induced subgraph  $G[S]$  is a forest. We let  $a(G)$  denote the maximum size of an acyclic set in  $G$ . In [4], the minimum possible value of  $a(G)$  is determined, where  $G$  ranges over all

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graphs on  $n$  vertices and  $e$  edges, for every  $n$  and  $e$ . In particular, the results imply that if the average degree of  $G$  is at most  $d \geq 2$ , then  $a(G) \geq \frac{2n}{d+1}$ . This is sharp whenever  $d+1$  divides  $n$  as shown by a disjoint union of cliques of order  $d+1$ . For bipartite graphs, one can do better, since trivially  $a(G) \geq n/2$ . Recently, using probabilistic techniques, the first author has shown that this trivial bound can be improved, but only slightly.

**Theorem 1.1.** [3] *There exists an absolute positive constant  $b$  such that for every bipartite graph  $G$  with  $n$  vertices and average degree at most  $d$ , where  $d \geq 1$ ,*

$$a(G) \geq \left( \frac{1}{2} + \frac{1}{e^{bd^2}} \right) n.$$

*Moreover, there exists an absolute constant  $b' > 0$  such that for every  $d \geq 1$  and all sufficiently large  $n$  there exists a bipartite graph with  $n$  vertices and average degree at most  $d$  such that*

$$a(G) \leq \left( \frac{1}{2} + \frac{1}{e^{b'\sqrt{d}}} \right) n.$$

Theorem 1.1 was motivated by the following conjecture of Albertson and Haas [2], which remains open.

**Conjecture 1.2.** *If  $G$  is an  $n$  vertex planar bipartite graph, then  $a(G) \geq 5n/8$ .*

Conjecture 1.2, if true, is sharp as shown by the following example.

**Example 1.3.** The cube  $Q_3$  is the graph with  $V(Q_3) = \{v_1, v'_1, \dots, v_4, v'_4\}$ , and edges  $v_i v_{i+1}, v'_i v'_{i+1}, v_i v'_i$ , where  $1 \leq i \leq 4$  and subscripts are taken modulo 4. It is easy to see that  $a(Q_3) = 5$ .

In this paper, we prove results that refine Theorem 1.1 for sparse bipartite graphs, and also apply to the larger class of triangle-free graphs. We also obtain bounds for  $a(G)$  in terms of the *independence number*  $\alpha(G)$  of  $G$ .

Given a graph  $G$ , let  $N_G(v)$  or simply  $N(v)$  denote the set of neighbors of vertex  $v$ . For sets  $S, A$  of vertices,  $N(S) = \bigcup_{v \in S} N(v)$  and  $N_A(S) = N(S) \cap A$ . Let  $\dot{K}_4$  denote the five vertex graph obtained from  $K_4$  by subdividing an edge.

**Definition 1.4.** *Let  $\mathcal{F}(t, k)$  denote the family of connected graphs with maximum degree 3 consisting of  $t$  disjoint triangles and  $k$  disjoint copies of  $\dot{K}_4$  such that the multigraph obtained by contracting each triangle and each copy of  $\dot{K}_4$  to a single vertex is a tree of order  $t+k$ . Notice that if  $H_1$  and  $H_2$  are copies of  $K_3$  or  $\dot{K}_4$ , then  $G$  has at most one edge between  $H_1$  and  $H_2$ . Let  $\mathcal{F} = \bigcup_{t,k} \mathcal{F}(t, k)$ , where the union is taken over all nonnegative  $t, k$  with  $t+k > 0$ . (See the figure for an example of a graph in  $\mathcal{F}(2, 3)$ .)*

Figure: A graph in  $\mathcal{F}(2, 3)$

**Theorem 1.5.** *Let  $G = (V, E)$  be a graph with maximum degree 3 and  $K_4 \not\subseteq G$ . If exactly  $c$  components of  $G$  are from  $\mathcal{F}$ , then*

$$a(G) \geq |V| - \frac{|E|}{4} - \frac{c}{4}.$$

A graph  $G \in \mathcal{F}(t, k)$  has  $n = 3t + 5k$  vertices,  $e = 3t + 7k + (t + k - 1) = 4t + 8k - 1$  edges, and every acyclic set in  $G$  has size at most  $2t + 3k$ . Thus  $a(G) \leq 2t + 3k = n - e/4 - 1/4$  and hence Theorem 1.5 is sharp for every member of  $\mathcal{F}$ . Since every element in  $\mathcal{F}$  contains triangles, Theorem 1.5 and Example 1.3 immediately yield

**Corollary 1.6.** *If  $G$  is an  $n$  vertex triangle-free graph with maximum degree 3, then  $a(G) \geq 5n/8$  and this is sharp whenever  $n$  is divisible by 8.*

As mentioned in the introduction,  $n$  vertex graphs with maximum degree  $\Delta$  always have an acyclic set of size at least  $2n/(\Delta + 1)$ . We observe that for triangle-free graphs the factor  $2/(\Delta + 1)$  above can be improved to  $\Theta(\log \Delta/\Delta)$ .

For bipartite graphs, we obtain better bounds through the following result that relates  $a(G)$  to the independence number  $\alpha(G)$  of  $G$ .

**Theorem 1.7.** *Let  $G$  be a connected  $n$  vertex graph with maximum degree  $\Delta \geq 3$ . Then*

$$a(G) \geq \alpha(G) + \frac{n - \alpha(G)}{(\Delta - 1)^2}.$$

In section 2 we present a preliminary result to Theorem 1.5 that applies to triangle-free graphs, and also exhibit some examples with no large acyclic sets. In section 3 we present the proof of Theorem 1.5, in section 4 we prove Theorem 1.7, and in section 5 we summarize our results.

A *cycle* of length  $k$  or *k-cycle* is the graph with vertices  $v_1, \dots, v_k$  and edges  $v_i v_{i+1}$ , for  $1 \leq i \leq k$ , where indices are taken modulo  $k$ . We simply write  $v_1 v_2 \dots v_k$  to denote a  $k$ -cycle.

## 2 Triangle-free graphs

In this section we prove a special case of Theorem 1.5 that is independently interesting.

**Lemma 2.1.** *If  $G$  is a triangle-free graph with  $n$  vertices and  $e$  edges, then  $a(G) \geq n - e/4$ .*

*Proof.* We suppose that  $G$  is a minimal counterexample with respect to the number of vertices, and will obtain a contradiction. If  $G$  is not connected, then by minimality, we can apply the result to each component. Hence we may assume that  $G$  is connected. If  $G$  has a vertex  $v$  with  $\deg(v) \geq 4$  or  $\deg(v) = 1$ , then let  $G' = G - v$ . Now  $G'$  has a large acyclic set  $S' \subseteq V(G')$ . In the first case, set  $S = S'$ , and in the second case, set  $S = S' \cup \{v\}$ . Then  $S$  is an acyclic set in  $G$  of size at least  $n - e/4$ , a contradiction. If  $G$  is 2-regular, then  $G$  is a cycle and  $a(G) = n - 1 \geq n - e/4$ . If  $uv$  is an edge, and  $\deg(u) = 2, \deg(v) = 3$ , then let  $G' = G - u - v$ . By minimality, there is a large acyclic set  $S' \subseteq V(G')$ ; we let  $S = S' \cup \{u\}$ . Then  $|S| \geq (n - 2) - (e - 4)/4 + 1 = n - e/4$ . Hence we may assume that  $G$  is 3-regular.

**Claim:** For every pair  $uv, uv' \in E(G)$ , there exists a vertex  $w$  such that  $uvwv'$  is a 4-cycle.

**Proof of Claim:** Let  $u'$  be the other neighbor of  $u$ , and let  $G_1 = G - u - u' \cup \{vv'\}$ . If  $G_1$  is triangle-free, then by minimality of  $G$  we obtain an acyclic set  $S_1 \subseteq V(G_1)$  of size at least  $n - 2 - (e - 4)/4$ . Then  $S = S_1 \cup \{u\}$  has size at least  $n - e/4$ . Furthermore,  $S$  is acyclic, since any cycle in  $G[S]$  containing  $u$  must traverse the vertices  $v, u, v'$  in this order, and this would yield a cycle in  $G_1[S_1]$  (with the edges  $vu, uv'$ , replaced by  $vv'$ ). This contradiction implies that  $G_1$  contains a triangle of the form  $vvw'$ .  $\square$

Consider a vertex  $w$  in  $G$  with neighbors  $x, y, z$ . If  $x, y, z$  have another common neighbor  $w'$ , then let  $G_2 = G - \{w, w', x, y, z\}$ . By minimality,  $G_2$  has an acyclic set  $S_2$  of size at least  $n - 5 - (e - 9)/4$ . The set  $S = S_2 \cup \{w, w', x\}$  in  $G$  is acyclic and has size at least  $n - e/4$ , a contradiction. Hence by the claim we may assume that there exist  $a, b, c$ , with  $a \leftrightarrow \{x, y\}$ ,  $b \leftrightarrow \{y, z\}$ , and  $c \leftrightarrow \{x, z\}$ . Let  $G_3 = G - \{w, x, y, z, a, b, c\}$ . By minimality,  $G_3$  has an acyclic set  $S_3$  of size at least  $n - 7 - (e - 12)/4$ . The set  $S = S_3 \cup \{w, x, y, z\}$  in  $G$  is acyclic and has size at least  $n - e/4$ , a contradiction.  $\square$

As mentioned earlier, Lemma 2.1 is sharp for  $e \leq 3n/2$  and  $e \equiv 0 \pmod{12}$ , as shown by disjoint copies of  $Q_3$ . For 4-regular graphs it gives  $a(G) \geq n/2$ , but the best example we can find has  $a(G) = 4n/7$ . A *vertex expansion* in a graph  $G$  is the replacement of a vertex  $v \in V(G)$  by an independent set  $Q$  of new vertices, such that the neighborhood of each vertex of  $Q$  is  $N_G(v)$ .

**Example 2.2.** Let  $G = (V, E)$  be the graph obtained from the 7-cycle  $v_1 \dots v_7$  by expanding each vertex to an independent set of size 2. Thus  $G$  is 4-regular with  $|V| = 14$  and  $|E| = 28$ . For  $1 \leq i \leq 7$ , let  $V_i = \{x_i, y_i\}$  be the independent set obtained by expanding  $v_i$ . Suppose that  $S$  is an acyclic set in  $V$ , and let  $S_i = S \cap V_i$ . The crucial observation is that if  $|S_i| = 2$ , then  $|S_{i-1}| + |S_{i+1}| \leq 1$ , where subscripts are taken modulo 7. If exactly three of the  $S_i$ 's have size two, then at least two other  $S_j$ 's must have size zero, giving  $|S| \leq 8$ . If exactly two of the  $S_i$ 's have size two, then at least one other  $S_j$  has size zero, giving  $|S| \leq 8$  again. Thus  $a(G) \leq (4/7)|V|$ , and in fact it is easy to see that equality holds.  $\square$

For 5-regular graphs, Lemma 2.1 gives  $a(G) \geq 3n/8$ , but the best example we can find has  $a(G) = n/2$ .

**Example 2.3.** Let  $G = (V, E)$  be the graph with  $V = \{1, \dots, 14\}$  and all edges  $ij$  where  $j - i = 1, 4, 7, 10, 13 \pmod{14}$ . Thus  $|V| = 14$  and  $G$  is triangle-free and 5-regular. It can be shown through a tedious case analysis (which we omit here) that every acyclic set  $S$  in  $V$  has size at most seven, thus giving  $a(G) \leq |V|/2$ . Since  $\{1, 2, 4, 5, 7, 10, 13\}$  is acyclic,  $a(G) = |V|/2$ .  $\square$

**Remark 2.4.** It is well-known (see [6, 5]) that there are triangle-free graphs on  $n$  vertices with maximum degree  $\Delta$  and independence number at most  $O(n \log \Delta/\Delta)$ . Since every forest contains an independent set of at least half its size, these graphs also have no acyclic set of size greater than  $O(n \log \Delta/\Delta)$ . Moreover, this result is asymptotically sharp since in [1, 7], it is proved that every triangle-free graph on  $n$  vertices and maximum degree  $\Delta$  has an independent set of size at least  $\Omega(n \log \Delta/\Delta)$ .

### 3 Proof of Theorem 1.5

In this section we complete the proof of Theorem 1.5.

**Proof of Theorem 1.5:** We suppose that  $G$  is a minimal counterexample with respect to the number of vertices, and will obtain a contradiction. If  $G$  is not connected, then by minimality, we can apply the result to each component. Hence we may assume that  $G$  is connected. We have already verified the theorem for graphs in  $\mathcal{F}$ , so we may assume that  $G \notin \mathcal{F}$  and  $c = 0$ . Suppose that  $G$  contains a copy  $H$  of  $K_4$ , and  $v$  is the vertex of degree two in  $H$ . Since  $G \notin \mathcal{F}$ ,  $|N_G(v)| = 3$ . Let  $G' = G - H$ . By minimality of  $G$  we obtain a

large acyclic set  $S'$  in  $G'$ . Note that  $G'$  is connected, and  $G' \notin \mathcal{F}$ , since otherwise  $G \in \mathcal{F}$ . Form  $S$  by adding to  $S'$  any three vertices in  $H$  that do not create a triangle. Then

$$a(G) \geq |S| = |S'| + 3 \geq (n - 5) - \frac{e - 8}{4} + 3 = n - \frac{e}{4},$$

a contradiction. Hence we may assume that  $G$  is  $K_4$ -free. If  $G$  is triangle-free, then Lemma 2.1 gives a contradiction, so we may assume that  $xyz$  is a triangle in  $G$ . Let  $T = \{x, y, z\}$  and  $N = N_G(T) - T$ .

**Claim:**  $G[N]$  is a clique.

**Proof of Claim:** Suppose to the contrary that  $y', z' \in N$  with  $y \leftrightarrow y', z \leftrightarrow z'$  and  $y' \not\leftrightarrow z'$ . Let  $\deg(x) = 2$ . Then by minimality of  $G$  we obtain a large acyclic set  $S'$  in  $G' = G - T$ . Let  $S = S' \cup \{x, y\}$ . Then

$$a(G) \geq |S| = |S'| + 2 \geq n - 3 - \frac{e - 5}{4} - \frac{c'}{4} + 2, \quad (1)$$

where  $c'$  is the number of components of  $G'$  from  $\mathcal{F}$  (note that  $c' \leq 2$  since  $G$  is connected). This yields the contradiction  $a(G) \geq n - e/4$  unless  $c' = 2$ , but in this case  $G \in \mathcal{F}$  which we have already excluded. We may therefore assume that  $\deg(x) = 3$ .

Form  $G_1$  from  $G - T$  by adding the edge  $y'z'$  and let  $c_1$  be the number of components in  $G_1$  from  $\mathcal{F}$ . If  $H$  is a copy of  $K_4 \subseteq G_1$ , then  $H$  consists of  $y', z'$  and two other vertices in  $G_1$ . By minimality of  $G$ , the graph  $G - T - V(H)$  has an acyclic set of size at least  $n - 7 - (e - 11)/4 - 1/4$ . We form  $S$  by adding to this set any five vertices that form an acyclic set within  $V(H) \cup T$ . It is easy to see that  $|S| \geq n - e/4$ . This contradiction allows us to assume that  $G_1$  is  $K_4$ -free.

By minimality of  $G$ , there is a large acyclic set  $S_1$  in  $G_1$ . Set  $S = S_1 \cup \{y, z\}$ . Since  $y'z'$  is an edge in  $G_1$ ,  $c_1 < 3$ . The set  $S$  is acyclic, since a cycle in  $S$  would yield a cycle in  $S_1$  (with  $y'yz'z'$  replaced by  $y'z'$ ). If  $c_1 \leq 1$ , then by (1), with  $S' = S_1$  and  $c' = c_1$ , the set  $S$  has size at least  $n - e/4$ , a contradiction. We may therefore assume that  $c_1 = 2$ . Let  $G' = G - T$ . By minimality of  $G$ , there is a large acyclic set  $S'$  in  $G'$ . Let  $x'$  be the other neighbor of  $x$ . Since  $x'$  and  $\{y', z'\}$  lie in different components of  $G'$ , adding  $x, y$  to  $S'$  yields an acyclic set  $S$  in  $G$ . Because  $G \notin \mathcal{F}$ , we deduce that  $c' \leq 2$ , and hence  $|S| \geq |S'| + 2 \geq n - 3 - (e - 6)/4 - 2/4 + 2 = n - e/4$ , a contradiction.  $\square$

Because  $\Delta(G) \leq 3$ , we have  $|N| \leq 3$ . If  $|N| = 1$  and  $T$  has two vertices, say  $x$  and  $y$ , with degree 2 and 3 respectively, then let  $G' = G - T$ . By minimality of  $G$  we obtain an acyclic set  $S'$  in  $G'$  of size at least  $n - 3 - (e - 4)/4$ . The set  $S = S' \cup \{x, y\}$  is acyclic and has

size at least  $n - e/4$ , a contradiction. The remaining case when  $|N| = 1$  is if all vertices of  $T$  have degree 3. In this case, since  $G$  is connected,  $G = K_4$  which the hypothesis excludes.

If  $|N| = 2$ , and all vertices of  $T$  have degree three, then the claim implies that the induced subgraph  $G[T \cup N]$  forms a copy of  $K_4$  which we have already excluded. Hence we may assume that  $\deg(x) = 2$ . Then  $G' = G - T$  has a large acyclic set  $S'$ . Add  $x, y$  to  $S'$  to form  $S$ . Because  $G'$  is connected,  $c' \leq 1$  and (1) yields the contradiction  $a(G) \geq n - e/4$ .

If  $|N| = 3$ , then the claim implies that  $G$  consists of two disjoint triangles with a matching of size three between them. In this case  $a(G) = 4 \geq 6 - 9/4$ , a contradiction.  $\square$

## 4 From independent sets to forests

In a graph  $G$  with maximum degree  $\Delta$ , we can obtain an acyclic set of size

$$\alpha(G) + \frac{n - \alpha(G)}{\Delta(\Delta - 1) + 1} \quad (2)$$

by considering a maximum independent set  $I$ , and successively adding to it vertices whose pairwise distance is at least three. The result of this section improves the factor  $\Delta(\Delta - 1) + 1$  in (2) to  $(\Delta - 1)^2$ . For small values of  $\Delta$ , this improvement is significant. Indeed, the result applied to bipartite graphs when  $\Delta = 3$  is sharp.

**Proof of Theorem 1.7:** Let  $B$  be an independent set in  $G = (V, E)$  with  $\alpha(G)$  vertices, and let  $A = V - B$ . We will iteratively construct a sequence  $a_1, \dots, a_t$  of vertices in  $A$  with the following properties:

$$N(a_i) \cap \{a_{i+1}, \dots, a_t\} = \emptyset \quad \text{and} \quad (3)$$

$$|N(a_i) \cap (\cup_{j=i+1}^t N(a_j)) \cap B| \leq 1 \quad \text{for each } i. \quad (4)$$

Set  $S = \{a_1, \dots, a_t\} \cup B$ . We will show that either  $S$  has the required size, or we can augment it by one to have the required size. By (3) any cycle  $C$  in  $G[S]$  alternates between vertices in  $A$  and vertices in  $B$ . Let  $l$  be the smallest integer for which  $a_l$  is on  $C$ . By (4),  $a_l$  has at most one neighbor from  $B$  that lies on  $C$ . Hence we conclude that  $S$  is acyclic.

Let  $D_0 = \emptyset$ . We iteratively construct a sequence of sets  $D_1, \dots, D_t$ , and put  $A_i = D_1 \cup D_2 \cup \dots \cup D_i$ . Let  $R_0 = B$ , and for  $i \geq 1$ , let  $R_i = N_B(A_i)$ . Assume that we have already constructed  $D_0, \dots, D_i$  for some  $i \geq 0$ . If  $A_i = A$ , then let  $t = i$ , and stop. Otherwise, choose  $a_{i+1} \in A - A_i$  such that  $a_{i+1}$  is adjacent to a vertex  $x_{i+1} \in A_i \cup R_i$

(such a vertex exists, since  $G$  is connected, and  $A_i \neq A$ ). If  $N_B(a_{i+1}) \subseteq \{x_{i+1}\}$ , then let  $Z_{i+1} = N_B(a_{i+1})$ ; otherwise choose  $z_{i+1} \in N_B(a_{i+1}) - \{x_{i+1}\}$  so that, if possible,  $a_{i+1}$  is the only common neighbor of  $x_{i+1}$  and  $z_{i+1}$ , and put  $Z_{i+1} = N_B(a_{i+1}) - \{z_{i+1}\}$ . Let

$$D_{i+1} = (N_A(a_{i+1}) \cup N_A(Z_{i+1}) \cup \{a_{i+1}\}) - A_i.$$

The definition of  $D_{i+1}$  and  $a_{i+1}$  ensures that conditions (3) and (4) are satisfied.

**Claim:** For  $i = 0$ ,  $|D_{i+1}| \leq (\Delta - 1)^2 + 1$  and for  $i \geq 1$ ,  $|D_{i+1}| \leq (\Delta - 1)^2$ . Moreover, if equality holds above for  $i \geq 0$ , then there exists a  $w \in D_{i+1} - \{a_{i+1}\}$  such that the vertices  $w, a_{i+1}$  are not adjacent and have at most one common neighbor in  $B$ .

**Proof of Claim:** We only prove the case  $i \geq 1$ , noting that the analysis for  $|D_1|$  follows similarly. Set  $k = |N_B(a_{i+1})|$ . If  $k = 0$ , then  $|D_{i+1}| \leq \Delta - 1 + 1 < (\Delta - 1)^2$ , because  $a_{i+1}$  is adjacent to  $x_{i+1} \in A_i$ . Thus we may assume that  $k \geq 1$ . If  $Z_{i+1} = N_B(a_{i+1})$ , then  $Z_{i+1} = N_B(a_{i+1}) = \{x_{i+1}\}$ ,  $k = 1$ , and

$$|D_{i+1}| \leq |N_A(a_{i+1}) - A_i| + |N_A(x_{i+1}) - A_i| \leq (\Delta - 1) + (\Delta - 1) \leq (\Delta - 1)^2,$$

since  $x_{i+1}$  is adjacent to  $a_{i+1}$  and also to a vertex in  $A_i$ . If equality holds, then pick  $w \in N_A(x_{i+1}) - A_i - \{a_{i+1}\}$ ;  $w$  has the required properties, since  $k = 1$ , and  $w \not\sim a_{i+1}$ .

We may therefore assume that  $Z_{i+1} \subsetneq N_B(a_{i+1})$ . In this case,

$$|D_{i+1}| \leq |N_A(a_{i+1}) - A_i| + |N_B(Z_{i+1}) - A_i| + 1 \leq (\Delta - k) + (k - 1)(\Delta - 1) - 1 + 1 \leq (\Delta - 1)^2,$$

because  $|Z_{i+1}| \leq k - 1$  and each vertex in  $Z_{i+1}$  is adjacent to at most  $\Delta - 1$  vertices of  $A - A_i$  other than  $a_{i+1}$ . The term  $-1$  arises because either  $x_{i+1} \in A_i$ , or  $x_{i+1} \in Z_{i+1}$  is adjacent to a vertex in  $A_i$ . If equality holds, then  $k = \Delta$ . This implies that  $N_A(a_{i+1}) = \emptyset$  and  $x_{i+1} \in B$ . Pick  $w \in N_A(x_{i+1}) - \{a_{i+1}\}$ . By the conditions for equality,  $w$  and  $a_{i+1}$  have no common neighbor in  $Z_{i+1}$ . The choice of  $z_{i+1}$  implies that  $x_{i+1}$  is the only common neighbor of  $w$  and  $a_{i+1}$  in all of  $B$ .  $\square$

As indicated above by the choice of  $t$ , we continue this procedure till we have accounted for all of  $G$ . By the claim, this yields

$$n - \alpha(G) = |A| = A_t = \sum_{i=1}^t |D_i| \leq (\Delta - 1)^2 + 1 + (t - 1)(\Delta - 1)^2. \quad (5)$$

Solving for  $t$  gives  $t \geq |A|/(\Delta - 1)^2$  unless equality holds everywhere in (5). But in this case, consider the vertex  $w$  from the claim obtained when  $i = t - 1$ . We add  $w = a_{t+1}$  to our



acyclic set to augment it by one. The conditions for equality stated in the claim yield (3) and (4) with  $t$  replaced by  $t + 1$ . Hence  $\{a_1, \dots, a_t, a_{t+1}\} \cup B$  is acyclic and of the required size.  $\square$

**Corollary 4.1.** *Suppose that  $G$  is an  $n$  vertex bipartite graph with maximum degree  $\Delta \geq 3$ . Then*

$$a(G) \geq \left( \frac{1}{2} + \frac{1}{2(\Delta - 1)^2} \right) n \quad (6)$$

and this is sharp for  $\Delta = 3, n \equiv 0 \pmod{8}$ .

*Proof.* Since  $\alpha(G) \geq n/2$  when  $G$  is bipartite, (6) follows immediately from Theorem 1.7. The cube  $Q_3$  shows that this is sharp for  $\Delta = 3$ .  $\square$

We end this section by constructing  $n$  vertex  $\Delta$ -regular bipartite graphs with  $a(G) \leq n/2 + O(n/\Delta^2)$ .

**Definition 4.2.** *For integers  $a, b \geq 1$ , let  $G_{a,b}$  be the bipartite graph with parts  $X, Y$  each of size  $ab$ , with  $X = \{x_{i,j} : 1 \leq i \leq a, 1 \leq j \leq b\}$  and  $Y = \{y_{i,j} : 1 \leq i \leq a, 1 \leq j \leq b\}$ . Vertices  $x_{i,j}$  and  $y_{i',j'}$  are adjacent if and only if either  $i = i'$  or  $j = j'$ . For  $1 \leq i \leq a$  and  $1 \leq j \leq b$ , let  $R_i = \{x_{i,1}, y_{i,1}, \dots, x_{i,b}, y_{i,b}\}$  and  $C_j = \{x_{1,j}, y_{1,j}, \dots, x_{a,j}, y_{a,j}\}$ . These are the rows and columns of  $G_{a,b}$ .*

**Theorem 4.3.**  $a(G_{a,b}) \leq ab + 1$ .

*Proof.* We proceed by induction on  $a + b$ . We may assume by symmetry that  $b \geq a$ . If  $a = 1$ , then  $G_{a,b} \cong K_{b,b}$  for which the result trivially holds. This completes the cases  $a + b \leq 3$ , and we may therefore assume that  $a \geq 2$  and  $a + b \geq 4$ . Consider a subgraph  $H$  of  $G_{a,b}$  with  $ab + 2$  vertices. If  $|V(H) \cap R_i| \leq b$  for some  $i$ , then let  $H'$  be the restriction of  $H$  to  $G_{a,b} - R_i$ . Since  $|V(H')| \geq ab + 2 - b = (a - 1)b + 2$ , and  $G_{a,b} - R_i \cong G_{a-1,b}$ , we obtain a cycle in  $H'$  by induction. Hence we conclude that  $|V(H) \cap R_i| \geq b + 1$  for all  $i$ , and similarly that  $|V(H) \cap C_j| \geq a + 1$  for all  $j$ .

Let  $r_i$  be the number of edges of  $H$  induced by  $V(H) \cap R_i$  and  $c_j$  be the number of edges of  $H$  induced by  $V(H) \cap C_j$ . It is easy to see that  $|V(H) \cap R_i| \geq b + 1$  implies  $r_i \geq b$ , and similarly that  $|V(H) \cap C_j| \geq a + 1$  implies  $c_j \geq a$ . Call an edge *vertical* if it has the form  $x_{l,m}y_{l,m}$  for some  $l, m$ ; if an edge is not vertical, call it *diagonal*. Let  $e = |E(H)|$  and let  $t$  be

the number of vertical edges in  $H$ . If  $t \geq a + 1$ , then two vertical edges from  $H$  lie in the same row, and this results in a 4-cycle in  $H$ . Hence we may assume that  $t \leq a$ .

Each vertical edge of  $H$  is in the induced subgraph of one row and of one column. Each diagonal edge of  $H$  is in the induced subgraph of one row or one column, but not both. These observations yield

$$ab + ba \leq \sum_i r_i + \sum_j c_j = (e - t) + 2t.$$

Solving for  $e$  gives  $e \geq 2ab - t \geq 2ab - a \geq ab + 2 = |V(H)|$ , which implies that  $H$  is not acyclic.  $\square$

Taking disjoint copies of  $G_{\lfloor(\Delta+1)/2\rfloor, \lfloor(\Delta+1)/2\rfloor}$  and disjoint copies of  $K_{\Delta, \Delta}$  immediately yields

**Corollary 4.4.** *For integers  $\Delta, n$ , where  $\lfloor(\Delta + 1)^2/2\rfloor$  divides  $n$ , there exists an  $n$  vertex  $\Delta$ -regular bipartite graph with  $a(G) = n/2 + n/(\lfloor(\Delta + 1)^2/2\rfloor)$ . If  $2\Delta$  divides  $n$ , then there exists an  $n$  vertex  $\Delta$ -regular bipartite graph with  $a(G) = n/2 + n/(2\Delta)$ .*

**Remark 4.5.** *The graphs  $G_{a,b}$  also provide our best constructions for 4-regular and 5-regular bipartite graphs with no large acyclic sets. In particular, Theorem 4.3 immediately yields  $a(G_{2,3}) = 7$  and  $a(G_{3,3}) = 10$ .*

## 5 Summary of Results

In this section, we summarize our results. To do this accurately, we first define some classes of  $n$  vertex graphs. Let  $\mathcal{G}_{n,d}$  denote the family of  $d$ -regular graphs,  $\mathcal{G}_{n,d}^-$  denote the family of graphs with maximum degree  $d$ . Let  $\mathcal{T}_{n,d}$  denote the family of triangle-free  $d$ -regular graphs,  $\mathcal{T}_{n,d}^-$  denote the family of triangle-free graphs with maximum degree  $d$ . Let  $\mathcal{B}_{n,d}$  denote the family of bipartite  $d$ -regular graphs,  $\mathcal{B}_{n,d}^-$  denote the family of bipartite graphs with maximum degree  $d$ .

Given a finite family of graphs  $\mathcal{F}$ , let  $a(\mathcal{F})$  denote the minimum of  $a(G)$  over all  $G \in \mathcal{F}$ . Considering vertex disjoint copies of graphs, one can easily see that

$$a(\mathcal{G}_{n_1,d}) + a(\mathcal{G}_{n_2,d}) \geq a(\mathcal{G}_{n_1+n_2,d}).$$

This, and the obvious lower bound  $a(G) \geq n/d^2$  imply that the limit

$$\gamma_d := \lim_{n \rightarrow \infty} a(\mathcal{G}_{n,d})/n$$

exists and is not equal to zero (Fekete's Lemma, see, e.g., [8]). The same is true for

$$\begin{aligned}\gamma_d^- &:= \lim_{n \rightarrow \infty} a(\mathcal{G}_{n,d}^-)/n, \\ \tau_d &:= \lim_{n \rightarrow \infty} a(\mathcal{T}_{n,d})/n, & \tau_d^- &:= \lim_{n \rightarrow \infty} a(\mathcal{T}_{n,d}^-)/n, \\ \beta_d &:= \lim_{n \rightarrow \infty} a(\mathcal{B}_{n,d})/n, & \beta_d^- &:= \lim_{n \rightarrow \infty} a(\mathcal{B}_{n,d}^-)/n.\end{aligned}$$

Table of Results

$d =$	2	3	4	5	...
$\gamma_d, \gamma_d^-$	$\frac{2}{d+1}$ [4]				
$\tau_d, \tau_d^-$	$\frac{3}{4}$	$\frac{5}{8}$ Lem. 2.1 Ex. 1.3	$\geq \frac{1}{2}$ Lem. 2.1	$\geq \frac{3}{8}$ Lem. 2.1	$\geq \Omega\left(\frac{\log d}{d}\right)$ [1]
			$\leq \frac{4}{7}$ Ex. 2.2	$\leq \frac{1}{2}$ Ex. 2.3	$\tau_d^- = \Theta\left(\frac{\log d}{d}\right)$ Rem. 2.4
$\beta_d, \beta_d^-$			$\geq \frac{5}{9}$ Cor. 4.1	$\geq \frac{17}{32}$ Cor. 4.1	$\geq \frac{1}{2} + \frac{1}{2(d-1)^2}$ Cor. 4.1
			$\leq \frac{7}{12}$ Rem. 4.5	$\leq \frac{5}{9}$ Rem. 4.5	$\leq \frac{1}{2} + \frac{1}{\lfloor (d+1)^2/2 \rfloor}$ Cor. 4.4

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