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2 **7 Know hope: Metaphor, optimal innovation** 3 **and pleasure**

4 5 6 **1 Introduction: Processing models**

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9 What are the processes involved in language comprehension? Do literal and
10 nonliteral instances of language use require different interpretation mechanisms
11 or do they follow the same processing routes? To illustrate the question, con-
12 sider the following example:

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14 (1) On Wednesday, February 20th, 2002, Yinnon Hiller, aged 20 and Amir
15 Malenky, aged 18, will appear before the Israeli High Court of Justice. . .
16 Both ask to be released from military service on the grounds of their
17 pacifist beliefs. . . Both conscientious objectors ask to perform alternative
18 civilian service instead of military service, but the Israeli army so far
19 refused to allow them this option.

20
21 New Profile – Movement for the Civil-ization of Israeli Society supports
22 Yinnon Hiller and Amir Malenky in their struggle. We believe that the State
23 of Israel should recognize that an individual can participate in society in
24 ways other than bearing arms. [http://www.gush-shalom.org/archives/](http://www.gush-shalom.org/archives/forum_eng.html)
25 [forum_eng.html](http://www.gush-shalom.org/archives/forum_eng.html) (19.2.02)

26
27 How do we make sense of these utterances? What makes us interpret the last
28 word (*arms*) or collocation (*bearing arms*) *nonliterally* (as ‘weapons’) rather than
29 literally (as ‘hands’)? Is it contextual information biased toward the military sense
30 of *arms* that makes us select this appropriate sense? Is it the salience or domi-
31 nance of the military sense of the word that makes that meaning available
32 swiftly? What about the meaning of *struggle*, then? Given the contextual bias
33 toward the military interpretation, would its literal (‘battle’) meaning be primed
34 during its processing on account of its contextual relatedness?

35
36 Whether it is context or the lexicon that affects our understanding primarily
37 has been an enduring debate in linguistics and psycholinguistics for over three
38 decades or so (Gibbs 1994; Glucksberg 2001; Giora 1997, 2002, 2003). Indeed,
39 various models of figurative language have come up with different proposals as
40 to how we make sense of literal and nonliteral utterances.

1 The Standard Pragmatic Model (Grice 1975; Searle 1979) assumes the temporal
2 priority of the literal interpretation of utterances. In this view, literal meanings
3 are obligatory – they are automatic and immune to contextual information.
4 Nonliteral meanings, on the other hand, are derivative and optional. They are
5 induced only when a literal interpretation fails to resonate with contextual infor-
6 mation. In this view, then, it is the literal meaning ('hand') of *arms* that should
7 be accessed first and adjusted to contextual information only as an aftermath;
8 similarly, it is the literal ('battle') meaning of *struggle* that should be induced
9 first and revisited later. The Standard Pragmatic Model thus assumes different
10 processing routes for literal and nonliteral language uses, regardless of strength
11 and bias of context. While both literal and nonliteral utterances are being pro-
12 cessed literally initially, only nonliteral language is expected to involve an addi-
13 tional phase of adjustment to contextual information. According to the Standard
14 Pragmatic Model, then, comprehending the *figurative utterances* in (1) should
15 incur some integration difficulty compared to their interpretation in a literally
16 biasing context.

17 Unlike the Standard Pragmatic Model, the Direct Access View (or its more
18 recent version entitled the Constraint Satisfaction Model) does not assume that
19 lexical processes are immune to contextual information. Rather, context interacts
20 with lexical processes very early on and if it is sufficiently constraining, it should
21 result in selecting the contextually appropriate interpretation exclusively or at
22 least initially. Such a view disputes the temporal priority of literal meanings
23 (Glucksberg 1998, 1995, 2001; Glucksberg, Gildea and Bookin 1982; Keysar 1989,
24 1994). Instead, in realistic, social contexts, comprehenders should be able to
25 understand the figurative interpretations of metaphors, irony/sarcasm, idioms,
26 proverbs and indirect speech acts directly without having to first analyze and
27 reject their literal interpretations (Ferretti, Schwint and Katz 2007; Gibbs, 1994,
28 2001; 2002; Katz and Ferretti 2001, 2003). The Direct Access View thus assumes
29 no processing differences for literal and nonliteral language, provided prior con-
30 text is supportive and specific enough. Rather, both types of language should be
31 comprehended directly, without involving an inappropriate interpretation first.
32 According to this view, then, in the strongly biasing context of (1), the utterance
33 including *struggle* and *arms* should be interpreted metaphorically; no integra-
34 tion difficulties are anticipated compared to their interpretation in a literally
35 biasing context (Ortony et al. 1978).

36 Following Fodor's modular assumptions (1983), the Graded Salience Hypothesis
37 (Giora 1997, 1999, 2003; Giora and Fein, 1999a, b; Giora, Fein and Schwartz 1998;
38 Peleg, Giora and Fein 2001, 2004; Peleg and Eviatar 2008) assumes that compre-
39 hension involves two distinct mechanisms that run in parallel, without interact-
40 ing initially. One is bottom-up, sensitive only to domain specific (here) linguistic
stimuli; another is top-down involving inferential and integrative processes,

1 susceptible to both linguistic and nonlinguistic information. Diverging from the
 2 classical modular view (Swinney 1979), however, the Graded Saliency Hypothesis
 3 further assumes that bottom-up processes are ordered: Salient responses/mean-
 4 ings are accessed faster than less-salient ones (Duffy, Morris and Rayner 1988;
 5 Rayner et al. 1994).

6 To be salient, a meaning has to be coded in the mental lexicon and be fore-
 7 most on our mind due to e.g., conventionality, frequency, familiarity, or prototypi-
 8 cality. Coded meanings, low on these parameters, are less-salient and slower to
 9 reach sufficient levels of activation than salient meanings. According to this
 10 view, then, coded meanings would be accessed automatically upon encounter,
 11 regardless of contextual information or authorial intent. Meanings not coded in
 12 the mental lexicon, although nonsalient, may be made available via the contex-
 13 tual, predictive mechanism.

14 Indeed, when specific enough, contextual information may affect compre-
 15 hension immediately. A highly predictive context would yield meanings on its
 16 own accord very early on. However, it would not interact with lexical access and
 17 would therefore not block coded but inappropriate responses upon encounter of
 18 the lexical stimulus (Giora 2003; Peleg et al. 2001; Peleg and Eviatar 2008).

19 Given that both the literal and nonliteral meanings of *arms* and *struggle* are
 20 salient, the Graded Saliency Hypothesis predicts that the metaphorical utterances
 21 in (1) should incur no integration difficulties compared to their interpretation in
 22 a literally biasing context. Since both meanings should be accessed automati-
 23 cally in both types of context, the contextually appropriate meaning is made
 24 available swiftly and effects seamless integration processes.¹

25 In sum, whereas the Standard Pragmatic Model assumes that nonliteral
 26 language should cohere less smoothly with prior context than literal language,
 27 the Graded Saliency Hypothesis and Direct Access View have different predic-
 28 tions. Both theories assume equivalent processes for figurative and nonfigurative
 29 language, though apparently for different reasons. The Direct Access View attributes
 30 to a constraining context the role of neutralizing the differences found between
 31 literal and nonliteral language embedded in poorly informative contexts so that
 32 when context is sufficiently strong and supportive, literal and nonliteral inter-
 33 pretations cohere as smoothly (Ortony et al. 1978; but see Janus and Bever
 34 1985; Peleg et al. 2004 and Giora et al. 2007 for a critique). Particularly, non-
 35 literal language would be tapped directly without having to involve an analysis
 36 of the literal interpretation first (Gibbs 2002). The Graded Saliency Hypothesis
 37 discards the literal-nonliteral distinction altogether and replaces it with the
 38 salient-nonsalient continuum (Giora 1997, 2002, 2003). Diverging from the Direct
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40 ¹ In Giora (2003) and Giora and Fein (1999a) we further argue that the contextually inappropriate
 literal meanings should not be suppressed.

1 access View, it thus anticipates processing difficulties for language users whose
 2 less or nonsalient meanings or interpretations are invited. Given that salient
 3 meanings get accessed automatically upon encounter of the relevant stimulus,
 4 when contextually incompatible, they would result in extra adjustment processes
 5 resulting in less-salient or innovative meanings and interpretations. When such
 6 interpretations are invited, processing would be more effort consuming, at least,
 7 locally, compared to when salient meanings are invited, regardless of literality
 8 or figurativeness.

9 Given the Graded Salience Hypothesis, then, the idiom *He is singing a*
 10 *different tune* in (2c, taken from Gibbs, 1980), or the fixed expression *black on*
 11 *white* in (3c), or the idiom *you don't know your right from left* in (4c) should
 12 cohere more smoothly with prior context (e.g., take shorter to read) following
 13 (2a, 3a, 4a) than following (2b, 3b, 4b). Whereas the contexts in (2a, 3a, 4a) invite
 14 the salient (figurative) meaning of the idioms and the salient (literal) meaning of
 15 the fixed expression, the contexts in (2b, 3b, 4b) invite their low-salience (literal
 16 and figurative) interpretations. According to the Graded Salience Hypothesis,
 17 reading (2c, 3c, 4c) following (2b, 3b, 4b), then, should involve accessing (and
 18 reinterpreting) the salient meaning of the expressions in spite of their contextual
 19 incompatibility. Such predictions, however, are not invited by either of the
 20 alternative views. Both theories predict equal reading times for (2c, 3c, 4c) in
 21 all types of context (either 2a, 3a, 4a or 2b, 3b, 4b). According to the Standard
 22 Pragmatic Model, both interpretations of (4c) involve a figurative comprehen-
 23 sion phase; hence no processing differences are anticipated. Since, however,
 24 there are literality differences involved in idioms, processing difficulties would
 25 be predicted only for the figurative reinterpretation. According to the Direct
 26 Access View, both interpretations of (2c, 3c, 4c) are invited by similarly strong
 27 and supportive contexts; hence no processing differences are anticipated. Find-
 28 ings, however, support the Graded Salience Hypothesis. They show that low-
 29 salience interpretations took longer to read than salient alternatives, regardless
 30 of figurativeness (Brisard et al. 2001; Frisson and Pickering 2007; Gibbs 1980;
 31 Giora and Fein 1999a, b; Giora et al. (2009); Giora et al. 2007; but see Ortony et
 32 al. 1978):

- 33 (2) a. On TV there was a program discussing Carter's first year in office.
 34 One reporter talked about the military budget. "In the campaign Carter
 35 promised to cut that budget." "But now that he is the president,"
 36
 37 b. Nick and Sue were listening to Jackson Browne on the radio. "All
 38 Jackson Browne songs sound alike." Sue Said. "Now isn't that the
 39 same song we heard him do on TV recently."
 40 "No." Nick replied;
- c. *"He is singing a different tune."*

- 1 (3) a. I want your promise documented
 2 b. This cheese cake with chocolate coating is exactly what you wanted:
 3 c. *black on white*
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- 5 (4) a. *The Comprehensive Lexicon* will teach you whatever you are interested in
 6 b. Buy *The Comprehensive Guide for the Political Factions in Israel*
 7 c. so that you won't feel *you don't know your right from left*.
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9
 10 Why would speakers make use of utterances that might endanger coherence
 11 (even if only momentarily) and involve complex processes when less costly
 12 utterances are at hand? The explanation we intend to put forward and test here
 13 concerns speakers' pursuit of aesthetic effects. Speakers resort to innovativeness
 14 because they wish to disturb without repelling, to attract rather than detract
 15 listeners' attention. Apparently, the text in (1) does not resort to any aesthetic
 16 device in spite of its occasional figurative language. We claim here that it takes
 17 innovativeness rather than figurativeness to affect pleasure.
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19 2 Optimal innovation and affect

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 22 Would any innovation be engaging? What kind of innovation will induce highly
 23 pleasurable effects? Earlier research (Giora 2003; Giora et al. 2004) demonstrates
 24 that it is *optimal innovation* that has the largest affective ratings (Hekkert et al.
 25 2003; for a somewhat different view, see Brône and Coulson 2010).
 26

27 To be optimally innovative, a stimulus should invoke

- 28 (5) a. a novel – less or nonsalient – response to a familiar stimulus,
 29 alongside
 30 b. a salient response from which, however, it differs (both quantitatively
 31 and qualitatively), so that both make sense (e.g., their similarity and
 32 difference can be assessable).
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34 For example, in the Tel Aviv streetart (Know Hope 2006) in (6), the recognition of
 35 the salient (“No hope”) in the novel (*KNOW HOPE*) makes the (literal) novel
 36 highly meaningful, despite its contextual incompatibility – invoking hopefulness
 37 in the midst of total destruction. It is this relation between the salient and the
 38 novel – de-automatizing the familiar pessimism by highlighting the optimism
 39 inherent in it – that is pleasing:
 40

1 (6)



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20 Similarly, labeling as “the ultimate form of greenwashing” (Blumenthal 2010)
21 the practice, which included planting pine trees on the sites of the hundreds of
22 Palestinian villages the Zionist militias evacuated and destroyed in 1948, must
23 evoke the more salient “whitewashing” for the novel coin to make sense.

24 Along the same lines, in the contexts of (2b, 3b, 4b), the familiar expressions
25 (2c, 3c, 4c) have an optimally innovative interpretation; in the context of (2a, 3a,
26 4a), they do not. The contexts of (2b, 3b, 4b) evoke a low-salience sense of the
27 familiar expressions without blocking a salient response (Giora et al. 2004). In
28 contrast, the contexts in (2a, 3a, 4a) are compatible with only the salient sense;
29 the low-salience sense, it seems, does not reach sufficient levels of activation
30 before integration gets underway. (If, however, it does, it would also make up
31 an optimal innovation).

32 Optimal innovations are most pleasing primarily because of the (surprising)
33 recognition of the salient in the novel (and also the novel in the familiar, Freud
34 1905). The familiar on its own would thus be less pleasing, because it has little
35 or no novelty about it, but it will be quite pleasing on account of its familiarity;
36 the novel on its own, however, would rank lowest on the aesthetics scale,
37 because it involves little or no familiarity (for somewhat similar and yet different
38 views, see Berlyne 1960, 1971; Miall and Kuiken 1994; Mukařovský 1964, 1978;
39 Schopenhauer 1969; Shklovsky 1917, 1965; Townsend 1997).

40

1 Indeed, in Giora et al. (2004), we have shown that optimally innovative
 2 stimuli occupied mid position on the familiarity scale but scored most highly
 3 on the liking scale. Familiar stimuli came second. Least pleasurable were un-
 4 familiar stimuli. Furthermore, optimally innovative stimuli took longer to read
 5 than their associated salient meanings, which they also primed; equivalent
 6 novel stimuli did not prime these meanings (Giora et al. 2004). Such findings
 7 demonstrate that, as assumed, stimuli rated as somewhat familiar and most
 8 pleasing indeed involved processing the salient meaning, which required rein-
 9 terpretation.

10 In this chapter, we aim to show that (a) it is not figurativeness that hampers
 11 coherence, as would be deduced from the Standard Pragmatic Model. Instead, it
 12 is optimal innovativeness that obstructs smooth integration with prior context;
 13 (b) it is not figurativeness that induces pleasure, as would be expected from
 14 traditional views of ‘poetic’ language (see Steen, 1994 for a review), but rather
 15 optimal innovativeness. Both these predictions do not follow from the Direct
 16 Access View, which predicts that a constraining context may bypass contextually
 17 inappropriate interpretations (such as the literal interpretation of metaphors)
 18 and blur possible differences both in coherence and pleasure appreciation.

19 To test our hypotheses, we used high and low familiar metaphors and their
 20 literal interpretations. The set of items used in our experiments are those used
 21 in Giora and Fein (1999a). Although the items were measured only for degree of
 22 familiarity of their *metaphoric* interpretation (see also Experiment 1 below),
 23 reading times reassured us of the relative familiarity of their literal interpreta-
 24 tions. Thus while equal reading times were found for the familiar metaphors
 25 and their literal interpretations, faster reading times were found for the literal
 26 interpretation of the novel metaphors compared to their metaphorical interpreta-
 27 tion (Giora and Fein 1999a).

28 In this study, we intend to show that familiar items, whether metaphorical or
 29 literal (7a, 8b and 7b below) would be viewed as more coherent with prior con-
 30 text than less familiar items (8a) which would affect lower coherence ratings
 31 (2.1. Experiment 1). In addition, however, low coherence but innovative items
 32 would be rated as more engaging than equivalent, familiar, high coherence
 33 items, regardless of figurativeness (2.2. Experiment 2).

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35 **2.1 Experiment 1**

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37 The aim of Experiment 1 is to show that coherence is related to degree of
 38 salience: familiar targets whose salient meaning or salience-based interpretation
 39 (interpretation depending on the salient meanings of the utterance components)

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1 is related to prior context would be rated as more coherent with prior context
2 than targets whose low-salience/innovative meaning or interpretation is related
3 to prior context. Specifically, we aimed to show that similarly familiar items
4 would be rated as equally coherent with prior context, regardless of figurative-
5 ness. In contrast, novel items would score lower on the coherence scale com-
6 pared to their more conventional uses.

7 To be able to test these predictions, we first aimed at reestablishing degrees
8 of salience in a pretest. The pretest involved 21 native speakers of Hebrew, grad-
9 uates and undergraduates of Biology and Social Sciences at Tel Aviv University,
10 aged 18–32. They were asked to rate the prospective materials of Experiments 1
11 and 2 (taken from Giora and Fein 1999a) on a 7 point familiarity scale. They were
12 told that the items, which also have a literal interpretation, were metaphors and
13 that their ratings should reflect the extent to which they were familiar with their
14 metaphorical sense. In addition, they were asked to write down the meaning of
15 each item. The written responses served to confirm that the familiar metaphorical
16 sense of the familiar items was indeed recognized. Items scoring above 5
17 were considered familiar; items rated below 5 were considered less familiar/
18 innovative. This rating test resulted in 20 innovative items (novel metaphors)
19 and 16 familiar items (familiar metaphors). This division into familiar and novel
20 metaphorical items closely overlaps the division we obtained in Giora and Fein
21 (1999a) in which we found 18 familiar metaphors and 18 less and unfamiliar
22 metaphors. As mentioned earlier, on the basis of the reading times obtained
23 for these items in Giora and Fein (1999a), we assume here similar familiarity of
24 literal and metaphorical meanings of familiar metaphors (which took equally
25 long to read) and higher familiarity of literal interpretations of novel metaphors
26 (which were faster to read than their metaphorical interpretation).

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29 **2.1.1 Method**

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31 *Participants.* Fifty-four volunteers served as participants. They were all native
32 speakers of Hebrew, Natural Sciences and Social Sciences graduates and under-
33 graduates of Tel Aviv University, aged 18–32.

34 *Materials.* Materials were the items rated for degree of metaphorical familiarity
35 in the pretest (reported above). They were embedded at the end of a context
36 biasing each of them either toward the literal or toward the metaphorical inter-
37 pretation. They, thus, made up a set of 72 items (see Giora and Fein 1999a). In
38 terms of familiarity, they formed 2 groups. The familiar items consisted of 16

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1 familiar metaphors (7a) and their 16 literal alternatives (7b); the set of unfamiliar
2 items consisted of 20 metaphors (8a) and their 20 literal alternatives (8b):

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- 4 (7) a. In order to solve the math problem, the student *broke her head*
5 [equivalent to the English *racked her brains*].
- 6 b. Because she was so careless when she jumped into the pool, the
7 student *broke her head*.
8
- 9 (8) a. Mary: My husband is terribly annoyed by his new boss. Every day he
10 comes home after work even more depressed than he has been the
11 day before. Somehow, he cannot adjust himself to the new situation.
12 Billie: *Their bone density is not like ours*.
- 13 b. Our granny had a fracture from just falling off a chair and was rushed
14 to the hospital. I told my sister I never had fractions falling off a chair.
15 She explained to me about the elderly. She said: *Their bone density is*
16 *not like ours*.
17

18 Two different booklets were prepared, each containing 36 items so that subjects
19 saw only one version of the contextual bias of the target sentences. Only one
20 text appeared on each page.

21 *Procedure.* Participants were each presented a booklet and were asked to
22 rate the extent to which the last (target) sentence of each text coheres with prior
23 context on a 7 point coherence scale (1 = incoherent; 7 = highly coherent).
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26 **2.1.2 Results**
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28 Findings are presented in Table 1. They are consistent with the Graded Salience
29 Hypothesis. Although there was a main effect of sentence type (metaphor/literal)
30 as evident by both subject, $F_1(1,53) = 15.87, p < 0.001$ and item $F_2(1,34) = 6.28,$
31 $p < 0.05$ analyses, this effect was only due to the interaction pattern between
32 sentence type (metaphor/literal) and familiarity, $F_1(1,53) = 31.48, p < 0.001,$
33 $F_2(1,34) = 3.85, p = 0.058$. That is, the metaphoricity effect was produced by the
34 group of unfamiliar metaphors. The unfamiliar metaphors were rated as signifi-
35 cantly less coherent with prior context than their literal interpretation $F_1(1,53) =$
36 $115.90, p < 0.0001; F_2(1,34) = 11.23, p < 0.005$. However, the familiar metaphors
37 and their literal interpretations did not differ significantly on the coherence scale,
38 as predicted by the Graded Salience Hypothesis, $F_1(1,53) < 1, n.s., F_2(1,34) < 1, n.s.$
39

1 **Table 1:** Familiarity and coherence ratings (SD in parentheses)

| 2 Item type | 3 Familiar metaphor | | 4 Unfamiliar metaphor | |
|-------------------|---------------------|----------------|-----------------------|----------------|
| | 5 Literal | 6 metaphorical | 7 literal | 8 metaphorical |
| 9 Contextual bias | 10 5.15 | 11 5.20 | 12 5.70 | 13 4.63 |
| 14 Coherence | 15 (1.08) | 16 (1.01) | 17 (0.77) | 18 (0.83) |

9 2.1.3 Discussion

11 As predicted by the Graded Salience Hypothesis, low familiar metaphors were
 12 rated as less coherent with prior context than their (more familiar) salience-
 13 based literal interpretations; in contrast, familiar metaphors and their (familiar)
 14 literal interpretations were rated as similarly coherent with their respective con-
 15 texts. In addition, highly familiar metaphors were rated as more coherent than
 16 low familiar metaphors. Such findings cannot be accommodated by either the
 17 Standard Pragmatic Model or the Direct Access View. According to the Standard
 18 Pragmatic Model, familiar metaphors and their literal interpretations should be
 19 viewed as distinguished in terms of coherence. According to the Direct Access
 20 View, unfamiliar metaphors and their literal interpretation should be viewed as
 21 equivalent in terms of coherence with their biasing contexts. These predictions
 22 did not gain support here.

23 The factor that best accounts for the different degrees of the coherence ratings
 24 is the degree of salience of the related stimuli, irrespective of figurativeness. When
 25 relevant/related items (Giora 1985) differ in terms of familiarity, it is their degree
 26 of familiarity that affects their degree of coherence.

28 2.2 Experiment 2

30 Experiment 2 aims to test the Optimal Innovation Hypothesis, which predicts
 31 that familiar metaphors and their familiar literal interpretations, rated as similarly
 32 coherent, would be similarly pleasing; however, unfamiliar metaphors, rated as
 33 less coherent with prior context than their (more familiar) literal interpretations,
 34 would be rated as more pleasing than these literal interpretations.

37 2.2.1 Method

38 *Participants.* One hundred and fourteen Linguistics and Social Sciences under-
 39 graduates of Tel Aviv University volunteered to act as participants. They were
 40 all native speakers of Hebrew, aged 21–26.

1 *Materials.* Same as in Experiment 1.
 2 *Procedure.* Participants were each presented a booklet and were asked to
 3 rate the extent to which the last (target) sentence in its given context induces
 4 pleasure on a 7 point liking/pleasurability scale (1 = least pleasing; 7 = highly
 5 pleasing).

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 8 **2.2.2 Results**

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 10 Findings are presented in Table 2. They are consistent with the Optimal Innovation
 11 Hypothesis. Indeed, familiarity affected pleasurability. Familiar items were rated
 12 as more pleasing than unfamiliar items, $F_1(1,113) = 42.00, p < .0001, F_2(1,34) =$
 13 $9.29, p < .005.$ On the other hand, figurativeness, on its own, had no effect,
 14 $F_1(1,113) = 2.62, n.s., F_2(1,34) < 1, n.s.$ Importantly, however, there was an inter-
 15 action between sentence type (metaphor/literal) and familiarity, $F_1(1,113) = 7.61,$
 16 $p < .01, F_2(1,34) = 2.66, p = .11.$ This interaction was due to the fact that unfamiliar
 17 metaphors were significantly more pleasurable than their (more familiar) literal
 18 interpretations $F_1(1,113) = 10.75, p < 0.005, F_2(1, 34) = 3.15, p = 0.085,$ as pre-
 19 dicted. In contrast, the familiar metaphors and their familiar literal interpreta-
 20 tions did not vary significantly on the pleasurability scale, neither by subject
 21 ($F_1 < 1$) nor by item analyses ($F_2 < 1$), as predicted.

22
 23 **Table 2:** Familiarity and pleasure ratings (SD in parentheses)

| Item type | Familiar Metaphor | | Unfamiliar Metaphor | |
|-----------------|-------------------|----------------|---------------------|----------------|
| Contextual bias | literal | metaphorical | literal | metaphorical |
| Pleasure | 4.03 (0.87) | 3.96 (0.98) | 3.52 (0.93) | 3.79 (0.49) |

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 30 **2.2.3 Discussion**

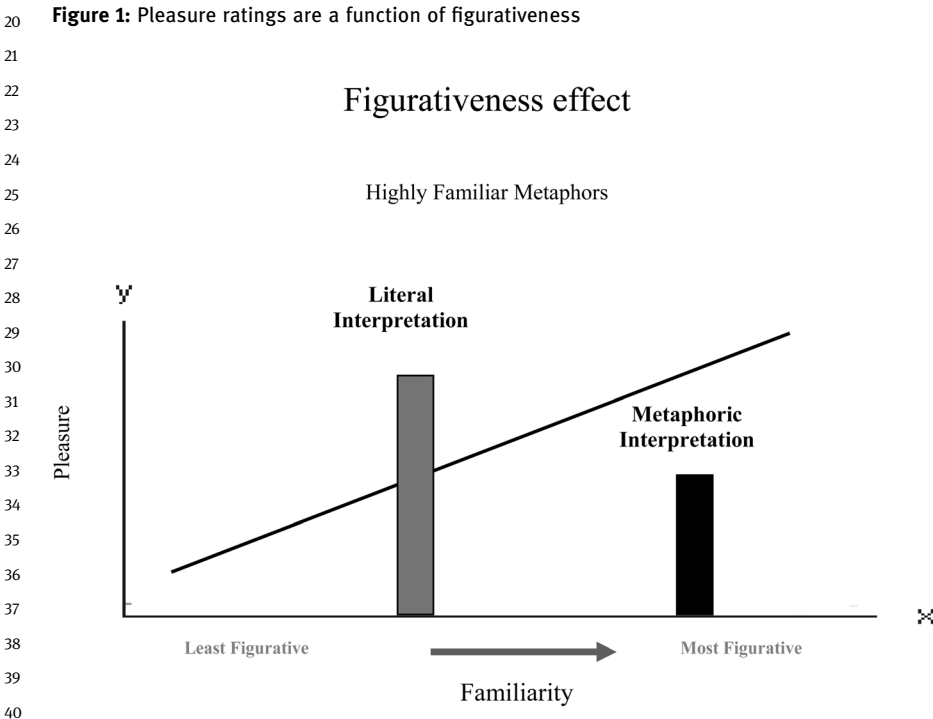
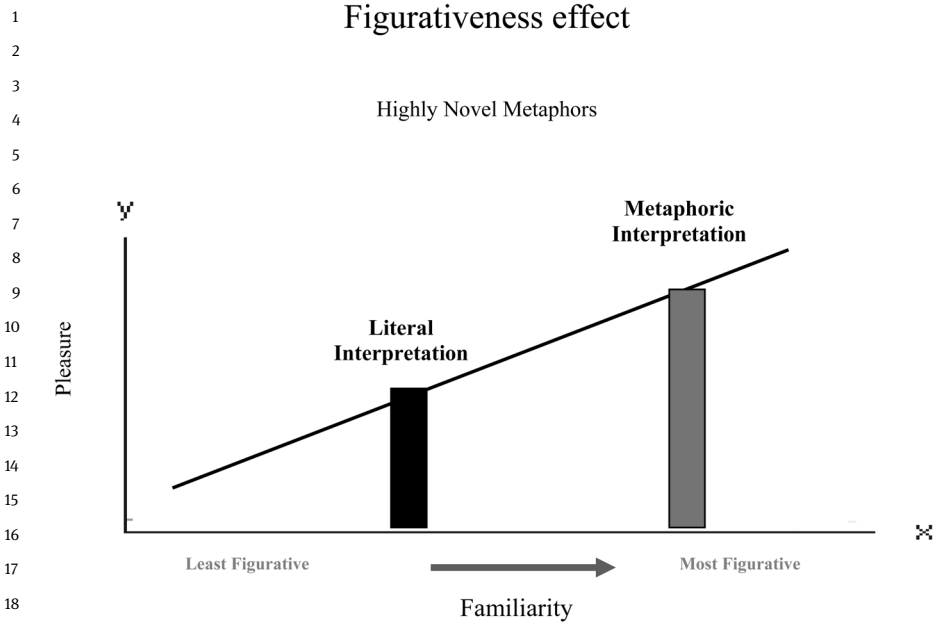
31
 32 Novel metaphors meet the requirements of optimal innovation (5): They involve
 33 a novel (metaphorical) response to a familiar (literal) stimulus, without blocking
 34 its salience-based (literal) interpretation, as can be also deduced from their
 35 longer reading times compared to their literal interpretations (Giora and Fein
 36 1999a). Their literal interpretations, however, do not: They involve only their
 37 salience-based interpretation. Hence, the difference in pleasurability ratings
 38 found between novel and familiar interpretations of the same stimuli.
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1 The familiar metaphors used in this study did not vary salience-wise from
2 their literal interpretations (as can be deduced from their equal reading times
3 shown in Giora and Fein 1999a) and could not be classified as optimally innova-
4 tive. No wonder they did not vary on the pleurability scale. Their high ratings
5 (compared to similar ratings of novel metaphors), although, in fact, incomparable,
6 may provide only partial support for the view that familiarity is a crucial factor
7 in pleurability. In this respect, our view differs from that of other models of
8 pleurability (Berlyne 1971; Bornstein and D'Agostino 1992; Giora et al. 2004;
9 Harrison 1977; Kunst-Wilson and Zajonc 1980; Zajonc 1968, 1980, 2000).
10 Although these models attribute to familiarity a role in pleasure, they predict
11 low pleasure ratings for high (and low) familiar items. In contrast, the Optimal
12 Innovation Hypothesis predicts moderate pleasure ratings for high familiar
13 items (as shown by Giora et al. 2004).

14 Additional support for the view that it is not figurativeness that accounts for
15 pleurability but optimal innovativeness comes from findings in Giora et al.
16 (2004). In Giora et al. (2004), we tested this assumption by using the 10 most
17 familiar and the 10 most novel items of the set used here. We figured that since
18 the most familiar metaphors will be more familiar than their literal inter-
19 pretations, it is their literal interpretation that would meet the requirements
20 for optimal innovativeness, involving both salient (metaphorical) and low-
21 salience (literal) responses. In contrast, the most novel metaphors will be rated
22 as more pleasing than their literal interpretations, since they involve both a
23 familiar salience-based (literal) interpretation alongside a novel (metaphorical)
24 interpretation, which their literal interpretations do not. Findings indeed show
25 that while novel metaphors were rated as more pleasing than their literal inter-
26 pretations (Figure 1), most familiar metaphors were rated as less pleasurable
27 than their literal interpretations, which were found to be more pleasing. Increase
28 in figurativeness, then, does not guarantee increase in liking (see Figure 2).
29 Instead, it is optimal innovativeness that incurs pleasure regardless of figura-
30 tiveness.

31 In all, these findings support the Optimal Innovation Hypothesis according
32 to which optimally innovative rather than metaphorical interpretations of same
33 stimuli account for pleurability. Theories assuming that the salience-based
34 (literal) interpretations of novel (metaphorical) stimuli need not be computed in
35 the process of their interpretation and might be circumvented due to a strong
36 context cannot account for these findings.

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3 General Discussion

Our studies show that, contrary to the Standard Pragmatic Model, metaphor does not hamper coherence; it is only novel metaphor that is viewed as hampering coherence (Experiment 1; Giora and Fein 1999a), as predicted by the Graded Salience Hypothesis. Complementarily, our studies show that, contrary to the Direct Access View, (given a highly informative prior context) metaphorical and literal interpretations of utterances are not equally coherent; it is only familiar metaphor and its familiar literal interpretation that cohere equally smoothly (Experiment 1; Giora and Fein 1999a), as predicted by the Graded Salience Hypothesis. Assuming similar relatedness to prior context and its discourse topic (Giora 1985), degree of coherence is sensitive to degree of salience rather than to degree of figurativeness. Compared to novel, nonsalient interpretations, salient meanings and salience-based interpretations are viewed as more coherent with prior context, regardless of figurativeness (Gibbs 1980).

Our studies further show that it is not metaphor that is pleasing; it is only novel metaphor that is viewed as likable; familiar metaphors are just as pleasing as their familiar literal interpretations (Experiment 2).

Our studies, thus, suggest that people might use utterances that hamper coherence in order to affect pleasure. Indeed, our studies demonstrate that pleasure ratings are induced by optimal innovation – innovation that allows the recoverability of a (familiar, conventional) response in the process of deriving a novel one, regardless of figurativeness (Giora et al., 2004).

Earlier research also suggests that people use language that hampers coherence in order to produce aesthetic effects. In Giora (1993), analogies were shown to interfere with comprehension. Notwithstanding, when scientific texts contained analogies, they were rated as more pleasing than when they did not.

At first blush, optimal innovations may seem more relevant (à la Sperber and Wilson 1986, 1995) than familiar expressions. Indeed, optimal innovations are rich in contextual effects. However, they are also highly taxing. And although familiar expressions are not as productive, they are still quite likable (Giora et al. 2004), while being less taxing. Contrary to appearances, then, both familiar and optimally innovative stimuli can be equally relevant.

In fact, what's likable about optimal innovativeness is the recognition of the familiar in the novel (Freud 1905; Giora et al. 2004), which is processed on account of its degree of saliency rather than due to its contextual (ir)relevance (e.g., the literal interpretation of novel metaphors; the metaphorical meaning of familiar metaphors and idioms intended literally). The Direct Access View, which assumes that contextually appropriate novel metaphors need not involve

1 processing their irrelevant literal interpretations in highly supportive contexts
 2 and, likewise, that contextually appropriate literal interpretations of highly
 3 familiar metaphors embedded in highly supportive context need not involve
 4 processing their metaphorical interpretations (for evidence to the contrary, see
 5 Gibbs 1980; Giora et al. 2004), will have difficulties accounting for the aesthetic
 6 ratings of the optimally innovative stimuli.

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