



## Complexity of finding dense subgraphs<sup>☆</sup>

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### Abstract

The  $k$ - $f(k)$  dense subgraph problem ( $(k, f(k))$ -DSP) asks whether there is a  $k$ -vertex subgraph of a given graph  $G$  which has at least  $f(k)$  edges. When  $f(k) = k(k-1)/2$ ,  $(k, f(k))$ -DSP is equivalent to the well-known  $k$ -clique problem. The main purpose of this paper is to discuss the problem of finding *slightly* dense subgraphs. Note that  $f(k)$  is about  $k^2$  for the  $k$ -clique problem. It is shown that  $(k, f(k))$ -DSP remains NP-complete for  $f(k) = \Theta(k^{1+\varepsilon})$  where  $\varepsilon$  may be any constant such that  $0 < \varepsilon < 1$ . It is also NP-complete for “relatively” slightly-dense subgraphs, i.e.,  $(k, f(k))$ -DSP is NP-complete for  $f(k) = ek^2/v^2(1 + O(v^{\varepsilon-1}))$ , where  $v$  is the number of  $G$ 's vertices and  $e$  is the number of  $G$ 's edges. This condition is quite tight because the answer to  $(k, f(k))$ -DSP is always yes for  $f(k) = ek^2/v^2(1 - (v-k)/(vk-k))$  that is the average number of edges in a subgraph of  $k$  vertices. Also, we show that the hardness of  $(k, f(k))$ -DSP remains for regular graphs:  $(k, f(k))$ -DSP is NP-complete for  $\Theta(v^{\varepsilon_1})$ -regular graphs if  $f(k) = \Theta(k^{1+\varepsilon_2})$  for any  $0 < \varepsilon_1, \varepsilon_2 < 1$ . © 2002 Elsevier Science B.V. All rights reserved.

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### 1. Introduction

Let  $f(k)$  be a function called an edge density function. The  $k$ - $f(k)$  dense subgraph problem ( $(k, f(k))$ -DSP) asks, given a graph  $G$  of  $v$  vertices and  $e$  edges and an integer  $k$ , whether there is a  $k$ -vertex subgraph which has at least  $f(k)$  edges. When  $f(k) = k(k-1)/2$ ,  $(k, f(k))$ -DSP is equivalent to the well known  $k$ -clique problem

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[12]. Therefore the problem is a generalization of the  $k$ -clique problem and is obviously NP-complete.

Obtaining a clique, which can be regarded as “the densest” subgraph, is thus intractable. By contrast, it is no wonder that obtaining “sparse” subgraphs is easy. For example, if  $f(k) = k$ , then  $(k, f(k))$ -DSP can be solved in polynomial time by a simple dynamic programming (see Section 3). If the density does not have to be more than average, then the problem is again not hard: Note that the average number of edges in a  $k$ -vertex subgraph is  $(ek(k-1))/(v(v-1)) (= ek^2/v^2(1 - (v-k)/(vk-k)))$ . By using the probabilistic method [1], we can show that there always exists a subgraph of  $k$  vertices and at least the above average number of edges. Therefore if we consider the case  $f(k) = (ek(k-1))/(v(v-1))$ , the answer of  $(k, f(k))$ -DSP is always *yes* and actually there is a simple polynomial-time algorithm that can find such a subgraph (see Section 3). Thus the problem is easy if the required density is low and becomes hard if it is high. A natural question is whether it is hard when the required density is “slightly” high.

In this paper, we show that the answer to this question is *yes*. Two edge density functions are introduced, one is slightly dense in terms of its absolute value and the other in terms of its relative value. Main results include:  $(k, f(k))$ -DSP remains NP-complete (1) for  $f(k) = \Theta(k^{1+\varepsilon_1})$ , (2) for  $f(k) = ek^2/v^2(1 + O(v^{\varepsilon_1-1}))$ , and also, (3) the result of (1) holds for a class of regular graphs, i.e.,  $(k, f(k))$ -DSP is NP-complete for  $\Theta(v^{\varepsilon_1})$ -regular graphs with  $f(k) = \Theta(k^{1+\varepsilon_2})$  where  $\varepsilon_1$  and  $\varepsilon_2$  may be any constants such that  $0 < \varepsilon_1, \varepsilon_2 < 1$ . The edge density function for (2) is quite tight since there always exists a subgraph which has at least  $ek^2/v^2(1 - (v-k)/(vk-k))$  edges as mentioned above.

One can think of several applications of  $(k, f(k))$ -DSP. Among others, we shall briefly mention its application to the security of generating random test-instances for the CNF satisfiability problem [3]. When generating test-instances for evaluating the performance of combinatorial algorithms empirically, one of our concerns is that the algorithms could be tuned so as to run fast especially for the benchmarks by exploiting their generation method. It turns out that  $(k, f(k))$ -DSP is closely related to the security in this sense and its intractability is a good news to claim the hardness of the unnatural tune-up of the algorithms mentioned above.

## 2. Related works

$(k, f(k))$ -DSP is the decision version of the *maximum edge subgraph problem* (MES): For a given graph  $G = (V, E)$  with nonnegative edge weights and a positive integer  $k \leq |V|$ , we are required to find a  $k$ -vertex subgraph which has maximum weights among all the  $k$ -vertex subgraphs in  $G$ . Several approximation algorithms for MES are known: For general MES, Feige, Kortsarz and Peleg developed the algorithm whose approximation ratio is  $O(|V|^{1/3} \log |V|)$  [7]. (Here, *approximation ratio* means the supremum of  $OPT/A$  over all instances, where  $OPT$  is the weights of the optimal

solution and  $A$  is the weights of the solution found by the algorithm.) A simple greedy algorithm can solve this problem with approximation ratio of  $(|V|/2k + 1/2)^2$  [4]. If given graphs satisfy the triangle inequality, then MES can be approximable within 2 [11], although it remains to be NP-hard [14]. Moreover, there is a polynomial time approximation scheme (PTAS) if we restrict instances to unweighted and dense graphs satisfying that  $|E| = \Theta(|V|^2)$  [2]. Recently, Czygrinow proposed a fully polynomial time approximation scheme (FPTAS) for the dense case [6].

One of the other related problems is the densest subgraph problem [13]. Its objective is finding a subgraph with the maximum average degree for a given graph  $G = (V, E)$ . For this problem we can choose any number of vertices as the vertex set of a subgraph. In contrast to MES which requires to find subgraphs of the fixed number  $k$  of vertices. The densest subgraph problem can be solved in  $O(|V||E| \log(|V|^2/|E|))$  [9].

The complexity of  $(k, f(k))$ -DSP exhibits a so-called *threshold* behavior which plays an important role in the complexity analysis. Actually, there are many problems whose complexities jump at some point as the value of some parameter of the problem grows. For example, as for the  $k$ -CNF satisfiability problem, if the number  $k$  of literals included in a clause increases 2 to 3, its complexity changes from P to NP-complete [5]. The graph colorability problem is easy with 2 colors, but NP-complete with 3 colors [10]. Also,  $k$ -CLIQUE is solvable in polynomial time when  $k$  is a fixed constant but turned to be NP-complete for bigger  $k = \Omega(n^\varepsilon)$ , where  $n$  is the number of vertices of a given graph and  $\varepsilon$  is any small constant. Similar to those examples, the complexity of  $(k, f(k))$ -DSP varies based on the parameter  $f(k)$ .

### 3. Main results

In this paper, a *graph*  $G = (V, E)$  always means an undirected, unweighted, simple graph.  $|V|$  is denoted by  $v$  and  $|E|$  by  $e$ . Also in this paper, a *subgraph*  $G'$  is determined only by a set  $V' \subseteq V$  of vertices. Namely,  $G'$  is the so-called induced subgraph, or  $G' = (V', E')$ , where  $E' = \{(v_1, v_2) \mid (v_1, v_2) \in E \text{ and } v_1, v_2 \in V'\}$ . Also in this paper, the number,  $x$ , always shows the least integer that is greater than or equal to  $x$ , i.e.,  $\lceil x \rceil$ .

**Theorem 1.**  $(k, f(k))$ -DSP is NP-complete for  $f(k) = \Theta(k^{1+\varepsilon})$  where  $\varepsilon$  may be any positive constant less than one.

**Theorem 2.**  $(k, f(k))$ -DSP is NP-complete for  $f(k) = ek^2/v^2(1 + O(v^{\varepsilon-1}))$  where  $\varepsilon$  may be any positive constant less than one.

The proofs will be given in Section 4. These results for two types of density are obtained at the same time by one reduction. As for the first criteria in Theorem 1, the better bound is claimed, that is,  $(k, f(k))$ -DSP is NP-complete for  $f(k) = k + k^\varepsilon$  [8]. Based on the proofs of Theorems 1 and 2, we can extend the result to the case for regular graphs. The proof of the next theorem will be shown in Section 5.

**Theorem 3.**  $(k, f(k))$ -DSP is still NP-complete even if the input graph is  $\Theta(v^{\varepsilon_1})$ -regular and  $f(k) = \Theta(k^{1+\varepsilon_2})$  for any  $0 < \varepsilon_1 < 1$  and  $0 < \varepsilon_2 < 1$ .

Note that slightly changing the proofs of Theorems 1 and 3 gives us the restriction of the number of the vertices, namely,  $(k, f(k))$ -DSP is still NP-complete under same conditions of those theorems with the additional restriction  $k = \Theta(v^{\varepsilon_3})$  for any  $0 < \varepsilon_3 < 1$ .

The following two propositions state the cases that are solvable in polynomial time. Theorem 2 is fairly tight due to Proposition 5 (recall that  $ek^2/v^2(1 - (v - k)/(vk - k))$  is the average number of edges in a  $k$ -vertex subgraph).

**Proposition 4.** *There is a deterministic polynomial time algorithm which finds a  $k$ -vertex subgraph with at least  $k$  edges, if one exists.*

**Proof.** Suppose that the given graph consists of connected components  $C_i$ 's, and let  $n_i$  and  $m_i$  denote the number of vertices and edges of  $C_i$ , respectively. Also, define  $l_i = m_i - n_i$ .

*Step 1:* If there exists a connected component of at least  $k$  vertices, which includes a cycle of size at most  $k$ , then output a connected  $k$ -vertex induced subgraph which contains this cycle. Note that the shortest cycle can be found by breadth first search. In the following we assume that if the size of a connected component is larger than  $k$ , then its smallest cycle is of length  $> k$ .

*Step 2:* Classify the connected components into three groups,  $S^+$ ,  $S^0$ , and  $S^-$  in terms of  $l_i$ 's: Let  $S^+ = \{C_1, \dots, C_\alpha\}$ ,  $S^0 = \{C_{\alpha+1}, \dots, C_\beta\}$ , and  $S^- = \{C_{\beta+1}, \dots, C_\gamma\}$ , where (i)  $n_i < k$  and  $l_i > 0$  for  $1 \leq i \leq \alpha$ , (ii)  $n_i < k$  and  $l_i = 0$  for  $\alpha + 1 \leq i \leq \beta$ , and (iii)  $n_i \geq k$  or  $l_i = -1$  for  $\beta + 1 \leq i \leq \gamma$ . Note that  $S^-$  contains trees and big components whose size  $\geq k$ , so that any connected subgraph of size  $\leq k$  is a tree. Every component in  $S^0$  is a tree plus one additional edge. Note that  $S^+$ ,  $S^0$ , or  $S^-$  might be empty. We can assume that  $l_1 \geq \dots \geq l_\alpha > 0$  and  $n_{\beta+1} \geq \dots \geq n_\gamma$ . Let  $\delta$  denote the least integer such that  $\sum_{i=1}^{\delta} n_i \geq k$ .

*Step 3:* (a) If  $S^+ \neq \emptyset$ , goto step 4. (b) If  $S^+ = \emptyset$  and  $S^0 = \emptyset$ , output *no*. (c) Otherwise ( $S^+ = \emptyset$  and  $S^0 \neq \emptyset$ ), goto step 5.

*Step 4:* If  $\sum_{i=1}^{\delta-1} l_i \geq 1$  for some  $\delta \leq \gamma$ , output  $\bigcup_{i=1}^{\delta-1} C_i$  and a  $k - \sum_{i=1}^{\delta-1} n_i$  vertex connected subgraph in  $C_\delta$ . Otherwise (i.e., if  $\sum_{i=1}^{\delta-1} l_i \leq 0$  which means  $C_{\delta-1} \in S^-$ ), output *no*.

*Step 5:* Compute the size  $c_i$  of the cycle for each  $C_i \in S^0$ . We can consider the problem as a variation of Subset Sum Problem: Is there a subset  $S' \subseteq S^0$  for which we can select an integer  $c_i \leq s(i) \leq n_i$  for each  $C_i \in S'$  such that  $\sum_{C_i \in S'} s(i) = k$ ? Use dynamic programming to solve this problem.  $\square$

**Proposition 5.** *There is a deterministic polynomial time algorithm which finds a subgraph with at least  $ek^2/v^2(1 - (v - k)/(vk - k))$  edges.*

**Proof.** One such algorithm is the following greedy algorithm presented in [4].  $G[V']$  denotes the subgraph of  $G$  induced by a set  $V' \subseteq V$  of vertices.

*Step 1:*  $G' \leftarrow G, V' \leftarrow V$ .

*Step 2:* Select a minimum-degree vertex  $u$  from  $G'$ .  $V' \leftarrow V' - \{u\}$  and  $G' \leftarrow G[V']$ .

*Step 3:* Repeat step 2 until  $G'$  has  $k$  vertices. Then output  $G'$ .  $\square$

Note that if  $G$  is a random graph then it is easy to see that the average number of edges included in  $k$ -vertex subgraph is  $ek^2/v^2(1 - (v - k)/(vk - k))$ . Proposition 5 says that we can select this dense subgraph for *any* particular graph. Recall that the density function in Theorem 2 is  $ek^2/v^2(1 + O(v^{\epsilon-1}))$ . Thus  $ek^2/v^2$  is an important border: If the density is slightly larger than  $ek^2/v^2$ , then the problem is hard in general. The problem becomes easy if the density is slightly smaller than  $ek^2/v^2$ .

#### 4. Proof of Theorems 1 and 2

It is obvious that  $(k, f(k))$ -DSP is in NP. To prove its NP-hardness, we reduce a clique problem to  $(k, f(k))$ -DSP. Here we consider the restricted clique problem which asks whether there exists an  $n$ -vertex complete graph ( $n$ -clique) in a given  $2n$ -vertex graph  $G = (V, E)$ . It can be easily shown that this clique problem is still NP-complete by reduction from the general  $k$ -clique problem as follows: For an input graph  $I$  of  $n$  vertices, we add an  $n - k$ -vertex complete graph  $K_{n-k}$ , complete bipartite connection between  $I$  and  $K_{n-k}$ , and  $k$  isolated vertices. The consequent graph has  $2n$  vertices, and has an  $n$ -clique if and only if a  $k$ -clique exists in  $I$ .

Let  $f(k) = nm(2n - 1) + n(n - 1)/2$ , where  $m$  is a polynomial in  $n$  and determined later. Construct a graph  $H = (V', E')$  composed of a copy  $G'$  of  $G = (V, E)$  and  $m$  complete graphs, each of which has  $2n$  vertices.  $H$  has  $|V'| = 2n(m + 1)$  vertices and  $|E'| = |E| + nm(2n - 1)$  edges in total. Then set  $k = 2nm + n$ . This construction of  $H$  can be done in polynomial time obviously.

**Lemma 6.** *Suppose that there are  $m$  complete graphs  $I_1, \dots, I_m$  of  $2n$  vertices and one (not necessarily complete) graph  $G$  of  $2n$  vertices. Take  $2nm + n$  vertices among those  $2nm + 2n$  ones. Then the number of induced edges becomes maximum when we take all the  $2nm$  vertices of  $I_1, \dots, I_m$  (and other  $n$  ones from  $G$ ).*

**Proof.** Suppose that we take  $2nm - d$  vertices from  $I_1, \dots, I_m$  and  $n + d$  vertices from  $G$ . (See Fig. 1 for  $m = 2$  and  $d = 3$ .) Then one can easily see that the number of induced edges does not decrease if we abandon (any)  $d$  (three in Fig. 1) vertices from  $G$  and take  $d$  vertices from  $I_1$  and  $I_2$ , since  $I_1$  and  $I_2$  are both complete. This statement is obviously true for general  $m$  and  $d$ . Thus the lemma holds.  $\square$

This lemma shows that a most dense  $k$ -vertex subgraph of  $H$  consists of all the  $2n$ -vertex cliques and an  $n$ -vertex subgraph of  $G'$ . If the number of edges in this

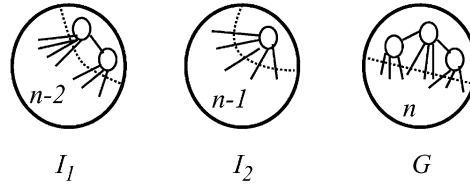


Fig. 1. Proof of Lemma 4.1.

subgraph is  $f(k)$ , then the number of edges in the subgraph taken from  $G'$  must be  $n(n - 1)/2$ ; namely it must be a clique. Conversely, if  $G'$  has a clique of size  $n$ , then it is obviously possible to take a  $k$ -vertex subgraph of  $f(k)$  edges.

For the proof of Theorem 1, it remains to show that given  $0 < \epsilon < 1$ ,  $f(k)$  can be chosen so that it meets the condition  $f(k) = \Theta(k^{1+\epsilon})$ . For any given fixed  $0 < \epsilon < 1$  we choose  $m = n^{1/\epsilon-1}$ , so that  $k = 2n^{1/\epsilon} + n$  and  $f(k) = 2n^{1+1/\epsilon} - n^{1/\epsilon} + n(n - 1)/2$ . Thus, roughly speaking,  $k^{1+\epsilon}/2^{\epsilon+1} < f(k) < k^{1+\epsilon}/2^{\epsilon-1}$ , that is  $f(k) = \Theta(k^{1+\epsilon})$ . Then, since  $f(k) = |E'|k^2/|V'|^2(1 + O(m^{-1}))$  and  $m = O(|V'|^{1-\epsilon})$ , we also obtain Theorem 2 from Theorem 1.

### 5. Regular graphs

In this section we consider regular graphs. We construct a slightly different graph  $G'$  from  $H$  to prove Theorem 3. The outline is as follows: First we prove similar results as in the proof of Theorem 1 in Sections 5.1 and 5.2. Then in Section 5.3, we show how to make involved graphs regular while keeping the NP-completeness of the problem.

#### 5.1. Transformation of graphs

This time, we reduce another variation of the clique problem, asking whether there is an  $n$ -clique in a given  $3n$ -vertex graph (also NP-complete). First of all, we set  $f(k) = \frac{27}{2}n^2m - \frac{3}{2}nm - \frac{11}{2}n^2 + \frac{1}{2}n$ , where  $m$  is a polynomial in  $n$  and is determined later. The condition  $f(k) = \Theta(k^{1+\epsilon})(0 < \epsilon < 1)$  will be satisfied by selecting proper  $m$ .

Construct a graph  $G' = (V', E')$  composed of a copy  $G_0 = (V_0, E_0)$  of  $G = (V, E)$  and  $m$  complete graphs  $G_1 = (V_1, E_1), \dots, G_m = (V_m, E_m)$ , each of which has  $3n$  vertices (see Fig. 2). Then edges are placed as a complete bipartite connection between  $V_i$  and  $V_{i+1}$  for  $1 \leq i \leq m - 1$  and between  $V_m$  and  $V_0$ . Such edges are  $(3n)^2m$  in total. Also  $3n$  edges are added between  $G_0$  and  $G_1$  so that each vertex in  $G_0$  is connected to exactly one vertex in  $G_1$  and vice versa. Thus  $G'$  has  $|V'| = 3n(m + 1)$  vertices and  $|E'| = \frac{27}{2}n^2m - \frac{3}{2}nm - 9n^2 + |E|$  edges in total. Recall that we did not need these connections between  $V_i$  and  $V_{i+1}$  in the proof of Theorem 1. The role of these new edges will be described later.

As for  $k$ , we select the values  $k = 3nm + n$ . It is easy to see that this construction of  $G'$  and  $k$  can be carried out in polynomial time in  $n$ , since  $m$  is polynomial in  $n$ .

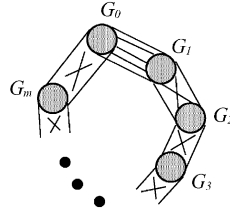


Fig. 2. The graph  $G'$ .

It remains to show that given  $0 < \varepsilon < 1$ ,  $f(k)$  can be chosen so that it meets the condition  $f(k) = \Theta(k^{1+\varepsilon})$ . For any given fixed  $0 < \varepsilon < 1$  we choose  $m = n^{1/\varepsilon - 1}$ , so that  $k = 3n^{1/\varepsilon} + n$  and  $f(k) = \frac{27}{2}n^{1+1/\varepsilon} - \frac{3}{2}n^{1/\varepsilon} + \frac{11}{2}n^2 + \frac{1}{2}n$ . Thus, roughly speaking,  $k^{1+\varepsilon} < f(k) < \frac{9}{2 \cdot 3^\varepsilon}k^{1+\varepsilon}$ , that is  $f(k) = \Theta(k^{1+\varepsilon})$ .

### 5.2. NP-completeness

In this section, we will prove that there exists an  $n$ -clique in  $G$  if and only if there exists a subgraph of  $k = 3nm + n$  vertices and at least  $f(k) = \frac{27}{2}n^2m - \frac{3}{2}nm - \frac{11}{2}n^2 + \frac{1}{2}n$  edges in  $G'$ .  $G[W]$  denotes the subgraph of  $G$  induced by a vertex set  $W \subseteq V$ .

**Lemma 7.** *If there exists an  $n$ -clique in  $G$ ,  $G'$  includes a subgraph of  $k$  vertices and  $f(k)$  edges.*

**Proof.** Suppose that  $G$  contains an  $n$ -clique. Let  $V'_0$  be the set of the vertices which forms this  $n$ -clique. It is easy to see that  $G'[V'_0 \cup V_1 \cup \dots \cup V_m]$  has  $k$  vertices and  $f(k)$  edges.  $\square$

**Lemma 8.** *Every subgraph of  $G'$  induced by  $V_1 \cup \dots \cup V_m$  and  $n$  vertices in  $V$  has less edges than  $f(k)$ , if  $G$  does not have  $n$ -cliques.*

**Proof.** Let  $V'_0$  be any set of  $n$  vertices in  $G$ . Since  $G[V'_0]$  is not an  $n$ -clique,  $G'[V'_0 \cup V_1 \cup \dots \cup V_m]$  has less edges than  $f(k)$ .  $\square$

We shall call the above selection of vertices, i.e., choosing all vertices of  $V_i$ 's and some  $n$  vertices in  $V$ , *Selection A*.

**Lemma 9.** *Selection A can induce a  $k$ -vertex subgraph of  $G'$  with maximum number of edges.*

**Proof.** We introduce the notion of “moving vertices”. Let  $S$  be any set of  $k$  vertices. Then  $S$  can be written as

$$S = (S_A - S_{\text{cut}}) \cup (\overline{S_A} \cap S_{\text{paste}}),$$

by using  $S_A, \overline{S_A}, S_{\text{cut}},$  and  $S_{\text{paste}}$  where  $S_A$  is a set of the  $k$  vertices selected by Selection A,  $\overline{S_A}$  is its complement,  $S_{\text{cut}} \subseteq S_A,$  and  $S_{\text{paste}} \subseteq \overline{S_A}.$  Since  $|S| = |S_A| = k,$   $|S_{\text{cut}}|$  and  $|S_{\text{paste}}|$  are the same. Namely, we can select  $S$  by first selecting some  $S_A$  by Selection A, then removing  $S_{\text{cut}}$  from  $S_A$  and the same number of vertices ( $= S_{\text{paste}}$ ) are selected from  $\overline{S_A}.$  We shall say that  $S$  can be selected by moving  $|S_{\text{cut}}|$  vertices. When we delete  $S_{\text{cut}}$  from  $S,$  the number of induced edges decreases by, say,  $e^-,$  and when we add  $S_{\text{paste}},$  the number of induced edges increases by, say,  $e^+.$  If it always holds that  $e^- - e^+ \geq 0,$   $G[S_A]$  has the maximum number of edges among all  $k$ -vertex subgraphs, that we would like to claim.

Let  $S$  be a selection of  $k$  vertices. Then any such selection can be obtained from Selection A by the following procedure:

- (1) move  $x_1$  vertices from  $V_1$  to  $V_0$
- (2) move  $x_2$  vertices from  $V_2$  to  $V_0$
- ⋮
- (m) move  $x_m$  vertices from  $V_m$  to  $V_0$

where each  $x_i \geq 0$  and  $0 < \sum_{j=1}^m x_j \leq 2n.$  Note that  $\sum_{j=1}^m x_j$  is the total number of vertices moved from  $V_i$ 's to  $V_0.$

Let  $S_i$  be the set of  $k$  vertices obtained by executing the above procedures only (1) through (i), i.e., the set of vertices at the intermediate step to construct  $S$  from  $S_A.$  Note that  $S_0 = S_A.$

To prove the lemma, we will show  $G'[S_A]$  always has more edges than  $G'[S_i].$  Let  $e_i^-$  be the number of edges in the subgraph that reduces by removing  $x_i$  vertices from  $G'[S_{i-1}].$  Then by adding  $x_i$  vertices in  $V_0 \cap \overline{S_{i-1}},$  the number of edges of the subgraph increases. Let  $e_i^+$  be that increasing number. We will show that  $\sum_{i=1}^m (e_i^- - e_i^+) \geq 0$  by induction on  $i.$

Suppose  $i = 1.$  If we remove  $x_1$  vertices in  $V_1$  from  $G'[S_A],$

$$e_1^- \geq \frac{x_1(x_1 - 1)}{2} + (3n - x_1)x_1 + 3nx_1 + \alpha,$$

where  $x_1(x_1 - 1)/2$  is the number of edges among those  $x_1$  vertices,  $(3n - x_1)x_1$  is the number of edges between the  $x_1$  vertices and the remaining vertices of  $V_1,$   $3nx_1$  is the number of edges between the  $x_1$  vertices in  $V_1$  and  $V_2,$  and  $\alpha$  is the number of edges between the  $x_1$  vertices in  $V_1$  and  $V_0.$

When adding  $x_1$  vertices in  $V_0 \cap \overline{S_A}, e_1^+$  achieves the maximum number when those  $x_1$  vertices form a complete graph, and every one of these  $x_1$  vertices is connected with all  $n$  vertices in  $V_0$  selected by  $S_A.$  Therefore,

$$e_1^+ \leq \frac{x_1(x_1 - 1)}{2} + nx_1 + 3nx_1 + \beta,$$

where  $nx_1$  is the number of the edges between the  $x_1$  vertices and  $n$  vertices in  $V_0,$  which have been selected by  $S_A$  before this move, and  $3nx_1$  and  $\beta$  is the number of edges between  $V_0$  and  $V_m,$  and  $V_1$  and  $V_0,$  respectively. Note that  $\beta \leq \max\{(3n - x_1) - (n - \alpha), x_1\},$  because of the way of connection.



Therefore,

$$\begin{aligned} e_1^- - e_1^+ &\geq (2n - x_1)x_1 + \alpha - \beta \\ &\geq (2n - x_1)x_1 + \min\{-x_1, x_1 - 2n\}. \end{aligned}$$

It then follows that  $e_1^- - e_1^+ \geq 0$  for both cases that  $\min\{-x_1, x_1 - 2n\} = -x_1$  and  $x_1 - 2n$ .

Suppose that  $\sum_{i=1}^{j-1} (e_i^- - e_i^+) \geq 0$ .

Case 1:  $j \leq m - 1$ .

Similarly as the case  $i=1$ , when we add  $x_j$  vertices in  $V_0 \cap \overline{S_{j-1}}$ ,  $e_j^+$  becomes maximum when those  $x_j$  vertices form a complete graph, and every one of those  $x_j$  vertices is connected with all vertices in  $V_0$ .

$$e_j^- = \frac{x_j(x_j - 1)}{2} + (3n - x_j)x_j + (3n - x_{j-1})x_j + 3nx_j,$$

where the number of edges between those  $x_j$  vertices and the remaining vertices in  $V_j$  corresponds to  $(3n - x_j)x_j$ , and the third and fourth terms are the number of edges between  $V_{j-1}$  and  $V_j$  and the number of edges between  $V_j$  and  $V_{j+1}$ , respectively.

Since  $\sum_{h=1}^{j-1} x_h$  vertices are added to  $V_0$  so far,  $n + \sum_{h=1}^{j-1} x_h$  vertices are selected in  $V_0$  by  $S_{j-1}$ . The maximum number of edges between those vertices in  $V_0$  and moved  $x_j$  vertices is  $(n + \sum_{h=1}^{j-1} x_h)x_j$  when they are connected by a complete bipartite connection. Therefore,

$$e_j^+ \leq \frac{x_j(x_j - 1)}{2} + \left( n + \sum_{h=1}^{j-1} x_h \right) x_j + 3nx_j + x_j,$$

and then,

$$\begin{aligned} e_j^- - e_j^+ &\geq \left( 5n - x_{j-1} - \sum_{h=1}^j x_h - 1 \right) x_j \\ &\geq (3n - x_{j-1} - 1)x_j. \end{aligned}$$

Hence, if  $x_j > 0$  then  $x_{j-1} \leq n - 1$  and  $e_j^- - e_j^+ \geq 2nx_j > 0$ . Therefore  $\sum_{i=1}^j (e_i^- - e_i^+) \geq 0$ .

Case 2:  $j = m$ .

The difference from the Case 1 is due to the edges between  $V_j$  and  $V_{j+1}(=V_0)$ . The difference can be seen in the last terms in the following two inequalities for  $e_m^-$  and  $e_m^+$ . By  $S_{m-1}$ ,  $n + \sum_{h=1}^{m-1} x_h$  vertices in  $V_0$  and  $3n$  vertices in  $V_m$  are selected. So by moving  $x_m$  vertices, the decreasing number of edges between  $V_m$  and  $V_0$  is  $(n + \sum_{h=1}^{m-1} x_h)x_m$ , and increasing number between  $V_m$  and  $V_0$  is  $(3n - x_m)x_m$ , because  $x_m$  vertices were removed from  $V_m$ .

$$\begin{aligned} e_m^- &\geq \frac{x_m(x_m - 1)}{2} + (3n - x_m)x_m + (3n - x_{m-1})x_m + \left( n + \sum_{h=1}^{m-1} x_h \right) x_m, \\ e_m^+ &\leq \frac{x_m(x_m - 1)}{2} + \left( n + \sum_{h=1}^{m-1} x_h \right) x_m + x_m + (3n - x_m)x_m. \end{aligned}$$

Therefore,

$$e_m^- - e_m^+ \geq (3n - x_{m-1} - 1)x_m.$$

As in the (Case 1),  $\sum_{i=1}^j (e_i^- - e_i^+) \geq 0$ .  $\square$

From the Lemmas 8 and 9, we obtain the following lemma.

**Lemma 10.** *If there does not exist an  $n$ -clique in  $G$ , then any  $k$ -vertex subgraph of  $G'$  has less edges than  $f(k)$ .*

### 5.3. Making the graphs regular

In this section, we outline the rest of the proof. Note that  $G'$  is not regular but almost regular, i.e., vertices in  $V_2$  through  $V_m$  have the same degree. Let  $D_{\max}$  be the maximum degree of vertices in  $G'$ . To regularize the graph  $G'$ , we introduce a new  $D_{\max}$ -regular graph  $G_R = (V_R, E_R)$ , whose detailed construction will be given later, and then  $G_R$  is connected to  $G'$  in the following manner: (i) Each vertex  $v$  in  $G$  whose degree, say,  $d$ , is less than  $D_{\max}$  is connected to  $D_{\max} - d$  vertices in  $G_R$ . Then (ii) if  $v$  was connected to  $v_1$  and  $v_2$  in  $G_R$ , then the original edge between  $v_1$  and  $v_2$  is removed (and hence the degree of  $v_1$  and  $v_2$  does not change).

For example, suppose that we wish to increase the degrees of four vertices  $a, b, c, d$ , where the degree of  $a$  and  $b$  is  $D_{\max} - 2$ , and the degree of  $c$  and  $d$  is  $D_{\max} - 1$ . We have to increase six degrees in total. In this case, we remove three edges in  $G_R$ , e.g.,  $(v_1, v_2)$ ,  $(v_3, v_4)$  and  $(v_5, v_6)$ . Then we connect between the endpoints of these edges and vertices  $a, b, c$ , and  $d$  by six edges, i.e., by  $(v_1, a)$ ,  $(v_2, a)$ ,  $(v_3, b)$ ,  $(v_4, b)$ ,  $(v_5, c)$ , and  $(v_6, d)$ , so that each of four vertices has degree  $D_{\max}$ .

The main idea of the construction is similar to the previous one: The selection which induces the maximum number of edges will be the same as Selection A in  $G'$  described in Section 5.2. Namely, if any vertex is selected from  $G_R$  instead of  $G'$ , then the number of edges induced by such a selection decreases compared to Selection A.

Now we describe the detailed construction of  $G_R$  and connection between  $G'$  and  $G_R$ . See Fig. 3. In the graph  $G'$ , the maximum degree  $D_{\max}$  of the vertices is  $9n - 1$  and we have to increase the degrees of the vertices in  $V$  and  $V_1$ . The number  $D$  which we increase is  $D = 6n(3n - 1) - 2|E|$  in total.

$G_R$  is a composition of  $Dl(m + 1)/2$  copies of the  $3n$ -vertex complete graph, where  $l$  is a polynomial in  $n$ . Let those complete graphs be  $G_{R,1}, \dots, G_{R,Dl(m+1)/2}$ . Then for  $1 \leq i \leq Dl(m + 1)/2 - 1$ , we place  $9n^2$  edges between  $G_{R,i}$  and  $G_{R,i+1}$  by complete bipartite connection. The same connection is placed between  $G_{R,Dl(m+1)/2}$  and  $G_{R,1}$  as well.

The rule of connecting  $G'$  and  $G_R$  is as follows: For  $1 \leq i \leq D/2$ , remove one edge from  $G_{R,mi}$ . Then connect between endpoints of those removed edges and vertices with less degree than  $D_{\max}$  in  $G'$  as described above.

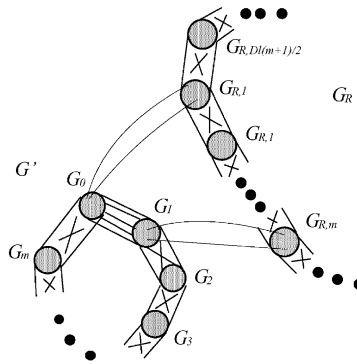


Fig. 3. Proof for regular graphs.

By connecting  $G_R$  and  $G'$  like this, we obtain the  $9n - 1$ -regular graph  $G''$  of  $3n(m + 1 + DI(m + 1)/2)$  vertices. If we set  $k = 3nm + n$  and  $f(k) = \frac{27}{2}n^2m - \frac{3}{2}nm - \frac{11}{2}n^2 + \frac{1}{2}n$ , then we can show that there exists an  $n$ -clique in  $G$  iff  $G''$  consists of  $k$ -vertex subgraph with at least  $f(k)$  edges. Its proof is very similar to the one in Section 5.2.

It should be noted that we placed some edges between  $3n$ -vertex complete graphs in Section 5.2, although no edge existed there in Section 4. The reason we introduce such edges is as follows: Those edges force us to choose vertices from  $G'$  instead of  $G_R$  to maximize the number of edges of  $k$ -vertex subgraph: If we regard each  $3n$ -vertex complete graph as a single vertex, then we can induce a (kind of) cycle constituted by those vertices in the case of  $G'$ . However we cannot obtain this kind of “cycles” from  $G_R$  because the size of the cycle is too large.

## 6. Concluding remarks

We proved that several restricted instances for  $(k, f(k))$ -DSP are NP-complete. As a variant of this problem, we can consider a problem which asks, given a graph and  $k$ , whether there is a  $k$ -vertex subgraph with *exactly*  $f(k)$  edges. For extreme cases  $f(k) = k(k - 1)/2$  and  $f(k) = 0$ , this problem is equal to  $k$ -clique problem and  $k$ -independent set problem, respectively. We can show that this problem is NP-complete for  $f(k) = \Theta(k^{1+\epsilon})$  as the original DSP.

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