

# Reduction of Abelian Varieties and Curves

In Erinnerung an Wulf-Dieter Geyer (1939-2019)

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## Abstract

Consider a noetherian domain  $R_0$  with quotient field  $K_0$ . Let  $K$  be a finitely generated regular transcendental field extension of  $K_0$ . We construct a noetherian domain  $R$  with  $\text{Quot}(R) = K$  that contains  $R_0$  and embed  $\text{Spec}(R_0)$  into  $\text{Spec}(R)$ . Then, we prove that key properties of abelian varieties and smooth geometrically integral projective curves over  $K$  are preserved under reduction modulo  $\mathfrak{p}$  for “almost all”  $\mathfrak{p} \in \text{Spec}(R_0)$  (Remark 1.5).

## Notation

- $\tilde{K}$  is the algebraic closure of a field  $K$ . Occasionally, we write  $K_{\text{alg}}$  for  $\tilde{K}$ .
- $K_{\text{sep}}$  is the separable closure of  $K$  in  $\tilde{K}$ .
- $K_{\text{ins}}$  is the maximal purely inseparable extension of  $K$  in  $\tilde{K}$ .
- $\text{Gal}(K) := \text{Gal}(K_{\text{sep}}/K)$  is the absolute Galois group of  $K$ .
- $\mathfrak{o}$  denotes the zero point of a given additive abelian variety  $A$ .
- $\mathbf{0} = \{\mathfrak{o}\}$  with  $\mathfrak{o}$  as in the preceding notation.

## Introduction

The theory of reduction of algebro-geometric objects has a long history that we won't try to recapitulate here. We only mention Ehud Hrushovski's work [Hru98] in which he proves several “good reduction theorems” modulo prime numbers for algebro-geometric objects over finitely generated transcendental extensions of  $\mathbb{Q}$ .

We consider an integrally closed noetherian domain  $R_0$  such that for every non-zero  $c \in R_0$  there exist infinitely many prime ideals of  $R_0$  that do not contain

c. Then we construct an integrally closed noetherian domain  $R$  which is finitely generated as a ring over  $R_0$ , and a finitely generated regular transcendental extension  $K/K_0$  of fields such that  $K_0 = \text{Quot}(R_0)$  and  $K = \text{Quot}(R)$ . We embed  $\text{Spec}(R_0)$  into  $\text{Spec}(R)$ , consider each  $\mathfrak{p} \in \text{Spec}(R_0)$  as a prime ideal of  $R$  (Convention 1.3), and let  $\bar{K}_{\mathfrak{p}}$  be the quotient field of  $R/\mathfrak{p}$ .

Then, following Hrushovski, we prove in a few cases, that algebro-geometric objects over  $K$  retain their properties under reduction modulo  $\mathfrak{p}$ , for **almost all**  $\mathfrak{p} \in \text{Spec}(R_0)$ , i.e. for all  $\mathfrak{p} \in \text{Spec}(R_0)$  that lie in a non-empty Zariski-open subset of  $\text{Spec}(R_0)$  (see Remark 1.5).

**Theorem A** (Theorem 3.11): *Let  $A$  be an abelian variety over  $K$  such that  $A(K_{0,\text{sep}})$  is finitely generated. Then, the following statements hold:*

- (a) *For almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , we have that  $\bar{A}_{\mathfrak{p}}$  is an abelian variety over  $\bar{K}_{\mathfrak{p}}$  with  $\dim(\bar{A}_{\mathfrak{p}}) = \dim(A)$ .*
- (b) *For almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , the reduction map  $\rho_{\mathfrak{p}}: A(K) \rightarrow \bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}})$  is injective on  $A_{\text{tor}}(K)$ .*
- (c) *If  $l$  is a prime number such that  $l \neq \text{char}(K_0)$  and  $A_l(K_{0,\text{sep}}) = \mathbf{0}$ , then  $\bar{A}_{\mathfrak{p},l}(\bar{K}_{\mathfrak{p}}) = \mathbf{0}$  for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .*
- (d) *For every large prime number  $l$  and for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , the map  $\rho_{\mathfrak{p}}$  induces an injection*

$$\bar{\rho}_{\mathfrak{p},l}: A(K)/lA(K) \rightarrow \bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}})/l\bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}}).$$

- (e)  $\rho_{\mathfrak{p}}: A(K) \rightarrow \bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}})$  is an injection for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .

In addition to basic properties of abelian varieties and a simple criterion for the injectivity of a homomorphism of abelian groups (Lemma 3.1), the proof of Theorem A applies model theoretic tools, especially ultra-products (Lemma 3.8).

**Theorem B** (Theorem 4.13): *Let  $A$  be an abelian variety over  $K$  such that no simple abelian subvariety of  $A_{\bar{K}}$  is defined over  $\bar{K}_0$ .*

*Then, for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , no simple abelian subvariety of the abelian variety  $\bar{A}_{\mathfrak{p}}$  over  $\bar{K}_{\mathfrak{p}}$  is defined over  $\bar{K}_{0,\mathfrak{p},\text{alg}}$ .*

This is a generalization to arbitrary characteristic of a result of Hrushovski in characteristic 0. The proof follows that of Hrushovski, adding the necessary adjustments to the general case.

**Theorem C** (Theorem 5.5): *Let  $C$  be a smooth geometrically integral curve over  $K$  of genus  $g \geq 1$ . Suppose that  $C$  has a  $K$ -rational point,  $C$  is conservative (Remark 2.1), and  $C_{\bar{K}}$  is not birationally equivalent to a curve which is defined over  $\bar{K}_0$ .*

*Then, for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$  the reduced curve  $\bar{C}_{\mathfrak{p}}$  is geometrically integral over  $\bar{K}_{\mathfrak{p}}$ , smooth, conservative of genus  $g$ ,  $\bar{C}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}}) \neq \emptyset$ , but  $\bar{C}_{\mathfrak{p},\bar{K}_{\mathfrak{p},\text{alg}}}$  is not birationally equivalent to a curve which is defined over  $\bar{K}_{0,\mathfrak{p},\text{alg}}$ .*

The proof of Theorem C applies Theorem B for  $g = 1$  and the basic tool of the coarse moduli space for curves of a fixed genus  $g$  up to isomorphism for  $g \geq 2$ .

The first four sections of this work follow Hrushovski’s style in [Hru98] and mainly use “elementary statements” about algebraically closed fields in order to prove Theorems A and B. In Section 5 we switch to the language of schemes.<sup>1</sup>

**Remark D:** It turns out that not every algebro-geometric statement defined over  $K$  and holds over  $\tilde{K}$ , where  $K = K_0$ , is true over  $\tilde{K}_{0,\mathfrak{p},\text{alg}}$  for almost all prime ideals  $\mathfrak{p} \in \text{Spec}(R_0)$ .

For example, there are abelian varieties  $A$  of dimension 2 defined over a number field  $K$  such that  $A_{\tilde{K}}$  is simple but  $\tilde{A}_{\mathfrak{p}}$  is not simple for almost all prime ideals  $\mathfrak{p}$  of the ring of integers of  $K$  [EEHK09, p. 146, Rem. 16]. ■

## 1 Reduction modulo almost all $\mathfrak{p}$

We fix for the whole work an extension  $R/R_0$  of integral noetherian domains such that  $K := \text{Quot}(R)$  is a finitely generated regular transcendental extension of  $K_0 := \text{Quot}(R_0)$ <sup>2</sup>. Let  $r = \text{trans.deg}(K/K_0)$ . In Setup 1.1 below we embed  $\text{Spec}(R_0)$  into  $\text{Spec}(R)$  and observe that for “almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ ” the residue field  $\tilde{K}_{\mathfrak{p}} := \text{Quot}(R/\mathfrak{p})$  is a finitely generated regular extension of  $\tilde{K}_{0,\mathfrak{p}} := \text{Quot}(R_0/R_0 \cap \mathfrak{p})$  of transcendence degree  $r$ . The main result of Section 2 says that if  $C$  is a conservative geometrically integral curve of genus  $g$  over  $K$ , then for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , the reduced curve  $\tilde{C}_{\mathfrak{p}}$  is a conservative geometrically integral curve of genus  $g$  over  $\tilde{K}_{\mathfrak{p}}$ .

{Rmaa}

**Setup 1.1** (Finitely generated extension). Our starting point is an integrally closed noetherian domain  $R_0$  with quotient field  $K_0$ . We assume that

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for every non-zero  $c \in R_0$  there exist infinitely many prime ideals of  $R_0$  (1) that do not contain  $c$ .

{infpi}

For example, we may take  $R_0$  to be a Dedekind domain with infinitely many maximal ideals. The ring  $\mathbb{Z}$  or rings  $F[t]$  of polynomials of one variable over an arbitrary field are Dedekind rings with infinitely many prime ideals. Moreover, if  $R_0$  is a Dedekind ring, then its integral closure in any finitely generated extension of  $\text{Quot}(R_0)$  is also a Dedekind ring [ZaS75, p. 281, Thm. 19].

We follow [Liu06, p. 55, Def. 3.47] and define an **affine variety over  $K_0$**  to be an affine scheme associated to a finitely generated algebra over  $K_0$  [Liu06, p. 43, Def. 3.2]. Then, an **algebraic variety over  $K_0$**  is a  $K_0$ -scheme  $X$  which is covered by finitely many affine open subvarieties over  $K_0$ . However, in contrast to [Liu06], we assume all of the algebraic varieties in this work to be separated.

Accordingly, a **curve over  $K_0$**  in this work is just an algebraic variety over  $K_0$  whose irreducible components [Liu06, p. 61, first two paragraphs of Section 4.2] are of dimension 1 [Liu06, p. 73, Sec. 5.3].

<sup>1</sup>The authors are indebted to Gerhard Frey for his contribution to Section 5.

<sup>2</sup>All rings appearing in this work are supposed to be commutative with a unit.

We are especially interested in geometrically integral affine varieties  $V$  over  $K_0$  [Liu06, p. 90, Def. 2.8]. In the language of classical algebraic geometry these objects are just called **varieties** defined over  $K_0$ . See [Wei62], [Lan58], or [FrJ08, Sections 10.1 and 10.2]. See also Example 1.8.

For example, let  $K$  be a finitely generated regular extension of  $K_0$  of transcendence degree  $r \geq 1$ . Choose a separating transcendence base  $u_1, \dots, u_r$  for  $K/K_0$  and set  $\mathbf{u} = (u_1, \dots, u_r)$ . Then, the integral closure  $R$  of  $R_0[\mathbf{u}]$  in  $K$  is a finitely generated  $R_0[\mathbf{u}]$ -module [Eis95, p. 298, Prop. 13.14], so  $R = R_0[\mathbf{x}]$  with  $\mathbf{x} = (x_1, \dots, x_n)$  and  $K = \text{Quot}(R)$ . In particular,  $R$  is a noetherian domain [ZaS75, p. 265, Cor. 1]. By [FrJ08, p. 175, Cor. 10.2.2], the affine variety  $V := \text{Spec}(K_0[\mathbf{x}])$  over  $K_0$  is geometrically integral and  $\mathbf{x}$  is a **generic point** of  $V$ .

Let  $w \in K_0[\mathbf{x}]$  be a basic minor of the Jacobian matrix of  $V$  with respect to polynomials in  $K_0[\mathbf{x}]$  that define  $V$ . Adding  $w^{-1}$  to  $\{x_1, \dots, x_n\}$ , we may assume that  $V$  is also smooth [Mum88, p. 233, Cor. 1]. ■

**Remark 1.2.** Let  $K'_0$  be a finite separable extension of  $K_0$  and  $R'_0$  the integral closure of  $R_0$  in  $K'_0$ . Consider a non-zero  $c' \in R'_0$ . Then, the norm  $c$  of  $c'$  from  $K'_0$  to  $K_0$  lies in  $R_0$  [Lan93, p. 337, Cor. 1.6]. Therefore, if  $\mathfrak{p}$  is a prime ideal of  $R_0$  that does not contain  $c$ , then each prime ideal of  $R'_0$  over  $\mathfrak{p}$  does not contain  $c'$ . By Condition (1) on  $R_0$ , there are infinitely many such prime ideals of  $R_0$ . Hence, there are infinitely many prime ideals of  $R'_0$  that do not contain  $c'$ . Thus, Condition (1) is also satisfied for  $R'_0$  replacing  $R_0$ . ■

{ETNs}

The most important examples for algebraic varieties over  $K_0$  which are not affine are **projective varieties** defined by homogeneous polynomials [Liu06, p. 55, Def. 3.47]. In particular, **abelian varieties** over  $K_0$  can be represented as projective varieties [Mil85, p. 113, Thm. 7.1].

**Convention 1.3.** Let  $R_0$  and  $R$  be the integral domains introduced in Setup 1.1. We embed  $\text{Spec}(R_0)$  into  $\text{Spec}(R)$  and fix this embedding for the whole work in the following way:

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For each  $\mathfrak{p} \in \text{Spec}(R_0)$  we choose algebraically independent elements  $\bar{u}_{\mathfrak{p},1}, \dots, \bar{u}_{\mathfrak{p},r}$  over  $\bar{K}_{0,\mathfrak{p}}$ , set  $\bar{\mathbf{u}}_{\mathfrak{p}} = (\bar{u}_{\mathfrak{p},1}, \dots, \bar{u}_{\mathfrak{p},r})$ , and let  $\mathfrak{p}'$  be the kernel of the map  $R_0[\mathbf{u}] \rightarrow \bar{K}_{0,\mathfrak{p}}[\bar{\mathbf{u}}_{\mathfrak{p}}]$  that extends the map  $R_0 \rightarrow \bar{K}_{0,\mathfrak{p}}$  and maps  $\mathbf{u}$  onto  $\bar{\mathbf{u}}_{\mathfrak{p}}$ . Note that  $\mathfrak{p}'$  is the smallest prime ideal of  $R_0[\mathbf{u}]$  that contains  $\mathfrak{p}$ . ■

Then we apply the going up theorem [AtM69, pp. 61, 62, Cor. 5.9, Thm. 5.10] to choose a prime ideal  $\mathfrak{p}''$  of  $R$  that lies over  $\mathfrak{p}'$  and note that  $\mathfrak{p}''$  is a minimal prime ideal of  $R$  over  $\mathfrak{p}'$ . Thus,  $\mathfrak{p}''$  is also a minimal prime ideal of  $R$  over  $\mathfrak{p}$ .

Finally, we fix  $\mathfrak{p}''$  and redenote it by  $\mathfrak{p}$ .

*Claim: For each non-zero  $c \in R$  there exists a non-zero  $c_0 \in R_0$  such that if  $\mathfrak{p} \in \text{Spec}(R_0)$  and  $c_0 \notin \mathfrak{p}$ , then  $c \notin \mathfrak{p}$ .*

Indeed, assume first that  $c \in R_0[\mathbf{u}]$ . Then,  $c = f(\mathbf{u})$  for some non-zero polynomial  $f$  with coefficients in  $R_0$ . At least one of those coefficients, say  $c_0$ ,

is non-zero. Hence, if  $\mathfrak{p} \in \text{Spec}(R_0)$  and  $c_0 \notin \mathfrak{p}$ , then  $\bar{c}_{\mathfrak{p}} = \bar{f}_{\mathfrak{p}}(\bar{\mathbf{u}}_{\mathfrak{p}}) \neq 0$ , which means that  $c \notin \mathfrak{p}$ .

In the general case,  $R$  is integral over  $R_0[\mathbf{u}]$  (Setup 1.1). Hence, there exist  $d_0, \dots, d_{k-1} \in R_0[\mathbf{u}]$  such that

$$c^k + d_{k-1}c^{k-1} + \dots + d_1c + d_0 = 0 \text{ with } d_0 \neq 0. \quad (2) \quad \{\text{ukcd}\}$$

By the preceding paragraph, there exists a non-zero  $c_0 \in R_0$  such that if  $\mathfrak{p} \in \text{Spec}(R_0)$  and  $c_0 \notin \mathfrak{p}$ , then  $d_0 \notin \mathfrak{p}$ . Hence, by (2),  $c \notin \mathfrak{p}$ , as claimed.

Having proved the claim, recall that if  $w$  is a non-zero element of  $R$ , as in the last paragraph of Setup 1.1, then one can identify  $\text{Spec}(R[w^{-1}])$  with  $\{\mathfrak{p} \in \text{Spec}(R) \mid w \notin \mathfrak{p}\}$ . If we now wish to replace  $R$  by  $R[w^{-1}]$ , we may use the claim to choose a non-zero  $w_0 \in R_0$  such that if  $\mathfrak{p} \in \text{Spec}(R_0)$  and  $w_0 \notin \mathfrak{p}$ , then  $w \notin \mathfrak{p}$ . Then, we may replace  $R_0$  by  $R_0[w_0^{-1}]$ .

Recall that every non-empty Zariski-open subset  $S_0$  of  $\text{Spec}(R)$  (hence, also of  $\text{Spec}(R[w^{-1}])$ ) contains a set of the form  $\{\mathfrak{p} \in \text{Spec}(R) \mid c \notin \mathfrak{p}\}$  for some non-zero  $c \in R$ . Hence, by the claim,  $S_0$  contains a set of the form  $\{\mathfrak{p} \in \text{Spec}(R_0) \mid c_0 \notin \mathfrak{p}\}$  with a non-zero  $c_0 \in R_0$ . Therefore, by our assumption in Setup 1.1 on  $R_0$ ,  $S_0$  is infinite. ■

**Remark 1.4.** We have used the letter  $r$  in Setup 1.1 for the transcendence degree of  $K/K_0$ . It is reused with this meaning also in Convention 1.3, but latter on it may get another meaning. {\rrrr}

**Remark 1.5** (Reduction modulo almost all  $\mathfrak{p}$ ). Let  $R$  be the integral domain introduced in Setup 1.1. For each  $\mathfrak{p} \in \text{Spec}(R)$  let  $\varphi_{\mathfrak{p}}: R \rightarrow R/\mathfrak{p}$  be the residue map. We say that a “mathematical statement  $\theta$  about  $\tilde{K}$ ” holds **for almost all**  $\mathfrak{p} \in \text{Spec}(R)$  if there exists a non-zero  $c \in R$  such that  $\theta$  holds modulo  $\mathfrak{p}$  in  $\tilde{K}_{\mathfrak{p}, \text{alg}}$  whenever  $\bar{c}_{\mathfrak{p}} := \varphi_{\mathfrak{p}}(c) \neq 0$ . Thus,  $\theta$  holds along a non-empty Zariski-open subset of  $\text{Spec}(R)$ . It follows from Convention 1.3 that  $\theta$  holds modulo  $\mathfrak{p}$  also for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ . {\SPEC}

If  $R_0$  is a Dedekind domain, then “for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ ” means “for all but finitely many  $\mathfrak{p} \in \text{Spec}(R_0)$ ”. In this case, which is our main concern, each  $\mathfrak{p} \in \text{Spec}(R_0)$  induces a discrete valuation on  $\text{Quot}(R_0)$  and our extension of  $\mathfrak{p}$  to  $R_0[\mathbf{u}]$  yields a discrete valuation on  $\text{Quot}(R_0(\mathbf{u}))$ , known as the “Gauss’ valuation”. Our next extension of  $\mathfrak{p}$  (in Convention 1.3) to a prime ideal of  $R$  yields a discrete valuation on  $K$  but it is not unique. Nevertheless, the “almost all” claim mentioned in the preceding paragraph holds for each choice of the extensions of the  $\mathfrak{p}$ ’s to  $R$ . ■

**Remark 1.6** (Elementary statements). One type of statements about  $\tilde{K}$  that we consider are the **elementary statements**, that is, those that are equivalent to sentences in the first order language  $\mathcal{L}(\text{ring}, R)$  of rings with a constant symbol  $b$  for each element  $b$  of  $R$  [FrJ08, p. 135, Example 7.3.1 and p. 136, Example 7.3.2]. By [FrJ08, p. 167, Cor. 9.2.2], if a statement  $\theta$  of this type holds over  $\tilde{K}$ , then there exists a non-zero  $c \in R$  such that  $\theta$  holds in  $\tilde{F}$  for each algebraically {\ELSt}

closed field  $\tilde{F}$  which contains a homomorphic image  $\tilde{R}$  of  $R$  in which the image  $\tilde{c}$  of  $c$  is non-zero. In particular,  $\theta$  holds in  $\tilde{K}_{\mathfrak{p},\text{alg}}$  for almost all  $\mathfrak{p} \in \text{Spec}(R)$ . By Remark 1.5,  $\theta$  holds in  $\tilde{K}_{\mathfrak{p},\text{alg}}$  also for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .

The simplest example for such a  $\theta$  is “ $a \neq b$ ”, where  $a, b$  are distinct elements of  $R$ . In case  $c = a - b$ , this statement holds for all  $\mathfrak{p} \in \text{Spec}(R)$  with  $c \notin \mathfrak{p}$ .

Note that the proof of Corollary 9.2.2 of [FrJ08] is solely based on the Euclid algorithm for dividing polynomials with residue. This makes it immediately available for all algebro-geometric statements that involve finitely many polynomials with bounded degrees.

We consider also statements about algebro-geometric objects defined over  $\tilde{K}$  (hence, by elements of  $R$ ) for which reduction modulo  $\mathfrak{p}$  is defined, at least for almost all  $\mathfrak{p} \in \text{Spec}(R)$ . For many of these statements one may prove that they are elementary. However, a direct proof that a certain mathematical statement  $\theta$  is elementary could be tedious. In such cases, one may first use algebro-geometric tools in order to prove that  $\theta$  is equivalent to an elementary statement  $\theta'$ . This has to be done in such a way that the proof of the equivalence  $\theta \leftrightarrow \theta'$  itself is formal in the sense of [FrJ08, p. 150] (see also Remark 1.7 below). Then, one may apply the preceding paragraph to  $\theta'$  and to the proof of  $\theta \leftrightarrow \theta'$  to conclude that  $\theta$  holds for almost all  $\mathfrak{p} \in \text{Spec}(R)$ . ■

**Remark 1.7** (Formal proofs). Following [FrJ08, p. 135, Example 7.3.1], let  $\mathcal{L} := \mathcal{L}(\text{ring}, R)$  be the first order language for the theory of fields which contain a homomorphic image of  $R$ . Let  $\Pi(R)$  be the usual axioms of the theory of fields enhanced by all of the equalities  $a_1 + b_1 = c_1$  and  $a_2 b_2 = c_2$  with  $a_i, b_i, c_i \in R$  that hold in  $R$  (i.e. **the positive diagram** of  $R$ ).

A **formal proof** of a **sentence**  $\varphi$  of  $\mathcal{L}$  ([FrJ08, p. 149, Sec. 8.1]) is a finite sequence  $(\varphi_1, \dots, \varphi_n)$  of sentences of  $\mathcal{L}$  with  $\varphi_n = \varphi$  such that each sentence  $\varphi_m$  with  $m \leq n$  is either a **logical axiom** given by (3a), (3b), or (3c) on pages 150, 151 of [FrJ08], or an axiom in  $\Pi(R)$ , or  $\varphi_m$  is a consequence of  $\{\varphi_1, \dots, \varphi_{m-1}\}$  by one of the **inference rules** (2a) and (2b) on page 150 of [FrJ08]. ■

**Example 1.8.** (a) Let  $W$  be a geometrically integral affine variety over  $K$  in  $\mathbb{A}_K^{n'}$  of dimension  $r'$  with generic point  $\mathbf{y} := (y_1, \dots, y_{n'})$  and function field  $F := K(\mathbf{y})$ . For almost all  $\mathfrak{p} \in \text{Spec}(R)$  the variety  $W$  is defined by polynomial equations with coefficients in the localization  $R_{\mathfrak{p}}$  of  $R$  at  $\mathfrak{p}$ . For those  $\mathfrak{p}$  let  $\bar{W}_{\mathfrak{p}}$  be the Zariski-closed subset of  $\mathbb{A}_{\tilde{K}_{\mathfrak{p}}}^{n'}$  defined by the equations that define  $W$  reduced modulo  $\mathfrak{p}R_{\mathfrak{p}}$ . Thus, one considers the closure of  $W$  in  $A_{\tilde{R}}^{n'}$  and passes to the fiber induced by the combined homomorphism  $R \rightarrow R_{\mathfrak{p}} \rightarrow R_{\mathfrak{p}}/\mathfrak{p}R_{\mathfrak{p}} = \tilde{K}_{\mathfrak{p}}$ . Then, the Bertini-Noether theorem says that for almost all  $\mathfrak{p} \in \text{Spec}(R)$ ,

- (3)  $\bar{W}_{\mathfrak{p}}$  is a geometrically integral affine variety in  $\mathbb{A}_{\tilde{K}_{\mathfrak{p}}}^{n'}$  with  $\dim(\bar{W}_{\mathfrak{p}}) = \dim(W)$ .

The proof given in [FrJ08, p. 179, Prop. 10.4.2] is not direct. It uses the birational equivalence between  $W$  and a hypersurface and applies the absolute irreducibility modulo almost all  $\mathfrak{p}$  of the polynomial that defines that hypersurface.

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(b) Moreover, in the notation of Remark 1.5, for almost all  $\mathfrak{p} \in \text{Spec}(R)$  we may extend the residue map  $R \rightarrow R/\mathfrak{p}$  to a place  $\tilde{K}(\mathbf{y}) \rightarrow \tilde{K}_{\mathfrak{p},\text{alg}}(\bar{\mathbf{y}}_{\mathfrak{p}})$  that maps  $\mathbf{y}$  onto an  $n'$ -tuple  $\bar{\mathbf{y}}_{\mathfrak{p}} := (\bar{y}_{1,\mathfrak{p}}, \dots, \bar{y}_{n',\mathfrak{p}})$  which is a generic point of  $\bar{W}_{\mathfrak{p}}$ . By [FrJ08, p. 175, Cor. 10.2.2(a)],  $\bar{F}_{\mathfrak{p}} := \tilde{K}_{\mathfrak{p}}(\bar{\mathbf{y}}_{\mathfrak{p}})$  is a regular extension of  $\tilde{K}_{\mathfrak{p}}$ . By (3),

$$\text{trans.deg}(\bar{F}_{\mathfrak{p}}/\tilde{K}_{\mathfrak{p}}) = \dim(\bar{W}_{\mathfrak{p}}) = \dim(W) = \text{trans.deg}(F/K) = r'. \quad (4) \quad \{\text{trrd}\}$$

(c) If  $f_1, \dots, f_m \in K[X_1, \dots, X_{n'}]$  generate the ideal of polynomials that vanish on  $W$ , then by the Jacobian matrix criterion, a point  $\mathbf{a} \in W(\tilde{K})$  is simple on  $W$  if and only if

$$\text{rank}\left(\frac{\partial f_i}{\partial X_j}(\mathbf{a})\right) = n' - r' \quad (5) \quad \{\text{jacb}\}$$

[Mum88, p. 233, Cor. 1].

Since by (4),  $r' = \dim(\bar{W}_{\mathfrak{p}})$  for almost all  $\mathfrak{p} \in \text{Spec}(R)$ , (5) implies that  $\bar{\mathbf{a}}_{\mathfrak{p}} \in \bar{W}_{\mathfrak{p}}(\tilde{K}_{\mathfrak{p},\text{alg}})$  is simple on  $\bar{W}_{\mathfrak{p}}$ , again for almost all  $\mathfrak{p} \in \text{Spec}(R)$ . Therefore, if  $W$  is smooth, then  $\bar{W}_{\mathfrak{p}}$  is a smooth affine geometrically integral algebraic variety over  $\tilde{K}_{\mathfrak{p}}$  for almost all  $\mathfrak{p} \in \text{Spec}(R)$ .

(d) Following [Liu06, p. 90, Def. 2.8], a **geometrically integral algebraic variety  $W$  over  $K$**  is an algebraic variety over  $K$  (see Setup 1.1) such that  $W_{\tilde{K}}$  is integral. By [GoW10, p. 70, Prop. 3.10],  $W$  can be consider as a union of a finite sets  $\{W_i\}_{i \in I}$  of geometrically integral affine open subschemes such that for all  $i, j \in I$  there exist a non-empty open subset  $W_{ij}$  and an isomorphism  $\varphi_{ji}: W_{ij} \rightarrow W_j$  of schemes such that

$$W_{ii} = W_i, \text{ and } \varphi_{kj} \circ \varphi_{ji} = \varphi_{ki} \text{ on } W_{ij} \cap W_{ik} \text{ for } i, j, k \in I. \quad (6) \quad \{\text{abst}\}$$

Indeed,  $W$  is uniquely determined by the **gluing datum**  $\{W_i, W_{ij}, \varphi_{ji}\}_{i,j \in I}$ . In particular,  $\dim(W) := \dim(W_i)$  is independent of  $i$ .

The corresponding object in the classical algebraic geometry is called an **abstract variety**. See [Lan58, Sec. IV6] or [FrJ08, p. 187], where the  $\varphi_{ji}$  in the preceding paragraph are replaced by birational functions that satisfy a modification of Condition (6).

It follows that the mathematical statement “ $W$  is a geometrically integral algebraic variety over  $K$  of dimension  $d$ ” is elementary and therefore it remains true under reduction modulo  $\mathfrak{p}$  for almost all  $\mathfrak{p} \in \text{Spec}(R)$ .

Similarly, the analogue statements (b) and (c) about  $W$  hold also in the case where  $W$  is an abstract variety.  $\blacksquare$

{DFNd}

**Notation 1.9.** Given morphisms of schemes,  $X \rightarrow S$  and  $T \rightarrow S$ , we write  $X_T$  for the fiber product  $X \times_S T$ . If  $S = \text{Spec}(D)$  for a ring  $D$  and  $T = \text{Spec}(D')$  for some homomorphism  $D \rightarrow D'$  of rings, then we often abbreviate  $X_{\text{Spec}(D')}$  by  $X_{D'}$ . If in particular,  $D' = D_{\mathfrak{p}}/\mathfrak{p}D_{\mathfrak{p}}$  for some prime ideal  $\mathfrak{p}$  of  $D$  and  $D \rightarrow D'$  is the combined homomorphism  $D \rightarrow D_{\mathfrak{p}} \rightarrow D_{\mathfrak{p}}/\mathfrak{p}D_{\mathfrak{p}}$ , then  $X_{\mathfrak{p}} := X_{\text{Spec}(D_{\mathfrak{p}}/\mathfrak{p}D_{\mathfrak{p}})}$  is the **fiber of  $X$  at  $\mathfrak{p}$**  [Liu06, p. 83, Def. 1.13 and p. 46, Example 3.18].

Finally, given a homomorphism  $D \rightarrow D'$  of rings, the canonical isomorphism  $D' \otimes_D D_{\mathfrak{p}} \otimes_{D_{\mathfrak{p}}} D_{\mathfrak{p}}/\mathfrak{p}D_{\mathfrak{p}} \cong D'_{\mathfrak{p}}/\mathfrak{p}D'_{\mathfrak{p}}$  allows us to identify the fiber  $X_{\mathfrak{p}}$  with the reduction  $\bar{X}_{\mathfrak{p}}$  of  $X := \text{Spec}(D')$  at  $\mathfrak{p}$ .

However, in Section 5, we use the convention of the theory of schemes and consider the prime ideals of the ring  $R$  introduced in Setup 1.1 as points of the scheme  $S = \text{Spec}(R)$  for which we use the letter  $s$ . Still, the expression “for almost all  $s \in S$ ” will mean “for all  $s \in S$  that do not contain a fixed non-zero element  $c$  of  $R$ ”, equivalently “for all  $s$  in the open subscheme  $\text{Spec}(R_c)$  of  $S$ ”, where  $R_c$  is the localization of  $R$  at  $c$ . Also, we drop the bar over the reduced varieties and write for example  $W_s$  rather than  $\bar{W}_s$  if  $W$  is an algebraic variety over  $K$ . ■

## 2 The genus of a curve

We prove that a conservative geometrically integral curve over  $K$  preserves its genus under almost all reductions modulo  $\mathfrak{p} \in \text{Spec}(R_0)$ .

{CRV}

**Remark 2.1.** Let  $C$  be a geometrically integral curve over  $K$  with function field  $F$ . Then,  $F$  is a finitely generated regular extension of  $K$  [FrJ08, p. 175, Cor. 10.2.2(a)]. Riemann-Roch’s theorem supplies a unique non-negative integer  $g := \text{genus}(F/K)$ , called the **genus of  $F/K$** , such that  $\dim(\mathfrak{a}) = \deg(\mathfrak{a}) + 1 - g + \dim(\mathfrak{w} - \mathfrak{a})$  for every divisor  $\mathfrak{a}$  and every canonical divisor  $\mathfrak{w}$  of  $F/K$  [FrJ08, p. 55, Thm. 3.2.1]. One also calls  $g$  the **genus of  $C$**  and denote it by  $\text{genus}(C)$ .

{RSN1}

Being a regular extension of  $K$ , the field  $F$  is linearly disjoint from  $\tilde{K}$  over  $K$ . By [Deu73, p. 132, Thm. 1],  $\text{genus}(FL/L) \leq \text{genus}(F/K)$  for each algebraic extension  $L$  of  $K$ . Thus, there exists a finite extension  $L$  of  $K$  such that the  $\text{genus}(FL/L)$  does not drop any more under algebraic extensions of the base field. This means that  $\text{genus}(C_L) = \text{genus}(C_{\tilde{K}})$ . We say that  $C_L$  is **conservative**. Hence, replacing  $K$  by  $L$  makes  $C$  conservative.

If  $C$  is conservative, then  $C$  is birationally equivalent over  $K$  to a smooth projective curve [GeJ89, Prop. 8.3]. Conversely, if  $C$  is smooth and projective, then  $C$  is conservative [Ros52, Thm. 12].

However, since removing the finitely many singular points from an arbitrary curve  $C$  makes it smooth, smoothness by itself does not make  $C$  conservative.

Finally we note that if  $C$  is smooth and projective (hence conservative), then in the language of schemes,  $\text{genus}(C_{\tilde{K}}) = \dim_{\tilde{K}} H^1(C_{\tilde{K}}, \mathcal{O}_{C_{\tilde{K}}})$  [Har77, p. 294, Prop. 1.1 and p. 295, Thm. 1.3]. ■

**Lemma 2.2.** *Let  $C$  be a conservative geometrically integral curve of genus  $g$  over  $K$ . Then, for almost all  $\mathfrak{p} \in \text{Spec}(R)$ , the curve  $\bar{C}_{\mathfrak{p}}$  is a conservative geometrically integral curve of genus  $g$  over  $\bar{K}_{\mathfrak{p}}$  and the same statement holds for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .*

{ABSg}

**Proof.** As in (3),  $\bar{C}_{\mathfrak{p}}$  is a geometrically integral curve over  $\bar{K}_{\mathfrak{p}}$ , for almost all  $\mathfrak{p} \in \text{Spec}(R)$ . By assumption,  $\text{genus}(C_{\tilde{K}}) = g$ . By [GrR21, Thm. 23],

$\text{genus}(\bar{C}_{\mathfrak{p}, \bar{K}_{\mathfrak{p}, \text{alg}}}) = g$  for almost all  $\mathfrak{p} \in \text{Spec}(R)$ . By [GrR21, Cor. 25],  $\text{genus}(\bar{C}_{\mathfrak{p}}) = g$  for almost all  $\mathfrak{p} \in \text{Spec}(R)$ . Hence, for almost all  $\mathfrak{p} \in \text{Spec}(R)$ , the curve  $\bar{C}_{\mathfrak{p}}$  is a conservative geometrically integral curve of genus  $g$ . By Remark 1.6, this statement holds for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .  $\square$

{ABSh}

**Remark 2.3.** We supply an alternative proof to Lemma 2.2 which is more elaborate but has the advantage of presenting the genus in terms of the curve.

Since  $C$  is conservative, it is birationally equivalent over  $K$  to a smooth projective curve  $C'$  (Remark 2.1). The birational equivalence of  $C$  and  $C'$  is an elementary statement on the coefficients of the polynomials that define  $C$  and  $C'$ . Hence, by Example 1.8, for almost all  $\mathfrak{p} \in \text{Spec}(R)$  the curve  $\bar{C}'_{\mathfrak{p}}$  is smooth and projective, and birationally equivalent to  $\bar{C}_{\mathfrak{p}}$  over  $\bar{K}_{\mathfrak{p}}$ . It follows from Remark 2.1 that  $\bar{C}_{\mathfrak{p}}$  is conservative for almost all  $\mathfrak{p} \in \text{Spec}(R)$ . Thus,

$$\text{genus}(\bar{C}_{\mathfrak{p}}) = \text{genus}(\bar{C}_{\mathfrak{p}, \text{alg}}) \text{ for almost all } \mathfrak{p} \in \text{Spec}(R). \quad (7) \quad \{\text{rsnl}\}$$

By [GeJ89, Thm. 10.5],  $C_{\bar{K}}$  is birationally equivalent to a **projective plane node model**  $\Gamma$ . Since  $C$  is conservative,

$$g = \text{genus}(C) = \text{genus}(C_{\bar{K}}) = \text{genus}(\Gamma). \quad (8) \quad \{\text{cons}\}$$

Let  $\mathbf{p}_1, \dots, \mathbf{p}_d$  be the singular points of  $\Gamma$ . For every  $i \in \{1, \dots, d\}$ ,  $\Gamma$  is defined, after translating  $\mathbf{p}_i$  to the origin  $(1:0:0)$ , by a homogeneous equation  $f_i(X_0, X_1, X_2) = 0$ , where

$$f_i(1, X_1, X_2) = (a_{i1}X_2 - a_{i2}X_1)(b_{i1}X_2 - b_{i2}X_1) + \sum_{j=3}^{m_i} g_{ij}(X_1, X_2), \quad (9) \quad \{\text{fgij}\}$$

$a_{i1}, a_{i2}, b_{i1}, b_{i2} \in \bar{K}$ ,  $a_{i1}b_{i2} \neq a_{i2}b_{i1}$ , and  $g_{ij} \in \bar{K}[X_1, X_2]$  is a homogeneous polynomial of degree  $j$ .

By [Ful89, p. 199, Prop. 5],

$$\text{genus}(\Gamma) = \frac{(\deg(\Gamma) - 1)(\deg(\Gamma) - 2)}{2} - d, \quad (10) \quad \{\text{fulp}\}$$

where actually the second term on the right hand side in that proposition is  $-\sum_{i=1}^d \frac{r_{\mathbf{p}_i}(r_{\mathbf{p}_i} - 1)}{2}$ , with  $r_{\mathbf{p}_i}$  being the smallest degree of the homogeneous terms on the right hand side of equation (9), namely 2.

For almost all  $\mathfrak{p} \in \text{Spec}(R)$  the curve  $\bar{C}_{\mathfrak{p}, \text{alg}}$  is birationally equivalent to  $\bar{\Gamma}_{\mathfrak{p}}$ , and by the Jacobian criterion,  $\bar{\mathbf{p}}_{1, \mathfrak{p}}, \dots, \bar{\mathbf{p}}_{d, \mathfrak{p}}$  are the singular points of  $\bar{\Gamma}_{\mathfrak{p}}$ . Finally, the presentation (9) for the polynomial defining  $\Gamma$  in the neighborhood of  $\mathbf{p}_i, \mathfrak{p}$  (after translation) has the analogous form also modulo  $\mathfrak{p}$ . Hence, (10) remains valid modulo  $\mathfrak{p}$ , so

$$\text{genus}(\bar{C}_{\mathfrak{p}}) \stackrel{(7)}{=} \text{genus}(\bar{C}_{\mathfrak{p}, \text{alg}}) = \text{genus}(\bar{\Gamma}_{\mathfrak{p}}) = \text{genus}(\Gamma) \stackrel{(8)}{=} g,$$

as claimed.

As above, all of this holds also for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .  $\blacksquare$

### 3 Reduction of Abelian Varieties

{RAB}

Ehud Hrushovski proves in [Hru98, Lemma 4] that if  $K$  is a finitely generated extension of  $\mathbb{Q}$  and  $A$  is an abelian variety over  $K$  such that  $A(K_{0,\text{sep}}K)$  is finitely generated (with  $K_0 = K \cap \tilde{\mathbb{Q}}$ ), then “almost all” reductions  $A \rightarrow \bar{A}$  map  $A(K)$  injectively into  $\bar{A}(\bar{K})$ .

We adjust Hrushovski’s proof to the field extension  $K/K_0$ , introduced in Setup 1.1. To this end, given an abelian additive group  $C$  and a positive integer  $n$ , we write  $C_n = \{c \in C \mid nc = 0\}$ ,  $C_{l^\infty} = \bigcup_{i=1}^\infty C_{l^i}$  for each prime number  $l$ , and  $C_{\text{tor}} = \bigcup_{n=1}^\infty C_n$ . Recall that if  $C$  is finitely generated, then  $C = C_0 \times C_{\text{tor}}$ , where  $C_0$  is a finitely generated free abelian group and  $C_{\text{tor}}$  is a finite abelian group [Lan93, p. 46, Thm. 8.5]. In particular,  $C_{l^\infty}$  is a finite group for every prime number  $l$ .

The proof relies on a basic lemma about abelian groups.

{INJc}

**Lemma 3.1** ([Hru98], p. 198, Lemma 1). *Let  $\rho: B \rightarrow C$  be a homomorphism of abelian groups and let  $n$  be a positive integer. Suppose that  $\bigcap_{i=1}^\infty n^i B = \mathbf{0}$ ,  $C_n = \mathbf{0}$ , and  $\rho$  induces an injective map  $\bar{\rho}: B/nB \rightarrow C/nC$ . Then,  $\rho$  is injective.*

**Proof.** Let  $b \in B$  with  $b \neq 0$ . Since  $\bigcap_{i=1}^\infty n^i B = \mathbf{0}$ , there exists a smallest positive integer  $i$  such that  $b \notin n^i B$ . Thus,  $b = n^{i-1}b'$  with  $i \geq 1$  and  $b' \in B \setminus nB$ . Since  $\bar{\rho}$  is injective,  $\rho(b') + nC = \bar{\rho}(b' + nB) \neq 0$ , hence  $\rho(b') \notin nC$ . In particular,  $\rho(b') \neq 0$ .

Starting from  $C_n = \mathbf{0}$ , induction implies that  $C_{n^j} = \mathbf{0}$  for each  $j \geq 1$ .

If  $i = 1$ , then  $\rho(b) = \rho(b') \neq 0$ . Otherwise,  $i \geq 2$  and, by the preceding paragraphs,  $\rho(b) = n^{i-1}\rho(b') \neq 0$ , as asserted.  $\square$

{ABLv}

**Remark 3.2** (Abelian variety over  $K$ ). Recall that a **group variety** over a field  $K$  is a geometrically integral algebraic variety  $A$  over  $K$  equipped with two morphisms  $A \times A \rightarrow A$  (the **multiplication**) and  $A \rightarrow A$  (the **inverse operation**), and a distinguished  $K$ -rational point  $\mathbf{e}$  (the **identity element**) that satisfy the group axioms, thereby make  $A(\tilde{K})$  a group (not necessarily commutative). In particular,  $A$  is nonsingular [Mil85, p. 104, §1].

The group variety  $A$  is an **abelian variety** if  $A$  is in addition **complete** [Mil17, p. 155, Def. 7.1]. In particular,  $A$  is commutative, and by the preceding paragraph  $A$  is nonsingular. See [Mil85, p. 105, Cor. 2.4] or [Mum74, p. 41, (ii)]. In this case we view the group operation as addition and the identity element as the **zero element**  $\mathbf{o}$ . Moreover,  $A$  is projective [Mil85, p. 113, Thm. 7.1]. We fix an embedding of  $A$  into  $\mathbb{P}_K^m$  for some positive integer  $m$ .

Conversely, if a group variety  $A$  is a projective algebraic group over a field  $K$ , then  $A$  is also complete [Mil17, p. 158, Thm. 7.22], hence is an abelian variety.

Recall that a group scheme  $\pi: \mathcal{A} \rightarrow S$  over  $S$  is an **abelian scheme** if  $\pi$  is proper [Liu06, p. 103, Def. 3.14] and smooth and the geometric fibers of  $\pi$  are connected [Mil85, p. 145, Sec. 20]. In particular, the fibers of  $\pi$  are abelian varieties. Thus, an abelian scheme  $S$  can be thought of as a continuous family of abelian varieties parametrized by  $S$ . When  $S = \text{Spec}(K)$  is the spectrum of a field  $K$ , this is the standard definition of an abelian variety over  $K$ .

The polynomials involved in the homogeneous equations that define the abelian variety  $A$  as well as those involved in the group operations of  $A$  have finitely many non-zero coefficients. Each of these coefficients belongs to  $K$ , so we adjoin them and their inverses to the integral domain  $R$  introduced in Setup 1.1, if necessary, to assume that  $A$  extends to an abelian scheme  $\mathcal{A}$  over  $R$ , that is  $A = \mathcal{A} \times_{\mathrm{Spec}(R)} \mathrm{Spec}(K)$  [Mil85, p. 148, Remark 20.9]. Note that the abelian scheme  $\mathcal{A}$  depends on the embedding of  $A$  into  $\mathbb{P}_K^m$ . However, the statements “for almost all  $\mathfrak{p}$  in  $\mathrm{Spec}(R)$ ” that will follow, do not depend on this choice. Moreover, every point in  $A(K)$  has a representation by an  $(m+1)$ -tuple  $(a_0, a_1, \dots, a_m)$  with entries in  $R$  (see also the paragraph that follows Lemma 3.3 for the notation  $\mathcal{A}(R)$ ).

However, in order for the latter point to belong to  $\mathcal{A}(R)$ , the elements  $a_0, \dots, a_m$  must generate the unit ideal of the principal ideal domain  $R_{\mathfrak{p}}$  for all height 1 prime ideals  $\mathfrak{p}$  of the integrally closed noetherian domain  $R$  (since, by [Mts94, p. 81, Thm. 11.5(ii)],  $R$  is the intersection of all localizations at height 1 prime ideals) [Poo17, p. 42, Example 2.3.17], so at this point we only know that  $\mathcal{A}(R) \subseteq A(K)$  [Poo17, p. 43, Cor. 2.3.22]. ■

We prove that the later inclusion is actually an equality. The starting point is the following result that goes back to André Weil.

{BLR}

**Lemma 3.3** ([BLR90, p. 109, Sec. 4.4, Thm. 1]). *Let  $S$  be a normal noetherian base scheme and let  $u: Z \dashrightarrow G$  be an  $S$ -rational map from a smooth  $S$ -scheme  $Z$  to a smooth separated  $S$ -group scheme  $G$ . Suppose that  $u$  is defined in codimension  $\leq 1$ , meaning that the domain of definition of  $u$  contains all points of  $Z$  of codimension  $\leq 1$ . Then,  $u$  is defined everywhere.*

Let  $S$  be a scheme and let  $X$  and  $T$  be  $S$ -schemes. Then, the **set of  $T$ -points on  $X$**  is  $X(T) := \mathrm{Hom}_S(T, X)$  [Poo17, p. 38, Def. 2.3.1]. In the case where  $S = \mathrm{Spec}(K)$  and  $T = \mathrm{Spec}(L)$  for a field extension  $L$  of  $K$ , an element of  $X(L)$  is called an  **$L$ -rational point** or simply an  **$L$ -point**. See also [Poo17, p. 41, Example 2.3.5, p. 42, Rem. 2.3.16, Example 2.3.17, and Rem. 2.3.18] for scheme-valued points on projective space.

{EXD}

**Proposition 3.4.** *Let  $R$  be an integrally closed noetherian domain with quotient field  $K$ . Let  $A$  be an abelian variety over  $K$  and assume that  $A$  **extends** to an abelian scheme  $\mathcal{A}$  over  $\mathrm{Spec}(R)$ , i.e.  $A = \mathcal{A} \times_{\mathrm{Spec}(R)} \mathrm{Spec}(K)$  is the generic fiber of  $\mathcal{A}$ . Then, the map  $\mathcal{A}(R) \rightarrow \mathcal{A}(K) = A(K)$  is bijective.*

**Proof.** We follow the proof of [Poo17, p. 65, Thm. 3.2.13(ii)] which proves that if  $R$  is a Dedekind domain and  $X$  is a proper  $R$ -scheme, then the map  $X(R) \rightarrow X(K)$  is bijective.

Since  $\mathcal{A}$  is a projective scheme over  $R$ ,  $\mathcal{A}$  is proper over  $\mathrm{Spec}(R)$  [Liu06, p. 108, Thm. 3.30]. In particular,  $\mathcal{A}$  is of finite type and separated over  $\mathrm{Spec}(R)$  [Liu06, p. 103, Def. 3.14]. Since  $R$  is a noetherian ring, this implies that  $\mathcal{A}$  is of finite presentation over  $\mathrm{Spec}(R)$  [Poo17, p. 59, Def. 3.1.12 and Rem. 3.1.13]. The same holds for  $K$  replacing  $R$  and  $A$  replacing  $\mathcal{A}$ .

Let  $f \in A(K) = \mathcal{A}(K)$ . We need to extend  $f: \text{Spec}(K) \rightarrow \mathcal{A}$  to an  $R$ -morphism  $\text{Spec}(R) \rightarrow \mathcal{A}$ . To this end we apply [Poo17, p. 60, Thm. 3.2.1(iii)] to find a dense open subscheme  $U$  of  $\text{Spec}(R)$  such that  $f$  extends to a  $U$ -morphism  $f_U: U \rightarrow \mathcal{A}_U := \mathcal{A} \times_{\text{Spec}(R)} U$ , or equivalently, an  $R$ -morphism  $f_U: U \rightarrow \mathcal{A}$ .

The rest of the proof breaks up into three parts.

Minimal prime ideals: Since  $U$  is a non-empty open subset of  $\text{Spec}(R)$ ,  $Z = \text{Spec}(R) \setminus U$  is a proper closed subset of  $\text{Spec}(R)$ . Endow  $Z$  with the structure of a reduced closed subscheme [Liu06, p. 60, Prop. 4.2(e)]. By [Liu06, p. 47, Prop. 3.20], there exists a non-zero ideal  $\mathfrak{a}$  of  $R$  such that  $Z = \text{Spec}(R/\mathfrak{a})$ .

Since  $R$  is a noetherian ring, so is  $R/\mathfrak{a}$  [Mts94, p. 14]. Thus,  $\text{Spec}(R/\mathfrak{a})$  is a noetherian scheme [Har77, p. 83, Definition]. By [Liu06, p. 63, Prop. 4.9],  $\text{Spec}(R/\mathfrak{a})$  has only finitely many components. Hence, by [Liu06, p. 62, Prop. 4.7(b)],  $R$  has only finitely many prime ideals  $\mathfrak{p}_1, \dots, \mathfrak{p}_{n'}$  that are minimal above  $\mathfrak{a}$ , each of the schemes  $V(\mathfrak{p}_i/\mathfrak{a}) := \{\mathfrak{p}/\mathfrak{a} \mid \mathfrak{p} \in \text{Spec}(R) \text{ and } \mathfrak{p}_i \subseteq \mathfrak{p}\} \cong \text{Spec}(R/\mathfrak{p}_i)$  is an irreducible component of  $\text{Spec}(R/\mathfrak{a})$  and  $\text{Spec}(R/\mathfrak{a}) = \bigcup_{i=1}^{n'} V(\mathfrak{p}_i/\mathfrak{a})$ . If  $\mathfrak{p} \in \text{Spec}(R) \setminus U$  is of height 1 (equivalently, of codimension 1 in  $\text{Spec}(R)$ ), then  $\mathfrak{p}$  is a minimal prime ideal of  $R$  that contains  $\mathfrak{a}$ , so  $\mathfrak{p} = \mathfrak{p}_i$  for some  $i$  between 1 and  $n'$ . In particular, there are only finitely many  $\mathfrak{p} \in \text{Spec}(R) \setminus U$  of height 1, say  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$ .

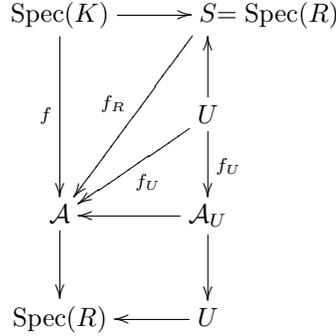
Claim: We can extend  $f_U$  to a morphism from an open neighborhood of  $U \cup \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$  into  $\mathcal{A}$ .

Indeed, it suffices to extend  $f_U$  to a morphism from an open neighborhood of  $U \cup \{\mathfrak{p}\}$  into  $\mathcal{A}$  for each  $\mathfrak{p} \in \text{Spec}(R) \setminus U$  of height 1, since then we can repeat the extension argument for each missing point.

Note that  $R_{\mathfrak{p}}$  is a discrete valuation ring [Mts94, p. 82, Corollary] with quotient field  $K$ . Hence, since  $\mathcal{A}$  is proper over  $\text{Spec}(R)$ , it follows from the valuative criterion for properness [Poo17, p. 65, Thm. 3.2.12] that we can extend  $f: \text{Spec}(K) \rightarrow \mathcal{A}$  to a morphism  $\text{Spec}(R_{\mathfrak{p}}) \rightarrow \mathcal{A}$ . Next, apply [Poo17, p. 61, Remark 3.2.2] to spread out this morphism to an  $R$ -morphism  $f_V: V \rightarrow \mathcal{A}_V \subseteq \mathcal{A}$  for some dense open  $V \subseteq \text{Spec}(R)$ . Suppose that  $\bigcup_{j=1}^k \text{Spec}(R_j)$  is an affine cover of  $U \cap V$ . By [Poo17, p. 65, Thm. 3.2.13(i)],  $\mathcal{A}(R_j) \subseteq \mathcal{A}(K)$ , so  $(f_U)|_{\text{Spec}(R_j)}$  and  $(f_V)|_{\text{Spec}(R_j)}$  define the same point  $f$  of  $\mathcal{A}(K)$ ,  $j = 1, \dots, k$ . Hence, the restrictions of  $f_U$  and  $f_V$  to  $U \cap V$  must agree. Thus, we can glue to obtain an extension of  $f$  to  $U \cup V$ , which contains both  $U$  and  $\mathfrak{p}$ . This proves the claim.

End of the proof: By Lemma 3.3, applied to  $S = \text{Spec}(R)$ ,  $Z = S$  and  $G = \mathcal{A}$ , the  $R$ -morphism  $f_U$ , which as an  $R$ -rational map  $\text{Spec}(R) \dashrightarrow \mathcal{A}$  is defined in codimension  $\leq 1$  by the claim above, extends to an  $R$ -morphism  $f_R: \text{Spec}(R) \rightarrow$

$\mathcal{A}$ ,



as desired.  $\square$

**Remark 3.5.** (On the elementary nature of Abelian varieties.) We observe {ELN} that the statement about the group operations of  $A$  satisfying the group axioms is equivalent to an elementary statement about  $A(\bar{K})$  with parameters in  $R$ . Hence, by the elimination of quantifiers of the theory of algebraically closed fields (Remark 1.6) and as in Example 1.8, for almost all  $\mathfrak{p} \in \text{Spec}(R)$  the reduced variety  $\bar{A}_{\mathfrak{p}}$  is a group variety over  $\bar{K}_{\mathfrak{p}}$ ,  $\bar{A}_{\mathfrak{p}}$  is projective, hence complete, and  $\dim(\bar{A}_{\mathfrak{p}}) = \dim(A)$  (by (3)). It follows that  $\bar{A}_{\mathfrak{p}}$  is an abelian variety. By Remark 1.6, those statements hold also for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .

If  $f: A \rightarrow B$  is a morphism (resp. homomorphism, epimorphism) of abelian varieties over  $K$ , then so is the reduction map  $f_{\mathfrak{p}}: \bar{A}_{\mathfrak{p}} \rightarrow \bar{B}_{\mathfrak{p}}$ , again for almost all  $\mathfrak{p} \in \text{Spec}(R)$ , so also for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .

By Proposition 3.4, the ring homomorphism  $R \rightarrow \bar{K}_{\mathfrak{p}}$  induces a group homomorphism  $\rho_{\mathfrak{p}}: A(K) = \mathcal{A}(R) \rightarrow \bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}})$ . Let  $L$  be a finite separable extension of  $K$ , let  $R_L$  be the integral closure of  $R$  in  $L$ , and extend  $\mathfrak{p}$  to a prime ideal of  $R_L$ . Then,  $\rho_{\mathfrak{p}}$  extends to a group homomorphism  $\rho_{\mathfrak{p}}: A(L) \rightarrow \bar{A}_{\mathfrak{p}}(\bar{L}_{\mathfrak{p}})$ . Indeed, as in Setup 1.1,  $R_L$  is noetherian [ZaS75, p. 265, Cor. 1]. Thus, by Proposition 3.4,  $A(L) = \mathcal{A}(R_L)$ .

Finally, we note that [Shi98, p. 95, Prop. 25] proves that  $\bar{A}_{\mathfrak{p}}$  is an abelian variety for almost all  $\mathfrak{p} \in \text{Spec}(R)$  in the case where  $R$  is a Dedekind domain.  $\blacksquare$

The following result is well-known.

**Lemma 3.6.** {SEPP} *Let  $A$  be an abelian variety over  $K$ , consider  $\mathbf{a} \in A(K)$ , and let  $n$  be a positive integer with  $\text{char}(K) \nmid n$ . Then, every point  $\mathbf{b} \in A$  with  $n\mathbf{b} = \mathbf{a}$  lies in  $A(K_{\text{sep}})$ . In particular,  $A_n(\bar{K}) \subseteq A(K_{\text{sep}})$ .*

**Proof.** By [Mil85, p. 115, Thm. 8.2], the map  $n_A: A \rightarrow A$ , defined by  $n_A(\mathbf{b}) = n\mathbf{b}$  is étale. By [Mum88, p. 245, Cor. 1],  $n_A^{-1}(\mathbf{a}) \subseteq A(K_{\text{sep}})$ , as claimed.

In particular,  $A_n(\bar{K}) = n_A^{-1}(\mathbf{o}) \subseteq A(K_{\text{sep}})$ .  $\square$

**Setup 3.7.** By Convention 1.3, last paragraph, the intersection of finitely many non-empty Zariski-open subsets of  $\text{Spec}(R_0)$  is infinite. Hence, [FrJ08, p. 139, Lemma 7.5.4] yields an ultrafilter  $\mathcal{D}$  on  $\text{Spec}(R_0)$  that contains every non-empty Zariski-open subset of  $\text{Spec}(R_0)$ . We call an ultrafilter  $\mathcal{D}$  on  $\text{Spec}(R_0)$  that satisfies this condition a **Zariski-ultrafilter** on  $\text{Spec}(R_0)$ . In particular, a Zariski-ultrafilter on  $\text{Spec}(R_0)$  is **non-principal**, i.e.  $\mathcal{D}$  contains no finite subset of  $\text{Spec}(R_0)$  [FrJ08, p. 139, Example 7.5.1(b)].

Let  $K^* = \prod \bar{K}_{\mathfrak{p}}/\mathcal{D}$ , where  $\mathfrak{p}$  ranges over  $\text{Spec}(R_0)$ , be the corresponding ultraproduct [FrJ08, Sections 7.5 and 7.7]. As in Convention 1.3, we consider  $\text{Spec}(R_0)$  as a subset of  $\text{Spec}(R)$ . Taking the ultraproduct of the residue maps  $\rho_{\mathfrak{p}}: R \rightarrow \bar{K}_{\mathfrak{p}}$ , we obtain a homomorphism  $\rho^*: R \rightarrow K^*$ . Moreover, by that convention, for every non-zero  $c \in R$  there exists a non-zero  $c_0 \in R_0$  such that

$$\{\mathfrak{p} \in \text{Spec}(R_0) \mid c_0 \notin \mathfrak{p}\} \subseteq \{\mathfrak{p} \in \text{Spec}(R_0) \mid c \notin \mathfrak{p}\}. \quad (11) \quad \{\text{ulfr}\}$$

Since the left hand side of (11) belongs to  $\mathcal{D}$ , so is the right hand side and therefore  $\{\mathfrak{p} \in \text{Spec}(R_0) \mid c \in \mathfrak{p}\} \notin \mathcal{D}$  (by the definition of ultrafilter [FrJ08, p. 138, Sec. 7.5]). Hence, the map  $\rho^*$  is injective. It follows that  $\rho$  extends to an embedding  $\rho^*: K \rightarrow K^*$ . We identify  $K$  as a subfield of  $K^*$  under  $\rho^*$  and consider the following diagram of fields:

$$\begin{array}{ccccc} & & K_{\text{sep}} & & \\ & & \downarrow & & \\ K_{0,\text{sep}} & - & K_{0,\text{sep}}K & - & K_{0,\text{sep}}K^* \\ \downarrow & & \downarrow & & \downarrow \\ K_0 & - & K & - & K^*. \quad \blacksquare \end{array}$$

The following result is a generalization of [Hru98, p. 199, Lemma 3].

**Lemma 3.8.**  $K_{\text{sep}}$  is linearly disjoint from  $K_{0,\text{sep}}K^*$  over  $K_{0,\text{sep}}K$ . {\LNDj}

**Proof.** By Setup 1.1,  $K/K_0$  is a finitely generated regular extension,  $K = K_0(\mathbf{x})$ , and  $V = \text{Spec}(K_0[\mathbf{x}])$  is the geometrically integral affine variety with generic point  $\mathbf{x} = (x_1, \dots, x_n)$ .

Part A: We prove that if  $K'$  is a finite separable extension of  $K$  which is regular over  $K_0$ , then  $K'$  is linearly disjoint from  $K^*$  over  $K$ .

To this end, we set  $d = [K' : K]$ . Then,  $[K'K_{0,\text{sep}} : K_{0,\text{sep}}K] = d$ . Also, there exists a geometrically integral affine variety  $V'$  over  $K_0$  such that  $K' = K_0(V')$ . Replacing  $V$  and  $V'$  by appropriate non-empty Zariski-open subsets, we may assume that there exists a finite separable morphism  $f: V' \rightarrow V$  such that

$$|f^{-1}(\mathbf{a})| = d \text{ for each } \mathbf{a} \in V(\tilde{K}). \quad (12) \quad \{\text{degr}\}$$

Since (12) is an elementary statement on  $\tilde{K}_0$ , it holds over  $F := \prod \bar{K}_{\mathfrak{p},\text{alg}}/\mathcal{D}$ . Hence,  $[K'F : KF] = [F(V') : F(V)] \geq d$ .

Note that  $K^* \subseteq F$  and observe the following diagram of fields.

$$\begin{array}{ccccc}
 K' & \text{---} & K'K^* & \text{---} & K'F = F(V') \\
 \downarrow d & & \downarrow & & \downarrow \geq d \\
 K & \text{---} & K^* & \text{---} & KF = F(V) \\
 \downarrow & & \downarrow & & \downarrow \\
 K_0 & \text{---} & K_0 & \text{---} & F = \prod \bar{K}_{p,\text{alg}}/\mathcal{D}.
 \end{array}$$

Then,

$$d = [K' : K] \geq [K'K^* : KK^*] = [K'K^* : K^*] \geq [K'F : KF] \geq d, \quad (13) \quad \{\text{inql}\}$$

so all of the terms appearing in (13) are equal to  $d$ . In particular,  $[K'K^* : K^*] = d = [K' : K]$ . This implies that  $K'$  is linearly disjoint from  $K^*$  over  $K$ , as claimed.

Part B: For an arbitrary finite separable extension  $K'$  of  $K$  we set  $K'_0 = K' \cap \tilde{K}_0$ . Since the extension  $K/K_0$  is regular, so is  $KK'_0/K'_0$  [FrJ08, p. 35, Lemma 2.5.3]. In particular,  $KK'_0/K'_0$  is separable. Since  $K'/K$  is a finite separable extension,  $K'/KK'_0$  is also separable. Therefore,  $K'/K'_0$  is separable [FrJ08, p. 39, Cor. 2.6.2]. By definition,  $K'_0$  is algebraically closed in  $K'$ . Hence,  $K'/K'_0$  is regular [FrJ08, p. 39, Lemma 2.6.4].

Note that since  $K'/K$  and  $K/K_0$  are separable extensions, so is  $K'/K_0$  [FrJ08, p. 39, Cor. 2.6.2(a)]. Hence,  $K'_0$  is also a separable extension of  $K_0$ . Since  $K'_0/K_0$  is algebraic,  $K'_0 \subseteq K_{0,\text{sep}}$ . It follows that  $K'_0 = K' \cap K_{0,\text{sep}}$ .

By Part A, applied to  $K'$ ,  $KK'_0$ , and  $K'_0$  rather than to  $K'$ ,  $K$ , and  $K_0$ , we have that  $K'$  is linearly disjoint from  $K^*K'_0$  over  $KK'_0$ .

Conclusion of the proof: Assume by contradiction that  $K_{\text{sep}}$  is not linearly disjoint from  $K_{0,\text{sep}}K^*$  over  $K_{0,\text{sep}}K$ . Then, there exist  $z_1, \dots, z_m \in K_{\text{sep}}$  that are linearly independent over  $K_{0,\text{sep}}K$  but linearly dependent over  $K_{0,\text{sep}}K^*$ . Thus, there exist  $v_1, \dots, v_m \in K_{0,\text{sep}}K^*$ , not all zero, such that  $\sum_{i=1}^m v_i z_i = 0$ .

Without loss we may assume that  $v_i = \sum_{j=1}^{r_i} a_{ij} u_{ij}$ , with  $a_{ij} \in K_{0,\text{sep}}$  and  $u_{ij} \in K^*$  for all  $i$  and  $j$ . Then, we choose a finite separable extension  $K'$  of  $K$  such that  $z_1, \dots, z_m \in K'$  and  $a_{ij} \in K'_0$  for all  $i, j$ .

$$\begin{array}{ccccc}
 & & K_{\text{sep}} & & \\
 & \swarrow & \downarrow & & \\
 K' & & & & \\
 \downarrow & & & & \\
 K'_0 K & \swarrow & K_{0,\text{sep}} K & \text{---} & K_{0,\text{sep}} K^* \\
 & \downarrow & & & \\
 & & K'_0 K^* & & 
 \end{array}$$

Thus,  $v_i \in K'_0 K^*$  for  $i = 1, \dots, m$ .

Since  $z_1, \dots, z_m$  are linearly independent over  $K_{0,\text{sep}}K$ , they are linearly independent also over  $K'_0 K$ . Hence, by Part B,  $z_1, \dots, z_m$  are linearly independent over  $K'_0 K^*$ . But this contradicts the relation  $\sum_{i=1}^m v_i z_i = 0$  established above.

We conclude from this contradiction that  $K_{\text{sep}}$  is linearly disjoint from  $K_{0,\text{sep}}K^*$  over  $K_{0,\text{sep}}K$ , as claimed.  $\square$

Next we prove an analog of [Hru98, p. 199, Lemma 4] that for itself partially strengthen [Lan62, p. 161, Cor.]. As in Convention 1.3, we consider  $\text{Spec}(R_0)$  as a subset of  $\text{Spec}(R)$ .

The proof of Part (d) of Theorem 3.11 uses the following lemma.

**Lemma 3.9.** *Let  $\Gamma \leq \Delta$  be abelian groups such that  $(\Delta : \Gamma) < \infty$ . Let  $l$  be a prime number with  $l \nmid (\Delta : \Gamma)$ . Then,  $l\Delta \cap \Gamma = l\Gamma$ .* {ML1K}

**Proof.** Consider  $\delta \in \Delta$  and  $\gamma \in \Gamma$  such that  $l\delta = \gamma$ . Since  $l \nmid (\Delta : \Gamma)$ , there are  $k, m \in \mathbb{Z}$  such that  $ml = 1 + k(\Delta : \Gamma)$ . Hence,

$$m\gamma = ml\delta = \delta + k(\Delta : \Gamma)\delta. \quad (14) \quad \{\text{mg1k}\}$$

Since  $(\Delta : \Gamma)\delta, m\gamma \in \Gamma$ , we have by (14) that  $\delta \in \Gamma$ , so  $\gamma \in l\Gamma$ , as claimed.  $\square$

**Remark 3.10.** The assumption “ $A(K_{0,\text{sep}}K)$  is finitely generated” that enters in the next result, holds by Corollary 4.9, if  $A_{\bar{K}}$  has no simple quotient which is defined over  $\bar{K}_0$ .  $\blacksquare$  {INJj}

**Theorem 3.11.** *Let  $A$  be an abelian variety over  $K$  such that  $A(K_{0,\text{sep}}K)$  is finitely generated. Then, the following statements hold:* {INJk}

- (a) *For almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , we have that  $\bar{A}_{\mathfrak{p}}$  is an abelian variety over  $\bar{K}_{\mathfrak{p}}$  with  $\dim(\bar{A}_{\mathfrak{p}}) = \dim(A)$ .*
- (b) *For almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , the reduction map  $\rho_{\mathfrak{p}}: A(K) \rightarrow \bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}})$  is injective on  $A_{\text{tor}}(K)$ .*
- (c) *If  $l$  is a prime number such that  $l \neq \text{char}(K_0)$  and  $A_l(K_{0,\text{sep}}K) = \mathbf{0}$ , then  $\bar{A}_{\mathfrak{p},l}(\bar{K}_{\mathfrak{p}}) = \mathbf{0}$  for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .*
- (d) *For every large prime number  $l$  and for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , the map  $\rho_{\mathfrak{p}}$  induces an injection*

$$\bar{\rho}_{\mathfrak{p},l}: A(K)/lA(K) \rightarrow \bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}})/l\bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}}).$$

- (e)  $\rho_{\mathfrak{p}}: A(K) \rightarrow \bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}})$  is an injection for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .

In both (c) and (d), the exceptional sets of  $\mathfrak{p}$ 's depend on  $l$ .

**Proof of (a).** See Remark 3.5.

**Proof of (b).** Since  $A(K)$  is a finitely generated abelian group,  $A_{\text{tor}}(K)$  is finite. For a point of  $A(K)$ , being different from  $\mathbf{0}$  is an elementary property. Hence, for each non-zero  $\mathbf{a} \in A_{\text{tor}}(K)$ , and for almost all  $\mathfrak{p} \in \text{Spec}(R)$ , the

element  $\rho_{\mathfrak{p}}(\mathbf{a})$  is non-zero. By Convention 1.3, the same statement holds for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ . Hence, for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , the map  $\rho_{\mathfrak{p}}$  is injective on  $A_{\text{tor}}(K)$ .

**Proof of (c).** Assume by contradiction that for all  $\mathfrak{p}$  in an infinite subset  $S_l$  of  $\text{Spec}(R_0)$  there exists a non-zero point  $\mathbf{a}_{\mathfrak{p}} \in \bar{A}_{\mathfrak{p},l}(\bar{K}_{\mathfrak{p}})$ . We choose a non-principal ultrafilter  $\mathcal{D}$  on  $\text{Spec}(R_0)$  that contains  $S_l$  as an element [FrJ08, p. 139, Lemma 7.5.4]. As in Setup 3.7, let  $K^* = \prod \bar{K}_{\mathfrak{p}}/\mathcal{D}$ . Then, the points  $\mathbf{a}_{\mathfrak{p}}$  with  $\mathfrak{p} \in S_l$  yield a non-zero point  $\mathbf{a}$  in  $A_l(K^*)$  [FrJ08, p. 142, Cor. 7.7.2], hence also in  $A_l(K_{0,\text{sep}}K^*)$ .

In addition, since  $A$  is defined over  $K$  and since  $l \neq \text{char}(K)$ , the point  $\mathbf{a}$  belongs to  $A(K_{\text{sep}})$  (by Lemma 3.6). But, by Lemma 3.8,  $K_{\text{sep}}$  is linearly disjoint from  $K_{0,\text{sep}}K^*$  over  $K_{0,\text{sep}}K$ . Hence,  $\mathbf{a} \in A(K_{0,\text{sep}}K)$ . Therefore,  $\mathbf{a} \in A_l(K_{0,\text{sep}}K)$ . This contradicts the assumption we have made in (c).

**Proof of (d).** Since  $A(K_{0,\text{sep}}K)$  is a finitely generated abelian group, there exists a finite separable extension  $K'_0$  of  $K_0$  such that  $A(K'_0K)$  contains all of the generators of that group. Let  $R'_0$  be the integral closure of  $R_0$  in  $K'_0$ . For each  $\mathfrak{p} \in \text{Spec}(R_0)$  extend  $\mathfrak{p}$  to a prime ideal of the integral closure of  $R'_0$  and then to the integral closure  $R_{KK'_0}$  of  $RR'_0$  in  $KK'_0$ . Note that by Remark 3.5,  $A(KK'_0) = \mathcal{A}(R_{KK'_0})$ . Then consider the following commutative diagram,

$$\begin{array}{ccc} A(KK'_0)/lA(KK'_0) & \longrightarrow & \bar{A}_{\mathfrak{p}}((\overline{KK'_0})_{\mathfrak{p}})/l\bar{A}_{\mathfrak{p}}((\overline{KK'_0})_{\mathfrak{p}}) \\ \uparrow & & \uparrow \\ A(K)/lA(K) & \longrightarrow & \bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}})/l\bar{A}_{\mathfrak{p}}(\bar{K}_{\mathfrak{p}}), \end{array}$$

where the vertical arrows are the natural homomorphisms and the horizontal arrows are the corresponding reduction modulo  $\mathfrak{p}$ . By Lemma 3.9, the left vertical map is injective if  $l$  does not divide the finite index  $(A(KK'_0) : A(K))$ . Therefore, if the upper horizontal map is injective, then so is the lower horizontal map.

By [ZaS75, p. 265, Cor. 1],  $R'_0$  is a noetherian domain. By Remark 1.2  $R'_0$ , replacing  $R_0$ , satisfies Condition 1. Thus, replacing  $R_0$  by  $R'_0$ ,  $K_0$  by  $K'_0$ , and  $K$  by  $K'_0K$ , we may assume that

$$A(K) = A(K_{0,\text{sep}}K). \quad (15) \quad \{\text{finx}\}$$

As in the proof of (c), assume by contradiction that the map  $\bar{\rho}_{\mathfrak{p},l}$  is non-injective for all  $\mathfrak{p}$  in an infinite subset  $S_l$  of  $\text{Spec}(R_0)$ . Again, let  $\mathcal{D}$  be a non-principal ultrafilter on  $\text{Spec}(R_0)$  that contains  $S_l$  as an element and let  $K^* = \prod \bar{K}_{\mathfrak{p}}/\mathcal{D}$ . Since the non-injectivity of  $\bar{\rho}_{\mathfrak{p},l}$  is an elementary statement on  $A(K)$ , Loš' theorem [FrJ08, p. 142, Prop. 7.7.1], implies that the map

$$\bar{\rho}_l^* := \prod \bar{\rho}_{\mathfrak{p},l}/\mathcal{D}: A(K^{\text{Spec}(R_0)}/\mathcal{D})/lA(K^{\text{Spec}(R_0)}/\mathcal{D}) \rightarrow A(K^*)/lA(K^*) \quad (16) \quad \{\text{noni}\}$$

is non-injective.

On the other hand, consider  $\mathbf{a} \in A(K)$  for which there exists  $\mathbf{b} \in A(K^*)$  with  $l\mathbf{b} = \mathbf{a}$ . By Lemma 3.6,  $\mathbf{b} \in A(K_{\text{sep}})$ . By Lemma 3.8,  $K_{\text{sep}}$  is linearly disjoint from  $K_{0,\text{sep}}K^*$  over  $K_{0,\text{sep}}K$ . Hence,

$$\mathbf{b} \in A(K_{0,\text{sep}}K) \stackrel{(15)}{=} A(K).$$

It follows that the map

$$\varphi_l: A(K)/lA(K) \rightarrow A(K^*)/lA(K^*) \quad (17) \quad \{\text{injk}\}$$

induced by the  $\bar{\rho}_{\mathbf{p},l}$ 's is injective.

By assumption,  $A(K)$  is a finitely generated abelian group. Hence, the quotient  $A(K)/lA(K)$  is a finite abelian group. Therefore, again by Loš' theorem, both groups  $A(K)/lA(K)$  and  $A(K^{\text{Spec}(R_0)}/\mathcal{D})/lA(K^{\text{Spec}(R_0)}/\mathcal{D})$  have the same number of elements and the map

$$\psi_l: A(K)/lA(K) \rightarrow A(K^{\text{Spec}(R_0)}/\mathcal{D})/lA(K^{\text{Spec}(R_0)}/\mathcal{D})$$

is injective [FrJ08, last paragraph of p. 143]. It follows that  $\psi_l$  is even bijective. Moreover,  $\bar{\rho}_l^* \circ \psi_l = \varphi_l$ . Comparing (16) and (17), we get a contradiction.

**Proof of (e).** By assumption,  $A(K_{0,\text{sep}}K)$  is a finitely generated abelian group. Hence, for each large  $l$ , we have  $A_l(K_{0,\text{sep}}K) = \mathbf{0}$ .

As in the proof of (d), we may replace  $K_0$  by a suitable finite separable extension  $K'_0$  to assume that  $A(K) = A(K_{0,\text{sep}}K)$  is finitely generated. Note that if the reduction map  $A(KK'_0) \rightarrow \bar{A}_{\mathbf{p}}((\bar{K}K'_0)_{\mathbf{p}})$  is injective, then so is the reduction map  $A(K) \rightarrow \bar{A}_{\mathbf{p}}(\bar{K}_{\mathbf{p}})$ . Let  $l \neq \text{char}(K_0)$  be a large prime number. In particular,

$$A_l(K_{0,\text{sep}}K) = \mathbf{0}. \quad (18) \quad \{\text{alk0}\}$$

Then, by (d), (18), and (c),

$$\bar{\rho}_{\mathbf{p},l} \text{ is injective and } \bar{A}_{\mathbf{p},l}(\bar{K}_{\mathbf{p}}) = \mathbf{0} \text{ for almost all } \mathbf{p} \in \text{Spec}(R_0). \quad (19) \quad \{\text{injc}\}$$

By (b),

$$\rho_{\mathbf{p}} \text{ is injective on } A_{\text{tor}}(K) \text{ for almost all } \mathbf{p} \in \text{Spec}(R_0). \quad (20) \quad \{\text{ontr}\}$$

Since  $A(K)$  is a finitely generated abelian group,

$$A(K) = A_{\text{tor}}(K) \oplus B, \text{ where } B \text{ is a finitely generated free abelian group} \quad (21) \quad \{\text{fabg}\}$$

[Lan93, p. 147, Thm. 7.3]. Hence,  $\bigcap_{l=1}^{\infty} l^i B = \mathbf{0}$ .

Now consider  $\mathbf{p} \in \text{Spec}(R_0)$  that satisfies (19) and (20). Then,  $\bar{A}_{\mathbf{p},l}(\bar{K}_{\mathbf{p}}) = \mathbf{0}$ . Let  $\mathbf{b} \in B$  and suppose that  $\bar{\rho}_{\mathbf{p},l}(\mathbf{b} + lA(K)) \in l\bar{A}_{\mathbf{p}}(\bar{K}_{\mathbf{p}})$ . By (19),  $\mathbf{b} \in lA(K)$ , so there exist  $\mathbf{a}' \in A_{\text{tor}}(K)$  and  $\mathbf{b}' \in B$  such that  $\mathbf{b} = l\mathbf{a}' + l\mathbf{b}'$ . Hence, by (21),  $\mathbf{b} = l\mathbf{b}'$ . Thus,  $\bar{\rho}_{\mathbf{p},l}$  is injective on  $B/lB$ . Therefore, by the preceding paragraph and by Lemma 3.1, with  $C = \bar{A}_{\mathbf{p}}(\bar{K}_{\mathbf{p}})$ , we have that  $\rho_{\mathbf{p}}$  is injective on  $B$ . This means that  $\text{Ker}(\rho_{\mathbf{p}}) \subseteq A_{\text{tor}}(K)$ . We conclude from (20) that  $\rho_{\mathbf{p}}$  is injective, as claimed.  $\square$

## 4 Isotriviality of Abelian Varieties

We introduce the notion of  $\tilde{K}/\tilde{K}_0$ -isotriviality of abelian varieties and prove that if an abelian variety has no  $\tilde{K}/\tilde{K}_0$ -isotrivial quotients, then the same holds for almost all of its reductions. Again,  $K_0$  and  $K$  are the fields introduced in Setup 1.1.

**Remark 4.1** (Isogenies of abelian varieties). We say that the abelian variety  $A$  over  $K$  is **simple** if  $A$  is non-zero and has no non-zero proper abelian subvarieties over  $K$ .

Every morphism  $\alpha: A \rightarrow B$  of abelian varieties over  $K$  that maps the zero point of  $A$  onto the zero point of  $B$  is a homomorphism [Mil85, p. 107, Cor. 3.6]. Thus,  $\alpha(\mathbf{a} + \mathbf{a}') = \alpha(\mathbf{a}) + \alpha(\mathbf{a}')$  for all  $\mathbf{a}, \mathbf{a}' \in A(\tilde{K})$ . If, in addition,  $\alpha$  is surjective and  $\dim(A) = \dim(B)$ , then  $\text{Ker}(\alpha)$  is a finite group scheme and  $\alpha$  is an **isogeny** [Mil85, p. 114, Prop. 8.1].

In particular, multiplication of  $A$  by a positive integer  $n$  is an isogeny that we denote by  $n_A$  and set  $A_n = \text{Ker}(n_A)$ . By [Mil85, p. 115, Thm. 8.2],  $n_A$  is étale if and only if  $\text{char}(K) \nmid n$ . In that case

$$|A_n(K_{\text{sep}})| = n^{2\dim(A)} \quad (22) \quad \{\text{dimn}\}$$

[Mil85, p. 116, Rem. 8.4].

If  $\alpha: A \rightarrow B$  is an isogeny of abelian varieties over  $K$ , then there exists an isogeny  $\beta: B \rightarrow A$  and a positive integer  $n$  such that  $\beta \circ \alpha = n_A$  [Mum74, p. 169, Rem.].

Every birational map  $A \rightarrow B$  between abelian varieties over  $K$  that maps the zero point of  $A$  onto the zero point of  $B$  is an isomorphism [Mil85, p. 107, Rem. 3.7]. ■

**Remark 4.2.** Let  $A$  be an abelian variety over  $K$  and let  $B$  be an abelian subvariety of  $A$  over  $K$ . By a theorem of Poincaré,  $A$  has an abelian subvariety  $B'$  over  $K$  such that  $A = B + B'$  and  $B \cap B'$  is a finite group (see [Lan59, p. 28, Thm. 6] or [Mil85, p. 122, Prop. 12.1]). This gives a short exact sequence

$$\mathbf{0} \longrightarrow C \longrightarrow B \times B' \xrightarrow{\beta} A \longrightarrow \mathbf{0}$$

with  $\beta(\mathbf{b}, \mathbf{b}') = \mathbf{b} + \mathbf{b}'$  and

$$C = \{(\mathbf{b}, \mathbf{b}') \in B \times B' \mid \mathbf{b} + \mathbf{b}' = \mathbf{0}\} = \{(\mathbf{b}, -\mathbf{b}) \in B \times B' \mid \mathbf{b} \in B\} \cong B \cap B'$$

is finite. Thus,  $\beta$  is an isogeny.

Using induction on  $\dim(A)$ , we find a short exact sequence

$$\mathbf{0} \longrightarrow A_0 \longrightarrow A_1 \times \cdots \times A_r \xrightarrow{\alpha} A \longrightarrow \mathbf{0}, \quad (23) \quad \{\text{chvb}\}$$

where  $A_1, \dots, A_r$  are simple abelian subvarieties of  $A$ , defined over  $K$ , such that  $A_1 + \cdots + A_r = A$ . Thus,  $A_0$  is a finite subgroup of  $A$ . In particular,  $\alpha$  is an isogeny.

{ISOt}

{ISOu}

{CHEv}

Claim: Every simple abelian subvariety  $B$  of  $A$  is isogeneous to  $A_i$  for some  $i$  between 1 and  $r$ .

Indeed, by Remark 4.1, the short exact sequence (23) yields another short exact sequence

$$\mathbf{0} \longrightarrow A'_0 \longrightarrow A \xrightarrow{\alpha'} A_1 \times \cdots \times A_r \longrightarrow \mathbf{0}, \quad (24) \quad \{\text{chva}\}$$

with  $A'_0$  finite.

Now note that  $\text{Ker}(\alpha'|_B)$  as a subgroup of  $\text{Ker}(\alpha')$  is finite. Hence,  $\alpha'|_B: B \rightarrow \alpha'(B)$  is an isogeny and therefore  $\alpha'(B)$  is a simple abelian subvariety of  $A_1 \times \cdots \times A_r$ , in particular  $\alpha'(B) \neq \mathbf{0}$ . Therefore, there exists  $i$  between 1 and  $r$  such that the projection  $\pi_i: A_1 \times \cdots \times A_r \rightarrow A_i$  is non-zero on  $\alpha'(B)$ . Since  $A_i$  and  $\alpha'(B)$  are simple,  $\pi_i|_{\alpha'(B)}: \alpha'(B) \rightarrow A_i$  is an isogeny. Thus,  $B$  is isogeneous to  $A_i$ , as claimed.

Following the claim we call  $A_1, \dots, A_r$  the **simple quotients** of  $A$ . The existence and the uniqueness (up to isogenies) of the simple quotients is **Poincaré's complete reducibility theorem** (see [Lan59, p. 30, Cor.] or [Mil85, p. 122, Prop. 12.1]).

By our construction, every simple quotient of  $A$  is isomorphic to a simple abelian subvariety of  $A$ . Conversely, by the Claim, every simple abelian subvariety of  $A$  is also a simple quotient of  $A$ .

Finally, we note that if  $K$  is separably closed and in particular if  $K$  is algebraically closed, then the decomposition of  $A$  into a direct product of simple abelian varieties does not change, up to isogeny, under extensions of  $K$  [Con06, Cor. 3.21]. ■

As usual, we say that a geometrically integral algebraic variety  $V$  over  $K$  is **defined over a subfield**  $K_0$  if there exists a geometrically integral variety  $V_0$  over  $K_0$  such that  $V_{0,K} := V_0 \times_{\text{Spec}(K_0)} \text{Spec}(K) \cong V$ .

Analogous definition applies to the notion “a morphism  $f: V \rightarrow W$  between geometrically integral varieties”.

**Lemma 4.3.** *Let  $A$  be an abelian variety over  $\tilde{K}_0$  and let  $B$  be an abelian variety over  $\tilde{K}$ . Then:* {MSPc}

- (a)  $A_{\text{tor}}(\tilde{K}) = A_{\text{tor}}(\tilde{K}_0)$ .
- (b)  $A_{\text{tor}}(\tilde{K}_0)$  is Zariski-dense in  $A$ .
- (c) If  $B$  is already defined over  $\tilde{K}_0$ , then every abelian subvariety of  $B$  and every homomorphism  $\alpha: A_{\tilde{K}} \rightarrow B$  are already defined over  $\tilde{K}_0$ .
- (d) Every automorphism of  $A_{\tilde{K}}$  is already defined over  $\tilde{K}_0$ .

**Proof of (a).** Let  $\mathbf{a} \in A_{\text{tor}}(\tilde{K})$  and let  $n$  be the order of  $\mathbf{a}$ . Then,  $\mathbf{a}$  is a  $\tilde{K}$ -rational point of the finite subgroup scheme  $A_n$  of  $A$  (Remark 4.1). Since  $A_n$  is defined over  $\tilde{K}_0$ , all of its points are  $\tilde{K}_0$ -rational, as claimed.

**Proof of (b).** We follow [Spe14].

The Zariski-closure of  $A_{\text{tor}}(\tilde{K}_0)$  is an abelian algebraic subgroup  $T$  of  $A$  over  $\tilde{K}_0$ . Hence,  $A_{\text{tor}}(\tilde{K}_0) \subseteq T(\tilde{K}_0) \subseteq A(\tilde{K}_0)$ , so  $A_{\text{tor}}(\tilde{K}_0) \subseteq T_{\text{tor}}(\tilde{K}_0) \subseteq A_{\text{tor}}(\tilde{K}_0)$ . Therefore,

$$A_{\text{tor}}(\tilde{K}_0) = T_{\text{tor}}(\tilde{K}_0) \quad (25) \quad \{\text{atbt}\}$$

and  $\dim(T) \leq \dim(A)$ . The connected component  $C$  of the zero point of  $T$  is a projective group variety, hence an abelian variety (Remark 3.2, third paragraph). Moreover,  $T(\tilde{K}_0)/C(\tilde{K}_0)$  is a finite group [Bor91, p. 46, Prop.(b)] which is abelian.

Choose a prime number  $l > \max(|T(\tilde{K}_0)/C(\tilde{K}_0)|, \text{char}(K))$ . Since  $T(\tilde{K}_0)$  is an abelian group, we have  $|T_l| = |C_l|$ . Hence,

$$l^{2\dim(A)} \stackrel{(22)}{=} |A_l| \stackrel{(25)}{=} |T_l| = |C_l| \stackrel{(22)}{=} l^{2\dim(C)}.$$

Therefore,  $\dim(A) = \dim(C)$ , hence  $A = C \leq T$ , so  $A = T$ , as claimed.

**Proof of (c).** See [Mil85, p. 146, Cor. 20.4].

**Proof of (d).** Statement (d) is a special case of Statement (c).  $\square$

**Corollary 4.4.** *Let  $A$  be an abelian variety over  $\tilde{K}$ .*

- (a) *If all of the simple quotients of  $A$  are defined over  $\tilde{K}_0$ , then  $A$  is defined over  $\tilde{K}_0$ .*
- (b) *If  $A$  is defined over  $\tilde{K}_0$  and  $B$  is an abelian variety over  $\tilde{K}$  which is isogeneous to  $A$ , then  $B$  is also defined over  $\tilde{K}_0$ .*

**Proof of (a).** The abelian varieties  $A_1, \dots, A_r$  that appear in the short exact sequence (23) are the simple quotients of  $A$ , so by our assumption, they are defined over  $\tilde{K}_0$ . Moreover,  $A_0$  is a finite subgroup of  $A$  (second paragraph of Remark 4.2). Hence, by Lemma 4.3(a),

$$A_0(\tilde{K}) \subseteq A_{1,\text{tor}}(\tilde{K}) \times \cdots \times A_{r,\text{tor}}(\tilde{K}) \subseteq A_1(\tilde{K}_0) \times \cdots \times A_r(\tilde{K}_0).$$

Hence,  $A_0(\tilde{K}) = A_0(\tilde{K}_0)$ , so by (23),  $A$  is isomorphic over  $\tilde{K}$  to the  $\tilde{K}_0$ -abelian variety  $(A_1 \times \cdots \times A_r)/A_0$ . Thus,  $A$  is defined over  $\tilde{K}_0$ .

**Proof of (b).** The simple quotients of  $B$  are isogeneous to the simple quotients of  $A$ , so as in the proof of (a), each of them is defined over  $\tilde{K}_0$ . It follows again by (a) that  $B$  is defined over  $\tilde{K}_0$ .  $\square$

**Definition 4.5** (Isotriviality). Let  $A$  be an abelian variety over  $K$ . We say that  $A_{\tilde{K}}$  **has a  $\tilde{K}/\tilde{K}_0$ -isotrivial quotient** if there exist an abelian variety  $T$  over  $\tilde{K}_0$  and a non-zero homomorphism  $\tau: T_{\tilde{K}} \rightarrow A_{\tilde{K}}$ . By Remark 4.2, this is equivalent for  $A_{\tilde{K}}$  to have a quotient which is defined over  $\tilde{K}_0$ .  $\blacksquare$

**Remark 4.6** (The trace of an abelian variety). Let  $A$  be an abelian variety over  $K$ . Then, there exists an abelian variety  $\text{Tr}_{K/K_0}(A)$  over  $K_0$  and a homomorphism

$$\tau_{A,K/K_0}: \text{Tr}_{K/K_0}(A)_K \rightarrow A \quad (26) \quad \{\text{trac}\}$$

{DEFi}

{COMp}

{TRAv}

(defined over  $K$ ) satisfying the following universal property:

Given an abelian variety  $B$  over  $K_0$  and a homomorphism  $\sigma: B_K \rightarrow A$ , there exists a unique homomorphism  $\rho: B \rightarrow \mathrm{Tr}_{K/K_0}(A)$  such that  $\sigma = \tau_{A,K/K_0} \circ \rho_K$ . See [Lan59, p. 213, Thm. 8] or [Con06, Thm. 6.2]. (Note that by Setup 1.1,  $K/K_0$  is a regular extension, in particular  $K/K_0$  is a primary extension, as needed in Conrad's theorem.)

The pair  $(\mathrm{Tr}_{K/K_0}(A), \tau_{A,K/K_0})$  is called the  $K/K_0$ -**trace** of  $A$ .

By [Con06, Thm. 6.8], the base change from  $K_0$  to  $\tilde{K}_0$  of (26) yields the trace

$$\tau_{A_{K\tilde{K}_0}, \tilde{K}/\tilde{K}_0}: \mathrm{Tr}_{K\tilde{K}_0/\tilde{K}_0}(A_{K\tilde{K}_0})_{K\tilde{K}_0} \rightarrow A_{K\tilde{K}_0}.$$

With  $\tau := \tau_{A,K/K_0}$  and  $\tilde{\tau} := \tau_{A_{K\tilde{K}_0}, \tilde{K}/\tilde{K}_0}$  the above mentioned objects fit into the following commutative diagram:

$$\begin{array}{ccccc} & & A & \longleftarrow & A_{K\tilde{K}_0} \\ & \nearrow \sigma & & \nwarrow \tau & \longleftarrow \tilde{\tau} \\ B_K & \xrightarrow{\rho_K} & \mathrm{Tr}_{K/K_0}(A)_K & \longleftarrow & \mathrm{Tr}_{K\tilde{K}_0/\tilde{K}_0}(A_{K\tilde{K}_0})_{K\tilde{K}_0} \\ \downarrow & & \downarrow & & \\ B & \xrightarrow{\rho} & \mathrm{Tr}_{K/K_0}(A) & & \end{array}$$

In addition, the map  $\tau$  is injective on  $K$ -points, so  $\mathrm{Tr}_{K/K_0}(A)(K_0)$  is naturally a subgroup of  $A(K)$  [Con06, first paragraph of §7]. In particular, if  $A$  has no  $\tilde{K}/\tilde{K}_0$ -isotrivial quotients, alternatively,  $A$  has no simple quotient which is defined over  $\tilde{K}_0$ , then  $\mathrm{Tr}_{K\tilde{K}_0/\tilde{K}_0}(A_{K\tilde{K}_0})(\tilde{K}_0) = \mathbf{0}$ , so  $\mathrm{Tr}_{K\tilde{K}_0/\tilde{K}_0}(A_{K\tilde{K}_0}) = \mathbf{0}$ . Hence,  $\mathrm{Tr}_{K/K_0}(A) = \mathbf{0}$ . ■

The next result is a relative Mordell-Weil theorem and is due to Lang-Néron [Lan62, Chap. V]. See also [Con06, Thm. 7.1].

**Proposition 4.7.** *Let  $A$  be an abelian variety over  $K$ . Then, the quotient group*

$$A(K)/\mathrm{Tr}_{K/K_0}(A)(K_0)$$

*is finitely generated.*

Non-regularity of finitely generated extension of fields can be “corrected” by going over to finite extensions:

**Lemma 4.8.** *Let  $M/M_0$  be a finitely generated extension of fields. Then,  $M_0$  has a finite extension  $M_0''$  and  $M$  has a finite extension  $M''$  such that  $M''/M_0''$  is a finitely generated regular extension.*

**Proof.** The maximal purely inseparable extension  $M_{0,\mathrm{ins}}$  of  $M_0$  is perfect. Hence,  $MM_{0,\mathrm{ins}}/M_{0,\mathrm{ins}}$  is a finitely generated separable extension. Let  $\mathbf{t} := (t_1, \dots, t_r)$ , with  $t_1, \dots, t_r \in M$ , be a separating transcendence base for the latter extension. In particular,  $MM_{0,\mathrm{ins}}/M_{0,\mathrm{ins}}(\mathbf{t})$  is a finite separable extension.

{MWLn}

{rglr}

Let  $f \in M_{0,\text{ins}}(\mathbf{t})[X]$  be an irreducible polynomial for a primitive element  $x$  of the latter extension and choose a finite extension  $M'_0$  of  $M_0$  in  $M_{0,\text{ins}}$  that contains the coefficients of the rational functions that appear as coefficients of  $f(\mathbf{t}, X)$  as a polynomial in  $X$ . Also, suppose that  $M = M_0(t_1, \dots, t_r, s_1, \dots, s_m)$  and enlarge  $M'_0$  to assume that  $s_1, \dots, s_m \in M'' := M'_0(\mathbf{t}, x)$ . Then,  $M \subseteq M''$  and  $M''$  is a finite separable extension of  $M'_0(\mathbf{t})$ .

$$\begin{array}{ccccc}
 M & \xrightarrow{\quad} & M'' & \xrightarrow{\quad} & M M_{0,\text{ins}} \\
 \downarrow & & \downarrow & & \downarrow \\
 & & M'_0(\mathbf{t}) & \xrightarrow{\quad} & M_{0,\text{ins}}(\mathbf{t}) \\
 \downarrow & & \downarrow & & \downarrow \\
 M_0 & \xrightarrow{\quad} & M'_0 & \xrightarrow{\quad} & M_{0,\text{ins}}
 \end{array}
 \qquad
 \begin{array}{ccccc}
 M & \xrightarrow{\quad} & M'' & \xrightarrow{\quad} & M'' \\
 \downarrow & & \downarrow & & \downarrow \\
 & & M'_0(\mathbf{t}) & \xrightarrow{\quad} & M''_0(\mathbf{t}) \\
 \downarrow & & \downarrow & & \downarrow \\
 M_0 & \xrightarrow{\quad} & M'_0 & \xrightarrow{\quad} & M''_0 := M'' \cap \tilde{M}_0
 \end{array}$$

Now observe that  $M''_0$  is algebraically closed in  $M''$ . Moreover, since  $M''/M'_0(\mathbf{t})$  is a finite separable extension, so is  $M''/M''_0(\mathbf{t})$ . Since  $t_1, \dots, t_r$  are algebraically independent over  $M''_0$ , we conclude that  $M''/M''_0$  is finitely generated and separable. Therefore, by [FrJ08, p. 39, Lemma 2.6.4],  $M''/M''_0$  is regular, as desired.  $\square$

If in addition to the assumptions of Proposition 4.7,  $A$  has no  $\tilde{K}/\tilde{K}_0$ -isotrivial quotients, then by Remark 4.6,  $\text{Tr}_{K/K_0}(A) = \mathbf{0}$ . This yields the following result.

**Corollary 4.9.** *Let  $M/M_0$  be a finitely generated extension of fields and let  $A$  be an abelian variety over  $M$ . Suppose that  $A_{\tilde{M}}$  has no simple quotient which is defined over  $\tilde{M}_0$ . Then,  $A(M)$  is finitely generated.*

{FInG}

**Proof.** We use Lemma 4.8 to choose finite extensions  $M''_0$  and  $M''$  of  $M_0$  and  $M$ , respectively, such that  $M''_0 \subseteq M''$  and  $M''/M''_0$  is a finitely generated regular extension. Then,  $(A_{M''})_{\tilde{M}} \cong A_{\tilde{M}}$  has no simple quotient which is defined over  $\tilde{M}_0$ . By Remark 4.6,  $\text{Tr}_{M''/M''_0}(A_{M''}) = \mathbf{0}$ . Hence, by Proposition 4.7,  $A(M'')$  is finitely generated. Since  $A(M) \subseteq A(M'')$ , also  $A(M)$  is finitely generated, as claimed.  $\square$

The next result is Corollary 7 on page 201 of [Hru98].

**Lemma 4.10.** *Let  $B$  be an abelian variety over an algebraically closed field  $F_0$ . Let  $F$  be an extension of  $F_0$ , let  $A$  be an abelian variety over  $F$ , and let  $h: B_F \rightarrow A$  be a homomorphism. Then,  $F$  has an extension  $F'$  of degree at most  $\beta$ , where  $\beta = \beta(\dim(A))$  depends only on  $\dim(A)$ , such that  $h(B_F)_{\text{tor}}(\tilde{F}) \subseteq A(F')$ .*

{BETa}

Lemma 4.10 also follows from [Sil92, Thm. 4.2 and Cor. 3.3], with  $\beta(\dim(A)) = 2(9\dim(A))^{2\dim(A)}$ , and the fact that a surjective homomorphism of abelian varieties over an algebraically closed field induces an epimorphism on the torsion points. See <https://mathoverflow.net/questions/266512/a-surjective-morphism-of-abelian-varieties-induces-an-epimorphism-on-the-torsion>

{SURj}

**Lemma 4.11.** *Let  $A, K, R$  be as in Remark 3.2 and let  $n$  be a positive integer with  $\text{char}(K) \nmid n$ . Then, for almost all  $\mathfrak{p} \in \text{Spec}(R)$ , reduction modulo  $\mathfrak{p}$  maps  $A_n(\tilde{K})$  isomorphically onto  $\bar{A}_{\mathfrak{p},n}(\bar{K}_{\mathfrak{p},\text{alg}})$ . Hence, the same holds for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .*

**Proof.** The case where  $R = R_0$  is a Dedekind ring follows from [SeT68, Lemma 2]. Indeed, in this case for almost all  $\mathfrak{p} \in \text{Spec}(R)$ ,  $R_{\mathfrak{p}}$  is a discrete valuation ring with a trivial inertia group.

We prove the general case by model theory as follows.

For almost all  $\mathfrak{p} \in \text{Spec}(R)$  we consider the abelian variety  $\bar{A}_{\mathfrak{p}}$  and the homomorphism  $\rho_{\mathfrak{p}}$  induced by reduction modulo  $\mathfrak{p}$  which is introduced in Remark 3.5. In particular,  $\rho_{\mathfrak{p}}$  maps  $A_n(\tilde{K})$  into  $\bar{A}_{\mathfrak{p},n}(\bar{K}_{\mathfrak{p},\text{alg}})$ .

Since the statement “ $\mathbf{y}, \mathbf{y}' \in A_n(\tilde{K})$  and  $\mathbf{y} \neq \mathbf{y}'$ ” is elementary, we find that for almost all  $\mathfrak{p}$ ,  $\rho_{\mathfrak{p}}$  maps  $A_n(\tilde{K})$  injectively into  $\bar{A}_{\mathfrak{p},n}(\bar{K}_{\mathfrak{p},\text{alg}})$ .

By Remark 3.5,  $\dim(A) = \dim(\bar{A}_{\mathfrak{p}})$  for almost all  $\mathfrak{p}$ . Hence,

$$|A_n(\tilde{K})| \stackrel{(22)}{=} n^{2\dim(A)} = n^{2\dim(\bar{A}_{\mathfrak{p}})} \stackrel{(22)}{=} |\bar{A}_{\mathfrak{p},n}(\bar{K}_{\mathfrak{p},\text{alg}})|$$

for almost all  $\mathfrak{p}$ . It follows from the preceding paragraph that for almost all  $\mathfrak{p}$ ,  $\rho_{\mathfrak{p}}$  maps  $A_n(\tilde{K})$  isomorphically onto  $\bar{A}_{\mathfrak{p},n}(\bar{K}_{\mathfrak{p},\text{alg}})$ , as claimed.  $\square$

The following lemma is not optimal, but it is all we need for the proof of Theorem 4.13 below.

{HTOr}

**Lemma 4.12.** *Let  $F$  be an algebraically closed field and  $h: B \rightarrow B'$  a non-zero homomorphism of abelian varieties over  $F$ . Let  $n$  be a positive integer which is not a multiple of  $\text{char}(F)$ . Then,  $h(B(F))$  contains a point of order  $n$ .*

**proof.** By assumption,  $B'' := h(B)$  is an abelian subvariety of  $B'$  of positive dimension. Since  $F$  is algebraically closed,  $B''(F) = h(B(F))$ . By (22),  $B''(F) \cong (\mathbb{Z}/n\mathbb{Z})^{2\dim(B'')} \neq \mathbf{0}$ , as stated.  $\square$

We prove an analog of [Hru98, p. 201, Cor. 8].

{HRSO}

**Theorem 4.13.** *Let  $R_0, K_0, R$ , and  $K$  be as in Setup 1.1 and let  $A$  be an abelian variety over  $K$  such that no simple quotient of  $A_{\tilde{K}}$  is defined over  $\tilde{K}_0$ .*

*Then, for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ ,  $\bar{A}_{\mathfrak{p}}$  is an abelian variety over  $\bar{K}_{\mathfrak{p}}$  and no simple quotient of  $\bar{A}_{\mathfrak{p},\bar{K}_{\mathfrak{p},\text{alg}}}$  is defined over  $\bar{K}_{0,\mathfrak{p},\text{alg}}$ .*

**Proof.** We fix a prime number  $l \neq \text{char}(K)$  and let  $\beta := \beta(\dim(A))$  be the constant introduced in Lemma 4.10.

Part A: *There exists a positive integer  $i$  such that for each  $\mathbf{y}$  in  $A(\tilde{K})$  of order  $l^i$  we have  $[K\tilde{K}_0(\mathbf{y}) : K\tilde{K}_0] > \beta$ .*

Indeed, we assume by contradiction that for each positive integer  $i$  the set

$$S_i = \{\mathbf{y} \in A(\tilde{K}) \mid \text{ord}(\mathbf{y}) = l^i \text{ and } [K\tilde{K}_0(\mathbf{y}) : K\tilde{K}_0] \leq \beta\}$$

is non-empty. Since  $S_i \subseteq A_{l^i}(\tilde{K})$ , the set  $S_i$  is finite (Remark 4.1).

If  $\mathbf{y} \in S_{i+1}$ , then  $l\mathbf{y} \in S_i$ . Since the inverse limit of finite non-empty sets is non-empty [FrJ08, p. 3, Cor. 1.1.4], this yields an infinite sequence  $\mathbf{y}_1, \mathbf{y}_2, \mathbf{y}_3, \dots$  of points in  $A_{l^\infty}(\tilde{K})$  such that  $l\mathbf{y}_{i+1} = \mathbf{y}_i$  for  $i = 1, 2, 3, \dots$  and  $[K\tilde{K}_0(\mathbf{y}_i) : K\tilde{K}_0] \leq \beta$ .

Note that  $K\tilde{K}_0(\mathbf{y}_i) \subseteq K\tilde{K}_0(\mathbf{y}_{i+1})$ . Hence, by the preceding paragraph, the sequence  $K\tilde{K}_0(\mathbf{y}_1) \subseteq K\tilde{K}_0(\mathbf{y}_2) \subseteq K\tilde{K}_0(\mathbf{y}_3) \subseteq \dots$  becomes stationary at some point. Thus,  $K\tilde{K}_0$  has a finite extension  $M$  such that  $\mathbf{y}_i \in A(M)$  for all  $i$ . It follows that  $A_{l^\infty}(M)$  is infinite.

On the other hand,  $\tilde{M} = \tilde{K}$ . Since no simple quotient of  $A_{\tilde{M}}$  is defined over  $\tilde{K}_0$ , the abelian group  $A(M)$  is finitely generated (Corollary 4.9). In particular,  $A_{l^\infty}(M)$  is finite (see the second paragraph of Section 3). This contradiction to the preceding paragraph proves our claim.

Part B: *Reduction modulo  $\mathfrak{p}$ .* By Setup 1.1,  $K = K_0(\mathbf{x})$  with  $\mathbf{x} = (x_1, \dots, x_n)$ . Thus,  $K\tilde{K}_0 = \tilde{K}_0(\mathbf{x})$ , so by Part A

$$[\tilde{K}_0(\mathbf{x}, \mathbf{y}) : \tilde{K}_0(\mathbf{x})] > \beta \text{ for every } \mathbf{y} \in A(\tilde{K}) \text{ of order } l^i. \quad (27) \quad \{\text{kxya}\}$$

We embed  $A$  in  $\mathbb{P}_K^m$  for some positive integer  $m$  (Remark 3.2). Let  $V$  be the integral affine variety over  $\tilde{K}_0$  with generic point  $\mathbf{x}$  and recall that  $\mathbf{x}$  has been chosen in Setup 1.1 such that  $V$  is smooth. For every  $\mathbf{y} \in A(\tilde{K})$  of order  $l^i$  we denote the integral subvariety of  $\mathbb{A}_{\tilde{K}_0}^n \times \mathbb{P}_{\tilde{K}_0}^m$  with generic point  $(\mathbf{x}, \mathbf{y})$  by  $W_{\mathbf{y}}$ .

Claim: *For almost all  $\mathfrak{p} \in \text{Spec}(R_0)$  and every  $\mathbf{y} \in A(\tilde{K})$  of order  $l^i$ , we have*

$$[\bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}}, \bar{\mathbf{y}}_{\mathfrak{p}}) : \bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}})] > \beta, \quad (28) \quad \{\text{hrtb}\}$$

where  $\bar{\mathbf{x}}_{\mathfrak{p}}$  is a generic point of  $\bar{V}_{\mathfrak{p}}$  and such that, as in Example 1.8,  $(\bar{\mathbf{x}}_{\mathfrak{p}}, \bar{\mathbf{y}}_{\mathfrak{p}})$  is a reduction modulo  $\mathfrak{p}$  of  $(\mathbf{x}, \mathbf{y})$  that generates  $\bar{W}_{\mathbf{y},\mathfrak{p}}$ .

Indeed, by Remark 4.1,  $A(\tilde{K})$  has only finitely many points  $\mathbf{y}$  whose order is  $l^i$ . Hence, it suffices to consider  $\mathbf{y} \in A(\tilde{K})$  of order  $l^i$  and to prove (28) for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ .

By Lemma 3.6,  $\tilde{K}_0(\mathbf{x}, \mathbf{y})/\tilde{K}_0(\mathbf{x})$  is a finite separable extension. Let  $\varphi: W_{\mathbf{y}} \rightarrow V$  be the rational map defined by  $\varphi(\mathbf{x}, \mathbf{y}) = \mathbf{x}$ . Since  $\varphi$  is separable and  $V$  is normal (because  $V$  is smooth),  $d := [\tilde{K}_0(\mathbf{x}, \mathbf{y}) : \tilde{K}_0(\mathbf{x})] = \deg(\varphi)$  is the number of points in  $\varphi^{-1}(\mathbf{a})$  for every  $\mathbf{a}$  in  $V_0(\tilde{K}_0)$  for some non-empty open subset  $V_0$  of  $V$  [Mil17, p. 182, Thm. 8.40]. Thus, the equality  $d = \deg(\varphi)$  is an elementary statement on  $\tilde{K}_0$ .

It follows by Remarks 3.5 and 1.6 that  $\bar{\varphi}_{\mathfrak{p}}: \bar{W}_{\mathbf{y},\mathfrak{p}} \rightarrow \bar{V}_{\mathfrak{p}}$  is a separable rational map with  $\deg(\bar{\varphi}_{\mathfrak{p}}) = d$  for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ . Hence, by the preceding paragraph, for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$  we have

$$\begin{aligned} [\bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}}, \bar{\mathbf{y}}_{\mathfrak{p}}) : \bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}})] &= \deg(\bar{\varphi}_{\mathfrak{p}}) = d \\ &= \deg(\varphi) = [\tilde{K}_0(\mathbf{x}, \mathbf{y}) : \tilde{K}_0(\mathbf{x})] \stackrel{(27)}{>} \beta, \end{aligned}$$

as claimed.

Conclusion of the proof: For almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ ,  $\bar{A}_{\mathfrak{p}}$  is an abelian variety over  $\bar{K}_{\mathfrak{p}}$  with  $\dim(\bar{A}_{\mathfrak{p}}) = \dim(A)$  (Remark 3.5). By Lemma 4.11, for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ , reduction modulo  $\mathfrak{p}$  maps  $A_{l^i}(\bar{K})$  isomorphically onto  $\bar{A}_{\mathfrak{p},l^i}(\bar{K}_{\mathfrak{p},\text{alg}})$ . Hence, by the claim,

$$\begin{aligned} \text{for all } \bar{\mathbf{y}} \in \bar{A}_{\mathfrak{p},l^i}(\bar{K}_{\mathfrak{p},\text{alg}}) \text{ of order } l^i \\ \text{we have } [\bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}}, \bar{\mathbf{y}}) : \bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}})] > \beta. \end{aligned} \quad (29) \quad \{\text{cncm}\}$$

Let  $\mathfrak{p}$  be a prime ideal of  $R_0$  that satisfies (29). We assume by contradiction that  $\bar{A}_{\mathfrak{p},\bar{K}_{\mathfrak{p},\text{alg}}}$  has a non-trivial  $\bar{K}_{0,\mathfrak{p},\text{alg}}$ -quotient. Thus, by Definition 4.5, there exist an abelian variety  $B$  over a finite extension of  $\bar{K}_{0,\mathfrak{p}}$  and a non-zero homomorphism  $h: B_{\bar{K}_{\mathfrak{p},\text{alg}}} \rightarrow \bar{A}_{\mathfrak{p},\bar{K}_{\mathfrak{p},\text{alg}}}$ . By the preceding paragraph,  $\beta(\dim(A)) = \beta(\dim(\bar{A}_{\mathfrak{p}}))$ . By Lemma 4.10 with  $\bar{K}_{0,\mathfrak{p},\text{alg}}$  and  $\bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}})$  replacing  $F_0$  and  $F$ , respectively, all torsion points of  $h(B_{\bar{K}_{0,\mathfrak{p},\text{alg}}})$  are rational over a finite extension of  $\bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}})$  of degree at most  $\beta$ . But by Lemma 4.12,  $h(B_{\bar{K}_{0,\mathfrak{p},\text{alg}}})$  contains a point  $\bar{\mathbf{y}}$  of order  $l^i$ . By what we have just said, the degree of  $\bar{\mathbf{y}}$  over  $\bar{K}_{0,\mathfrak{p},\text{alg}}(\bar{\mathbf{x}}_{\mathfrak{p}})$  is at most  $\beta$ . This contradiction to (29) proves that  $\bar{A}_{\mathfrak{p},\bar{K}_{\mathfrak{p},\text{alg}}}$  has no  $\bar{K}_{0,\mathfrak{p},\text{alg}}$ -quotient, as claimed.  $\square$

**Corollary 4.14.** *Let  $R_0, K_0, R$ , and  $K$  be as in Setup 1.1 and let  $C$  be an elliptic curve over  $K$  such that  $C_{\bar{K}}$  is not defined over  $\bar{K}_0$ .* \{HRSp\}

*Then, for almost all  $\mathfrak{p} \in \text{Spec}(R_0)$ ,  $\bar{C}_{\mathfrak{p}}$  is an elliptic curve over  $\bar{K}_{\mathfrak{p}}$  and  $\bar{C}_{\mathfrak{p},\bar{K}_{\mathfrak{p},\text{alg}}}$  is not defined over  $\bar{K}_{0,\mathfrak{p},\text{alg}}$ .*

## 5 A Moduli Space

Let  $F/F_0$  be an extension of fields. We say that a geometrically integral curve  $C$  over  $F$  is  $\bar{F}/\bar{F}_0$ -**isotrivial** if there exists a geometrically integral curve  $C_0$  over  $\bar{F}_0$  such that  $C_{0,\bar{F}}$  is birationally equivalent to  $C_{\bar{F}}$ . Recall that if both  $C$  and  $C_0$  are smooth and projective, then the latter condition implies that  $C_{0,\bar{F}}$  is isomorphic to  $C_{\bar{F}}$  [Har77, p. 45, Cor. 6.12]. \{MSP\}

We prove that “ $\bar{K}/\bar{K}_0$ -non-isotriviality” for curves over  $K$  is preserved under almost all reductions with respect to prime ideals of  $R_0$ . As in the preceding sections,  $K/K_0$  is the finitely generated field extension introduced in Setup 1.1 and  $R_0$  is a noetherian domain with  $\text{Quot}(R_0) = K_0$ .

**Remark 5.1.** Recall that a **quasi-projective** morphism (see [Liu06, p. 109, Def. 3.35] for a definition) is stable under base change. See [Liu06, p. 112, Exer. 3.20(a)] or [GoW10, p. 575, quasi-projective satisfies (BC)].  $\blacksquare$  \{morpm\}

**Remark 5.2.** A **curve of genus  $g$  over a scheme  $S$**  is a smooth and proper morphism  $\pi: C \rightarrow S$  of schemes whose geometric fibers  $C_{\bar{s}} = C \times_S \text{Spec}(\Omega)$ , for each morphism  $\bar{s}: \text{Spec}(\Omega) \rightarrow S$ , where  $\Omega$  is an algebraically closed field, are irreducible curves of genus  $g$ . By [Liu06, p. 104, Prop. 3.16(c) and p. 143, Prop. 3.38],  $C_{\bar{s}}$  is proper and smooth over  $\text{Spec}(\Omega)$ . Hence, by [Liu06, p. 109, \{crgn\}

Rem. 3.33],  $C_{\bar{s}}$  is projective over  $\text{Spec}(\Omega)$ . Therefore, by Remark 2.1,  $C_{\bar{s}}$  is also conservative. ■

{rpfm}

**Remark 5.3.** For a scheme  $M$  we denote by  $h_M$  the **representable functor** from the category of schemes to the category of sets defined by  $h_M(T) = \text{Hom}(T, M)$  for each scheme  $T$ , where  $\text{Hom}(T, M)$  is the set of morphisms of schemes from  $T$  to  $M$  [GoW10, p. 93, Section 4.1].

Then,  $h_M$  is a contravariant functor from the category of schemes to the category of sets. Thus, for every morphism  $f: T \rightarrow S$  of schemes we have a map  $h_M(f): h_M(S) \rightarrow h_M(T)$  that attaches to each morphism  $\varphi: S \rightarrow M$  the morphism  $\varphi \circ f: T \rightarrow M$ . ■

{MgS}

**Remark 5.4.** Suppose that  $g \geq 2$  and let  $S$  be a noetherian scheme. We denote by  $\mathcal{M}_g(S)$  the set of all curves of genus  $g$  over  $S$ , modulo isomorphism. Then,  $\mathcal{M}_g$  is a contravariant functor from the category of noetherian schemes to the category of sets. Thus, for every morphism  $f: T \rightarrow S$  of noetherian schemes we have a map  $\mathcal{M}_g(f): \mathcal{M}_g(S) \rightarrow \mathcal{M}_g(T)$  that attaches to each curve  $\pi: C \rightarrow S$  of genus  $g$  the curve  $\pi_T: C \times_S T \rightarrow T$ , which is also of genus  $g$ , with  $\pi_T$  being the projection on the second factor.

By [MFK94, p. 143, Cor. 7.14 and p. 99, Def. 5.6], there exists a scheme  $M_g$  over  $\text{Spec}(\mathbb{Z})$  which satisfies

$$M_g \text{ is quasi-projective over the open subset } \text{Spec}(\mathbb{Z}) \setminus \{p\mathbb{Z}\} \quad (30) \quad \{\text{Eq5\_1}\}$$

of  $\text{Spec}(\mathbb{Z})$ , for each prime number  $p$ ,

and there exists a morphism  $\Phi_g$  from the functor  $\mathcal{M}_g$  to the functor  $h_{M_g}$ , in particular for each noetherian scheme  $S$  there is a map  $\Phi_g(S): \mathcal{M}_g(S) \rightarrow \text{Hom}(S, M_g)$ , such that  $(M_g, \Phi_g)$  is a **coarse moduli scheme**. That is, {CMSa}

(a) for all algebraically closed fields  $\Omega$ , the map

$$\Phi_g(\text{Spec}(\Omega)): \mathcal{M}_g(\text{Spec}(\Omega)) \rightarrow h_{M_g}(\text{Spec}(\Omega)) = \text{Hom}(\text{Spec}(\Omega), M_g)$$

is bijective, and {ABSj}

(b) for every scheme  $N$  and morphism  $\psi$  from  $\mathcal{M}_g$  to  $h_N$ , there is a unique morphism  $\chi: h_{M_g} \rightarrow h_N$  such that  $\psi = \chi \circ \Phi_g$ .<sup>3</sup>

In particular, by (30) and Remark 5.1, for every field  $F$ , the scheme  $M_{g,F}$  is quasi-projective over  $\text{Spec}(F)$ . Although we don't use it, we mention that  $M_{g,F}$  is irreducible [DeM69].

Consider a curve  $\pi: C \rightarrow S$  of genus  $g$  and a geometric fiber  $C_{\bar{s}} = C \times_S \text{Spec}(\Omega)$  as in Remark 5.2. Denote by  $[\pi]$  the corresponding element in  $\mathcal{M}_g(S)$ . By definition,

$$[\pi_{\Omega}] = \mathcal{M}_g(\bar{s})([\pi]), \quad (31) \quad \{\text{pi0m}\}$$

where  $\pi_{\Omega} := \pi_{\text{Spec}(\Omega)}$  is as in the first paragraph of the present remark. Let

$$\varphi = \Phi_g(S)([\pi]) \in h_{M_g}(S) = \text{Hom}(S, M_g). \quad (32) \quad \{\text{Eq5\_2}\}$$

<sup>3</sup>We don't use condition (b) in the sequel.

Thus,  $\varphi: S \rightarrow M_g$  is a morphism of schemes and, since  $\Phi_g$  is a morphism between two contravariant functors,

$$\Phi_g(\mathrm{Spec}(\Omega))([\pi_\Omega]) = \varphi \circ \bar{s} \in \mathrm{Hom}(\mathrm{Spec}(\Omega), M_g), \quad (33) \quad \{\mathrm{Eq5\_3}\}$$

as follows from the following commutative square:

$$\begin{array}{ccccc} [\pi] \in \mathcal{M}_g(S) & \xrightarrow{\Phi_g(S)} & \mathrm{Hom}(S, M_g) & \ni & \varphi \\ \downarrow & & \downarrow h_{M_g(\bar{s})} & & \downarrow \\ [\pi_\Omega] \in \mathcal{M}_g(\mathrm{Spec}(\Omega)) & \xrightarrow{\Phi_g(\mathrm{Spec}(\Omega))} & \mathrm{Hom}(\mathrm{Spec}(\Omega), M_g) & \ni & \varphi \circ \bar{s}. \blacksquare \end{array}$$

**Theorem 5.5.** *Let  $C$  be a smooth geometrically integral curve over  $K$  of genus  $g \geq 1$ . Suppose that  $C(K) \neq \emptyset$ ,  $C$  is conservative, and  $C_{\bar{K}}$  is not birationally equivalent to a curve which is defined over  $\tilde{K}_0$ .*

$\{\mathrm{IsoT}\}$

*Then, for almost all  $s \in \mathrm{Spec}(R_0)$  the reduced curve  $C_s$  over  $\bar{K}_s$  is geometrically integral, smooth, conservative of genus  $g$ , and  $C_s(\bar{K}_s) \neq \emptyset$ .*

*In addition,  $C_{s, \bar{K}_{s, \mathrm{alg}}}$  is not birationally equivalent to a curve which is defined over  $\bar{K}_{0, s, \mathrm{alg}}$ . In other words, if  $C$  is non- $\bar{K}/\tilde{K}_0$ -isotrivial, then  $C_{s, \bar{K}_{s, \mathrm{alg}}}$  is non- $\bar{K}_{s, \mathrm{alg}}/\bar{K}_{0, s, \mathrm{alg}}$ -isotrivial for almost all  $s \in \mathrm{Spec}(R_0)$ .*

*Proof.* Replacing  $C$  by a birationally equivalent curve, we may assume that  $C$  is, in addition to being smooth and geometrically integral, also projective [GeJ89, Prop. 8.3]. By assumption and the first paragraph of this section,  $C_{\bar{K}}$  is not defined over  $\tilde{K}_0$ .

By Example 1.8(c),(d), and Lemma 2.2, smoothness, being geometrically integral, projective, and being conservative of genus  $g$ , are preserved under reduction with respect to almost all  $s \in \mathrm{Spec}(R)$  (see also Remark 5.2), hence also with respect to almost all  $s \in \mathrm{Spec}(R_0)$  (Remark 1.5). Also, the  $K$ -rational point of  $C$  yields a  $\bar{K}_s$ -rational point of  $C_s$  for almost all  $s \in \mathrm{Spec}(R)$ , hence also for almost all  $s \in \mathrm{Spec}(R_0)$ . It remains to prove:

*Claim: For almost all  $s \in \mathrm{Spec}(R_0)$  the curve  $\tilde{C}_s := C_{s, \bar{K}_{s, \mathrm{alg}}}$  is not defined over  $\bar{K}_{0, s, \mathrm{alg}}$ .*

The case  $g = 1$  is covered by Corollary 4.14, since then  $C$  is an elliptic curve over  $K$ .

Assume  $g \geq 2$  and let  $(M_g, \Phi_g)$  be the coarse moduli scheme that corresponds to the functor  $\mathcal{M}_g$ . Let  $\pi: \mathcal{C} \rightarrow \mathrm{Spec}(R)$  be a curve of genus  $g$  whose generic fiber is  $C$ . Then,  $C_s = \mathcal{C} \times_{\mathrm{Spec}(R)} \mathrm{Spec}(\bar{K}_s)$  and  $\tilde{C}_s = C_s \times_{\mathrm{Spec}(\bar{K}_s)} \mathrm{Spec}(\bar{K}_{s, \mathrm{alg}})$  for each  $s \in \mathrm{Spec}(R)$ . Let  $[\pi]$  be the corresponding element in  $\mathcal{M}_g(\mathrm{Spec}(R))$  (last paragraph of Remark 5.4) and let  $\varphi := \Phi_g(\mathrm{Spec}(R))([\pi]) \in \mathrm{Hom}(\mathrm{Spec}(R), M_g)$  be as in (32).

Since  $C_{\bar{K}}$  is not defined over  $\tilde{K}_0$ ,

there is no curve  $\pi_0: C_0 \rightarrow \mathrm{Spec}(\tilde{K}_0)$  of genus  $g$  such that  $[\pi_{\tilde{K}}] = [\pi_{0, \tilde{K}}]$ . (34)  $\{\mathrm{Eq5\_4}\}$

Let  $j: \text{Spec}(\tilde{K}) \rightarrow \text{Spec}(R)$  (resp.  $j_0: \text{Spec}(\tilde{K}) \rightarrow \text{Spec}(\tilde{K}_0)$ ) be the morphism induced from the inclusion  $R \subset \tilde{K}$  (resp.  $\tilde{K}_0 \subset \tilde{K}$ ). Then, by (33),

$$\Phi_g(\text{Spec}(\tilde{K}))([\pi_{\tilde{K}}]) = \varphi \circ j \in \text{Hom}(\text{Spec}(\tilde{K}), M_g).$$

The morphism  $\varphi \circ j: \text{Spec}(\tilde{K}) \rightarrow M_g$  defines a  $\tilde{K}$ -rational point  $\mathbf{a}$  of  $M_g$ .

Subclaim A: *There is no morphism  $\varphi_0: \text{Spec}(\tilde{K}_0) \rightarrow M_g$  such that*

$$\varphi \circ j = \varphi_0 \circ j_0. \quad (35) \quad \{\text{Eq5\_5}\}$$

Otherwise, since  $\varphi_0 \in \text{Hom}(\text{Spec}(\tilde{K}_0), M_g)$ , there is by (a), a curve  $\pi_0: C_0 \rightarrow \text{Spec}(\tilde{K}_0)$  of genus  $g$  which satisfies  $\Phi_g(\text{Spec}(\tilde{K}_0))([\pi_0]) = \varphi_0$ . Therefore,

$$\Phi_g(\text{Spec}(\tilde{K}))([\pi_{\tilde{K}}]) \stackrel{(33)}{=} \varphi \circ j \stackrel{(35)}{=} \varphi_0 \circ j_0 \stackrel{(33)}{=} \Phi_g(\text{Spec}(\tilde{K}))([\pi_{0,\tilde{K}}]).$$

Hence, by (a) again,  $[\pi_{\tilde{K}}] = [\pi_{0,\tilde{K}}]$ , contrary to (34). Thus, the  $\tilde{K}$ -rational point  $\mathbf{a}$  of  $M_g$  is not  $\tilde{K}_0$ -rational, which proves the subclaim.

By (1), we may assume that some prime number is invertible in  $R_0$ . Hence, by (30) and Remark 5.1,  $M_{g,R} := M_g \times_{\text{Spec}(\mathbb{Z})} \text{Spec}(R)$  is quasi-projective over  $\text{Spec}(R)$ , say  $M_{g,R} \subseteq \mathbb{P}_R^r$  for some positive integer  $r$ . Then, by Subclaim A, there exists  $\mathbf{a} = (a_0 : a_1 : \cdots : a_r) \in M_{g,R}(\tilde{K})$  and there exist distinct  $k, l$  between 0 and  $r$  such that  $a_l \neq 0$  and  $\frac{a_k}{a_l} \notin \tilde{K}_0$ . Therefore, for almost all  $s \in \text{Spec}(R_0)$ , we have that  $\bar{\mathbf{a}}_s = (\bar{a}_{0,s} : \bar{a}_{1,s} : \cdots : \bar{a}_{r,s}) \in M_{g,\bar{K}_s}(\bar{K}_{s,\text{alg}})$  and  $\frac{\bar{a}_{k,s}}{\bar{a}_{l,s}} \notin \bar{K}_{0,s,\text{alg}}$ . Thus, for almost all  $s \in \text{Spec}(R_0)$ , the  $\bar{K}_{s,\text{alg}}$ -rational point  $\bar{\mathbf{a}}_s$  of  $M_{g,\bar{K}_s}$  is not  $\bar{K}_{0,s,\text{alg}}$ -rational.

Consider such  $s \in \text{Spec}(R_0)$  and let

$$j_s: \text{Spec}(\bar{K}_{s,\text{alg}}) \rightarrow \text{Spec}(\bar{K}_s) \rightarrow \text{Spec}(R)$$

(resp.  $j_{0,s}: \text{Spec}(\bar{K}_{s,\text{alg}}) \rightarrow \text{Spec}(\bar{K}_{0,s,\text{alg}})$ ) be the morphism induced by the reduction  $R \rightarrow \bar{K}_s$  followed by the inclusion  $\bar{K}_s \subset \bar{K}_{s,\text{alg}}$  (resp. the inclusion  $\bar{K}_{0,s,\text{alg}} \subset \bar{K}_{s,\text{alg}}$ ). Then,  $\bar{\mathbf{a}}_s$  is the  $\bar{K}_{s,\text{alg}}$ -rational point of  $M_g$  corresponding to the morphism  $\varphi \circ j_s: \text{Spec}(\bar{K}_{s,\text{alg}}) \rightarrow M_g$  and, by (33),

$$\Phi_g(\text{Spec}(\bar{K}_{s,\text{alg}}))([\pi_{\bar{K}_{s,\text{alg}}}] ) = \varphi \circ j_s \in \text{Hom}(\text{Spec}(\bar{K}_{s,\text{alg}}), M_g).$$

Since the  $\bar{K}_{s,\text{alg}}$ -rational point  $\bar{\mathbf{a}}_s$  of  $M_g$  is not  $\bar{K}_{0,s,\text{alg}}$ -rational,

$$\begin{aligned} &\text{there is no morphism } \varphi_{0,s}: \text{Spec}(\bar{K}_{0,s,\text{alg}}) \rightarrow M_g \\ &\text{such that } \varphi \circ j_s = \varphi_{0,s} \circ j_{0,s}. \end{aligned} \quad (36) \quad \{\text{Eq5\_6}\}$$

Subclaim B: *There is no curve  $\pi_{0,s}: C_{0,s} \rightarrow \text{Spec}(\bar{K}_{0,s,\text{alg}})$  of genus  $g$  such that*

$$[\pi_{0,s,\bar{K}_{s,\text{alg}}}] \stackrel{(31)}{=} \mathcal{M}_g(j_{0,s})([\pi_{0,s}]) = \mathcal{M}_g(j_s)([\pi]) \stackrel{(31)}{=} [\pi_{\bar{K}_{s,\text{alg}}}] . \quad (37) \quad \{\text{Eq5\_7}\}$$

Otherwise, let  $\varphi_{0,s} := \Phi_g(\text{Spec}(\bar{K}_{0,s,\text{alg}}))([\pi_{0,s}]) \in \text{Hom}(\text{Spec}(\bar{K}_{0,s,\text{alg}}), M_g)$ . Then,

$$\begin{aligned} \varphi_{0,s} \circ j_{0,s} &\stackrel{(33)}{=} \Phi_g(\text{Spec}(\bar{K}_{s,\text{alg}}))([\pi_{0,s,\bar{K}_{s,\text{alg}}]}) \\ &\stackrel{(37)}{=} \Phi_g(\text{Spec}(\bar{K}_{s,\text{alg}}))([\pi_{\bar{K}_{s,\text{alg}}}]) \stackrel{(33)}{=} \varphi \circ j_s, \end{aligned}$$

which contradicts (36). This proves the subclaim.

By Subclaim B, the curve  $\pi_{\bar{K}_{s,\text{alg}}}: \tilde{C}_s \rightarrow \text{Spec}(\bar{K}_{s,\text{alg}})$  is not defined over  $\bar{K}_{0,s,\text{alg}}$ . This proves the claim.  $\square$

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