

APPROXIMATION THEORY AND THE RANK OF ABELIAN VARIETIES OVER LARGE ALGEBRAIC FIELDS

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Introduction

The following theorem is well known (cf. Lang, [6], p. 71).

Let k be a field of finite type (i.e. a field which is finitely generated over its prime field). Let A be an abelian variety defined over k . Then the set $A(k)$ of the k -rational points of A form a finitely generated abelian group.

In particular it follows that the rank of $A(k)$ is finite.

One may ask what happens to the rank if we replace k by a field K which is of infinite type. To answer this question one has first to exclude the case where K is an algebraic extension of a finite field, since in this case $A(K)$ is a torsion group. The first fields of interest are therefore the algebraically closed fields of which none is the algebraic closure of a finite field. For such a field K it is relatively easy to show that the rank of $A(K)$ is equal to the cardinality of K . (We assume here once for all that $\dim A \geq 1$.) A proof of this fact is included in this work. Another proof was indicated by J.-P. Serre in a letter.

Our main result is the following.

Let k be an infinite field of finite type and let e be a positive integer. Denote by k_s the separable closure of k . For every $(\sigma) = (\sigma_1, \dots, \sigma_e) \in \mathcal{G}(k_s/k)^e$ put $k_s(\sigma)$ for the fixed field in k_s of $(\sigma_1, \dots, \sigma_e)$. Then almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$ have the following property: if A is an abelian variety defined over $k_s(\sigma)$ and $\dim A \geq 1$ then the rank of $A(k_s(\sigma))$ is infinite.

Here 'almost all' is used in the sense of the Haar measure defined on the group $\mathcal{G}(k_s/k)$ with respect to its Krull topology. (More details are given in §1.)

This result may give the impression that the fields $k_s(\sigma)$ behave like algebraically closed fields. This is not the case since, for example, it can be shown that if k is a field of finite type and $e \geq 2$ then for almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$ the field $k_s(\sigma)$ contains only a finite number of roots of unity.

Two main steps are involved in the proof of the theorem. First, we establish a general approximation theory modulo real valuations (that is valuations with real values) for the fields $k_s(\sigma)$ which holds for an arbitrary abstract variety V . For global fields k , it can be formulated as follows.

Let v be a real valuation of k . Then for almost all $(\sigma) \in \mathcal{G}(k_s/k)^c$ and for every absolute variety V defined over k , the set $V_{\text{sim}}(k_s(\sigma) \cap k_v)$ of the $k_s(\sigma) \cap k_v$ -rational simple points of V is v -dense in the set $V_{\text{sim}}(k_v)$.

(Here k_v is the completion of k with respect to v .)

Secondly, we study abelian varieties over complete fields and by using the theory of Néron on minimal models ([13]), we prove the following theorem.

Let K be a complete field with respect to a discrete real valuation v , whose residue field is perfect and let L be a proper, finite, unramified Galois extension of K . If A is an abelian variety defined over K then there exists a point $P \in A(L)$ and a v -open neighbourhood U of P in $A(L)$ such that for every $Q \in U$ and for every integer $m \neq 0$, $mQ \notin A(K)$.

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1. The Haar measure of a Galois group

In this section we mention briefly some notions which have already been introduced in [5].

Let k be any field, k_s its separable closure, and $\mathcal{G}(k_s/k)$ the Galois group of k_s over k . It is well known that $\mathcal{G}(k_s/k)$ is a compact group under the Krull topology. There is therefore a unique way to define a Haar measure μ on the Borel field of $\mathcal{G}(k_s/k)$ such that $\mu(\mathcal{G}(k_s/k)) = 1$. If l is a finite separable extension of k then $\mu(\mathcal{G}(k_s/l)) = 1/[l:k]$. We complete μ by adjoining to the Borel field all the subsets of measure 0 and denote the completion also by μ . More generally, for a positive integer e , we shall consider the product space $\mathcal{G}(k_s/k)^e$ and denote by μ^e or μ again the appropriate product measure (also completed).

A sequence $(k_i/k)_{i=1}^\infty$ of algebraic field extensions is said to be *linearly disjoint* if, for every $n \geq 1$, k_{n+1} is linearly disjoint from $k_1 \dots k_n$ over k , in the usual sense, that is, if $[k_{n+1} : k] = [k_1 \dots k_n k_{n+1} : k_1 \dots k_n]$.

The following lemma is a special case of Lemma 1.10 of [5].

LEMMA 1.1. *Let k' be a finite separable extension of k . If $(k_i/k')_{i=1}^\infty$ is a linearly disjoint sequence of finite extensions of the same degree then*

$$\mu\left(\bigcup_{i=1}^\infty \mathcal{G}(k_i/k_i)^e\right) = \frac{1}{[k' : k]^e}.$$

We shall frequently use the simple fact that the intersection of a denumerable number of sets of measure 1 is again a set of measure 1. It is also clear that if $\{S_i\}_{i=1}^\infty$ and $\{T_i\}_{i=1}^\infty$ are two denumerable sets of measurable sets such that, for every i , S_i is almost equal to T_i then $\bigcup_{i=1}^\infty S_i$ is almost equal to $\bigcup_{i=1}^\infty T_i$.

2. Linearly independent points on elliptic curves

In this section we illustrate our methods for the special case of an elliptic curve defined over the field \mathbf{Q} of the rational numbers. The main result we achieve here will be stronger than the result for arbitrary abelian varieties.

Let \mathcal{E} be an elliptic curve defined over \mathbf{Q} which has a rational point over \mathbf{Q} . Without loss of generality we can suppose that \mathcal{E} has an affine representative which is defined by an equation

$$(1) \quad Y^2 = X^3 + aX + b,$$

where $a, b \in \mathbf{Z}$ and $4a^3 + 27b^2 \neq 0$ (Cassels, [I], p. 211). Thus \mathcal{E} turns out to be an abelian variety whose zero point is the point at infinity of (1).

If p is a prime we denote by v_p the appropriate valuation of the field \mathbf{Q}_p of p -adic numbers. Similar notation holds for a prime ideal of an arbitrary number field k .

If V is a variety defined over a field k , we denote by $V(k)$ the set of points of V rational over k .

LEMMA 2.1. *Let p be an odd prime. Put $c = 1 + ap^2 + bp^3$. Then $P = (1/p, \sqrt{(c/p)/p})$ is a point of \mathcal{E} , rational over $\mathbf{Q}(\sqrt{(c/p)})$ and, for every integer $m \neq 0$, mP is not rational over \mathbf{Q} .*

Proof. The field $k = \mathbf{Q}(\sqrt{(c/p)})$ is a quadratic extension of \mathbf{Q} which ramifies totally over p . Therefore there exists a unique prime ideal \mathfrak{p} of k lying over p and we have

$$(2) \quad v_{\mathfrak{p}}(z) = 2v_p(z) \quad \text{for all } z \in \mathbf{Q}_p.$$

If we put $\pi = \sqrt{(p/c)}$, we have $\pi \in k$ and $v_{\mathfrak{p}}(\pi) = 1$. We consider \mathbf{Q}_p as a subfield of $k_{\mathfrak{p}}$. One can prove that every point (x', y') satisfying (1) and rational over $k_{\mathfrak{p}}$ can be represented in the form $(x', y') = (\xi\pi^{-2n}, \eta\pi^{-3n})$, where η and ξ are integral in $k_{\mathfrak{p}}$ and $n = \max(0, -\frac{1}{2}v_{\mathfrak{p}}(x')) = \max(0, -\frac{1}{3}v_{\mathfrak{p}}(y'))$ is an integer. Thus we obtain a function $n = n(P')$ defined for every point

$P' \in \mathcal{E}(k_p)$ ($P' \neq 0$). This function has the following property (Lutz, [9]).

$$(3) \quad n(P') \geq 1 \Rightarrow n(mP') = n(P') + 2v_p(m) \quad \text{for every integer } m \neq 0.$$

From (2), it follows that if $P' = (x', y') \in \mathcal{E}(\mathbf{Q}_p)$ then $v_p(y')$ is even and hence $n(P')$ is even.

Now let m be a non-zero integer. From the definitions, it follows that P is a point of $\mathcal{E}(k)$ for which $n(P) = 1$. Hence, according to (3),

$$n(mP) = 1 + 2v_p(m)$$

that is, $n(mP)$ is an odd integer. This means that $mP \notin \mathcal{E}(\mathbf{Q}_p)$ and in particular mP is not rational over \mathbf{Q} .

THEOREM 2.2. *There exists a linearly independent sequence*

$$(P) = (P_1, P_2, P_3, \dots)$$

of points of $\mathcal{E}(\tilde{\mathbf{Q}})$. Moreover, for every positive integer e and for almost all $(\sigma) = (\sigma_1, \dots, \sigma_e) \in \mathcal{G}(\tilde{\mathbf{Q}}/\mathbf{Q})^e$ there exists a subsequence $(P_{n(1)}, P_{n(2)}, P_{n(3)}, \dots)$ of (P) rational over $\tilde{\mathbf{Q}}(\sigma)$.

Proof. We build by induction an infinite sequence p_1, p_2, p_3, \dots of odd primes such that if we put $c_i = 1 + ap_i^2 + bp_i^3$ and $k_i = \mathbf{Q}(\sqrt{(c_i/p_i)})$ then $v_{p_i}(c_i) = 0$ and $(k_i/\mathbf{Q})_{i=1}^\infty$ will be linearly disjoint.

Suppose we have already found odd primes p_1, \dots, p_{n-1} , such that $v_{p_i}(c_i) = 0$ and $(k_i/\mathbf{Q})_{i=1}^{n-1}$ is linearly disjoint. Put $K = k_1 \dots k_{n-1}$, then K is a number field. It is not difficult to prove that the polynomial $g(X, Y) = X^3 Y^2 - 1 - aX^2 - bX^3$ is absolutely irreducible. We can therefore find an odd prime p_n which does not divide the denominators of a and b such that the polynomial $g(p_n, Y)$ is irreducible over K (Lang, [6], p. 148). It follows immediately that $v_{p_n}(c_n) = 0$ and that k_n is a quadratic extension of \mathbf{Q} , linearly independent of K . This ends the induction.

According to Lemma 2.1, $P_n = (1/p_n, \sqrt{(c_n/p_n)}/p_n)$ is a point in $\mathcal{E}(k_n)$ and, for every non-zero integer m , $mP_n \notin \mathcal{E}(\mathbf{Q})$. Suppose now that there exist integers m_1, \dots, m_n such that $m_1 P_1 + \dots + m_{n-1} P_{n-1} + m_n P_n = 0$ and $m_n \neq 0$. P_1, \dots, P_{n-1} belong to $\mathcal{E}(k_1 \dots k_{n-1})$, hence $m_n P_n \in \mathcal{E}(k_1 \dots k_{n-1})$. On the other hand $m_n P_n \in \mathcal{E}(k_n)$, hence $m_n P_n$ is rational over $k_n \cap k_1 \dots k_{n-1} = \mathbf{Q}$, which is a contradiction. This means that the sequence $(P) = (P_1, P_2, P_3, \dots)$ is linearly independent.

By this we have proved the first part of the theorem. Now let e be a positive integer. For every positive integer r , denote by S_r the set of all $(\sigma) \in \mathcal{G}(\tilde{\mathbf{Q}}/\mathbf{Q})^e$ for which there exist r points of the sequence (P) which are rational over $\tilde{\mathbf{Q}}(\sigma)$. For every positive integer t , we put

$$K_t = k_{(t-1)r+1} \dots k_{tr}.$$

We obtain in this way a linearly disjoint sequence of field extensions $(K_t/\mathbf{Q})_{t=1}^{\infty}$ of degree 2^r . Furthermore, for every t , $P_{(t-1)r+1}, \dots, P_{tr}$ are rational over K_t . Hence $\bigcup_{t=1}^{\infty} \mathcal{G}(\tilde{\mathbf{Q}}/K_t)^e \subseteq S_r$. According to Lemma 1.1 $\mu(\bigcup_{t=1}^{\infty} \mathcal{G}(\tilde{\mathbf{Q}}/K_t)^e) = 1$. Therefore $\mu(S_r) = 1$ and so $\mu(\bigcap_{r=1}^{\infty} S_r) = 1$. Since, for every $(\sigma) \in \bigcap_{r=1}^{\infty} S_r$, there certainly exists an infinite subsequence of (P) , our second assertion also holds.

(Note that the sequence (P) is rational over $K = \mathbf{Q}(\{\sqrt[n]{n} \mid n \in \mathbf{Z}\})$. Hence the rank of $\mathcal{E}(K)$ is \aleph_0 .)

The authors are indebted to W. D. Geyer for calling their attention to Lutz's work.

3. Hilbertian fields with respect to a valuation

DEFINITION. Let k be a field with a valuation v . k is said to be *hilbertian with respect to v* if every hilbertian set of k contains a point whose coordinates have non-negative values by v .

The following lemma follows immediately from the definitions (compare: Lang, [6], Corollary 4, p. 148).

LEMMA 3.1. *Let k be a hilbertian field with respect to a real valuation v . Then, for every positive integer r , the hilbertian sets of k^r are dense in k^r in the v -topology.*

In particular, it follows in this case, that if k_v is the completion of k with respect to v , l is a finite separable extension of k , $f(t_1, \dots, t_r, X)$ is an irreducible polynomial over l , and $(\alpha) = (\alpha_1, \dots, \alpha_r) \in k_v^r$, then we can find a point $(a) \in k^r$, arbitrarily close to (α) , such that $f(a, X)$ is irreducible over l . Note that we use here the fact that every hilbertian set over l contains a hilbertian set over k (Lang, [6], p. 152). A similar argument implies the following result.

LEMMA 3.2. *If k is hilbertian with respect to a valuation v , if l is a finite extension of k and w is an extension of v to l then l is hilbertian with respect to w .*

Examples for hilbertian fields with respect to their valuations are given in the following lemma (cf. Lang, [6], Theorem 2, p. 155).

LEMMA 3.3. (1) *Number fields are hilbertian with respect to every valuation.*

(2) *Function fields of one variable over a field k_0 are hilbertian with respect to every discrete real valuation which is trivial on k_0 .*

4. The p-adic approximation on hypersurfaces

Let k be a field with a real valuation v . Denote by k_v the completion of k with respect to v . Let \tilde{k} and \tilde{k}_v be the algebraic closures of k and k_v respectively. We embed \tilde{k} in \tilde{k}_v and then identify \tilde{k} with its image. We also denote by k_s the separable closure of k . Thus, the intersection $k_s \cap k_v$ has a meaning.

LEMMA 4.1. *Let k be a field with a real valuation v , and $f \in k[T_1, \dots, T_n, X]$ be a polynomial whose degree in X is $d \geq 1$. Let $\tau_1, \dots, \tau_n, \xi \in k_v$ be elements for which*

$$f(\tau, \xi) = 0, \quad (\partial f / \partial X)(\tau, \xi) \neq 0$$

(where $(\tau) = (\tau_1, \dots, \tau_n)$). Suppose that A is a v -open neighbourhood of (τ, ξ) in k_v^{n+1} . Then there exist $a_1, \dots, a_n, b \in k_s \cap k_v$ such that $f(a, b) = 0$, $(a, b) \in A$, and $(\partial f / \partial X)(a, b) \neq 0$.

Moreover, if k is hilbertian with respect to v , l is a finite separable extension of k , and f is irreducible over l then we can choose (a, b) to satisfy in addition the condition that $k(a, b)$ be an extension of k of degree d which is linearly disjoint from l .

Proof. The implicit function theorem implies that there exists a function $X = \varphi(T)$, into k_v , which is defined and continuous in a neighbourhood of (τ) , such that, for every $(t, x) \in k_v^{n+1}$ sufficiently close to (τ, ξ) , we have

$$x = \varphi(t) \Leftrightarrow f(t, x) = 0.$$

(See Mattuck, [10], p. 97, for the ultrametric case.)

We choose $a_1, \dots, a_n \in k$ such that (a) is sufficiently close to (τ) . Put $b = \varphi(a)$. Then $f(a, b) = 0$, $(a, b) \in A$, and $(\partial f / \partial X)(a, b) \neq 0$. It follows also that $b \in k_s$.

If k is hilbertian with respect to v , l is a finite separable extension of k , and f is irreducible over l , we can choose (a) such that, in addition to being close to (τ) , $f(a, X)$ is an irreducible polynomial over l , of degree d (this is possible according to Lemma 3.1). Hence $k(a, b) = k(b)$ is an extension of k of degree d , linearly disjoint from l over k .

For every absolute variety V defined over a field K , we denote by $V_{\text{sim}}(K)$ the set of all K -rational points of V which are simple. If $K \subseteq \tilde{k}_v$ then v induces in a natural way, a topology on $V(K)$ and on $V_{\text{sim}}(K)$ which will be called the v -topology (Weil, [14], p. 352).

By a *hypersurface* we mean an affine variety V of dimension r , defined over a field K in the affine space S^{r+1} , which is irreducible over \tilde{K} .

LEMMA 4.2. *Let k be a denumerable field which is hilbertian with respect to a real valuation v . If V is a hypersurface defined over k then, for almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$, $V_{\text{sim}}(k_s(\sigma) \cap k_v)$ is v -dense in $V_{\text{sim}}(k_v)$.*

Proof. Let $f \in k[T_1, \dots, T_n, X]$ be a generator of the ideal of all polynomials in $k[T, X]$ vanishing on V . Then f is an absolutely irreducible polynomial. Consider a non-empty v -open set $A \subseteq V_{\text{sim}}(k_v)$. Choose a point $(\tau, \xi) \in A$. Then $f(\tau, \xi) = 0$ and without loss of generality we can assume that $(\partial f / \partial X)(\tau, \xi) \neq 0$. Let d be the degree of $f(T, X)$ in X . Then by Lemma 4.1 we can construct by induction a sequence of points $(a^{(1)}), (a^{(2)}), (a^{(3)}), \dots$ in A such that $k(a^{(i)})/k$ is a separable extension of degree d and the sequence $(k(a^{(i)})/k)_{i=1}^\infty$ is linearly disjoint (compare the proof of Theorem 2.2).

Put $S(A) = \bigcup_{i=1}^\infty \mathcal{G}(k_s/k(a^{(i)}))^e$. Then $V(k_s(\sigma) \cap k_v) \cap A$ is non-empty for every $(\sigma) \in S(A)$. According to Lemma 1.1, $\mu(S(A)) = 1$.

Since k is denumerable, it follows that the v -topology of $V_{\text{sim}}(k_v)$ has a denumerable base. The set of all $(\sigma) \in \mathcal{G}(k_s/k)^e$ for which $V_{\text{sim}}(k_s(\sigma) \cap k_v)$ is v -dense in $V_{\text{sim}}(k_v)$ contains therefore the intersection of countably many sets of the form $S(A)$; hence it has the measure 1.

5. The p -adic approximation on abstract varieties

We use the term *variety* in the sense of Weil ([14]), that is, to mean 'absolutely irreducible'.

The following lemma will help us to reduce the problem of p -adic approximation on an arbitrary variety to that on hypersurfaces.

LEMMA 5.1. *Let V be an abstract variety defined over an infinite field k . Suppose that P is a simple point of V such that $k(P)$ is a separable extension of k . Then there exists a hypersurface W defined over k and a birational map $\varphi: V \rightarrow W$ defined over k such that φ is biregular at P .*

The lemma can be proved by using the same ideas which appear in the proof for the case of algebraically closed field k (cf. Mumford, [12], Theorem 2, p. 373).

THEOREM 5.2. *Let k be a denumerable field. Suppose that k is hilbertian with respect to a real valuation v and that k_v is a separable extension of k . Then for almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$ and for every absolute variety V defined over k , $V_{\text{sim}}(k_s(\sigma) \cap k_v)$ is v -dense in $V_{\text{sim}}(k_v)$. In particular, for every group variety G defined over k , $G(k_s(\sigma) \cap k_s)$ is dense in $G(k_v)$.*

Proof. The assumption that k is denumerable implies that there are only countably many hypersurfaces W defined over k . It follows therefore, from Lemma 4.2, that almost all the $(\sigma) \in \mathcal{G}(k_s/k)^e$ have the property that, for every hypersurface W defined over k , $W_{\text{sim}}(k_s(\sigma) \cap k_v)$ is v -dense in

$W_{\text{sim}}(k_v)$. Denote by S the set of all $(\sigma) \in \mathcal{G}(k_s/k)^e$ with this property. Let V be an absolute variety defined over k and let $P \in V_{\text{sim}}(k_v)$. Then there exists, by Lemma 5.1, a hypersurface W over k and a birational map $\varphi: V \rightarrow W$ defined over k which is biregular at P . It follows that P can be approximated by points of $V_{\text{sim}}(k_s(\sigma) \cap k_v)$ for all $(\sigma) \in S$.

The assertion for group varieties follows simply by the fact that every point of a group variety is simple (Lang, [7], p. 221).

REMARK. We could have proved Theorem 5.2 directly, without reducing it to a discussion of hypersurfaces. But this would not have been shorter.

6. A class of small fields

DEFINITION. A denumerable field k is said to be an *FTP field* if it is

- (i) a global field, or
- (ii) a function field of one variable over the perfect closure, $k_0^{p^{-\infty}}$, of a hilbertian field k_0 of characteristic p .

Every finite extension of an FTP field is again an FTP field. Denote by \mathfrak{B}_k the set of all real valuations v of k which are arbitrary in case (i) and trivial on k_0 in case (ii). According to Lemma 3.3, k is hilbertian with respect to every valuation $v \in \mathfrak{B}_k$. Furthermore, k_v is a separable extension of k according to the following lemma.

LEMMA 6.1. *If k is a field of one variable over a field k_0 and if v is a discrete real valuation of k over k_0 then k_v is a separable extension of k .*

Proof.† It suffices to prove that if l is a finite purely inseparable extension of k then it is linearly disjoint from k_v over k . Indeed, let l be such a field. Then v has exactly one extension to l , which we denote by v too. Hence $[l_v : k_v] = [l : v]$ (cf. Chevalley, [3], p. 61). But $l_v = l.k_v$. Hence l and k_v are linearly disjoint over k .

For convenience we assume that k and all the k_v ($v \in \mathfrak{B}_k$) are imbedded in some fixed manner in the universal domain. In the case of an FTP field, Theorem 5.2 may be reformulated as follows.

THEOREM 6.2. *Let k be an FTP field and let $v \in \mathfrak{B}_k$. Then for almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$ and for every absolute variety V defined over k , $V_{\text{sim}}(k_s(\sigma) \cap k_v)$ is v -dense in $V_{\text{sim}}(k_v)$. In particular, for every group variety G defined over k , $G(k_s(\sigma) \cap k_v)$ is dense in $G(k_v)$.*

† We are indebted to P. Roquette for calling our attention to this simple proof.

If k is a field with discrete valuation v , we denote by \bar{k}_v the residue field of k with respect to v .

LEMMA 6.3. *Let L be an FTP field and M a finite cyclic extension of L . Then there exists a discrete valuation $v \in \mathfrak{B}_L$, not ramified in M , which has a unique extension to M (which will also be denoted by v). For this v , \bar{L}_v is a perfect field and M_v is an unramified extension of L_v of degree $[M : L]$.*

Proof. The second part of the lemma follows from the first part according to the theory of discrete valuations (Cassels and Fröhlich, [2], Proposition 1, p. 40). We prove the first part.

Consider first the case where L is a global field (case (i)). Let σ be a generator of $\mathcal{G}(M/L)$. Then we can find, according to the Čebotarev density theorem, a discrete valuation $v \in \mathfrak{B}_L$, such that the corresponding Artin symbol will be equal to σ . This v has a unique extension to M (Cassels and Fröhlich, [2], p. 165).

Suppose now that L is a finite separable extension of a field k , where $k = k_1(t)$, $k_1 = k_0^{1/p^\infty}$, and k_0 is a hiltbertian field (case (ii)). Let $f \in k_1[t, X]$ be an irreducible polynomial over $k_1(t)$, a root of which generates M over $k_1(t)$. We can suppose that the greatest common divisor (in $k_1[t]$) of the coefficients of f is 1. Therefore f is irreducible in $k_1[t, X]$ and hence also in $k'_0[t, X]$, for some finite extension k'_0 of k_0 contained in k_1 . Since k_0 is a hiltbertian field, k'_0 is also hiltbertian and hence we can find an $a \in k'_0$ such that $f(a, X)$ is an irreducible polynomial and separable over k'_0 , whose degree is equal to the degree of f in X , and such that the place \mathfrak{p} of $k_1(t)$ over k_1 determined by the specialization $t \rightarrow a$ is unramified in M (notice that the last requirement can be fulfilled since there are only a finite number of places of $k_1(t)$ over k_1 which are ramified in M (Chevalley, [3], p. 72, Lemme 3). Since k_1 is a purely inseparable extension of k'_0 , $f(a, X)$ is also irreducible over k_1 . Let \mathfrak{P} be an extension of \mathfrak{p} to M . Then the degree of \bar{M} (the residue field of M with respect to \mathfrak{P}) over $\bar{k}_p (= k_1)$ is equal to the degree of $f(a, X)$, and hence to $[M : k_1(t)]$. This implies that \mathfrak{P} is the unique extension of \mathfrak{p} to M (again: Cassels and Fröhlich, [2], Proposition 1, p. 40).

Take v to be the valuation corresponding to the restriction of \mathfrak{P} to L . This v is the desired valuation for the lemma.

7. Abelian varieties over complete fields

Let K be a complete field with respect to a discrete valuation v . Choose a finite non-ramified Galois extension L of K such that $[L : K] > 1$. Denote by k and l the residue fields of K and L respectively and suppose that k is a perfect field. Then L is the field obtained from K by extending

k to l . In particular, l is a Galois extension of k and there is a natural isomorphism of $\mathcal{G}(L/K)$ onto $\mathcal{G}(l/k)$.

LEMMA 7.1. *Let A be an abelian variety of dimension $r > 0$, defined over K . Then there exists a point $P \in A(L)$ and a v -open neighbourhood U of P in $A(L)$ such that, for every $P' \in U$ and for every integer $m \neq 0$, $mP' \notin A(K)$.*

Proof. We shall use the theory of minimal models of abelian varieties, developed by Néron in [13]. He shows that there exists an abelian variety A' , isomorphic to A over K , with some 'good' properties; he calls A' a minimal model ([13], Theorem 2, p. 79). Without loss of generality we can assume that $A = A'$, that is, A is a minimal model.

Let \mathfrak{p}_K be the maximal ideal of the ring of integers of K with respect to v . For every integer $\mu \geq 0$, denote by ρ^μ the map induced by the reduction modulo $\mathfrak{p}_K^{\mu+1}$ (we use here the notations of Néron). Then $\rho^\mu(A)$ is a commutative algebraic group defined over k , and there exist natural epimorphisms $\theta^{\mu+1}: \rho^{\mu+1}(A(K)) \rightarrow \rho^\mu(A(K))$, defined over k , whose kernel is canonically isomorphic to $(k^+)^r$ (where k^+ is the additive group of k) such that $A(K)$ is the projective limit of the sequence

$$\rho^0(A(K)) \xleftarrow{\theta^0} \rho^1(A(K)) \xleftarrow{\theta^1} \dots \xleftarrow{\theta^\mu} \rho^\mu(A(K)) \xleftarrow{\theta^\mu} \rho^{\mu+1}(A(K)) \xleftarrow{\theta^{\mu+1}} \dots$$

(Néron, [13], pp. 78 and 79). Define by induction a subsequence $(A^\mu(K))_{\mu=0,1,2,\dots}$ in the following manner:

$$A^0(K) = 0, \quad A^{\mu+1}(K) = (\theta^\mu)^{-1}(A^\mu(K)).$$

Then we have the commutative diagram

$$\begin{array}{ccccccc} \rho^0(A(K)) & \longleftarrow & \dots & \longleftarrow & \rho^\mu(A(K)) & \xleftarrow{\theta^\mu} & \rho^{\mu+1}(A(K)) & \longleftarrow & \dots \\ \uparrow & & & & \uparrow & & \uparrow & & \\ A^0(K) & \longleftarrow & \dots & \longleftarrow & A^\mu(K) & \xleftarrow{\theta^\mu} & A^{\mu+1}(K) & \longleftarrow & \dots \end{array}$$

The projective limit of the second row is denoted by $A_0(K)$. It is the set of the k -rational points of the pro-algebraic subgroup A_0 of A defined over k .

For every $\mu \geq 0$, $\rho^\mu(A_0(K)) = A^\mu(K)$. It is easy to see, by induction, that if $x \in \rho^{\mu+1}(A(K))$ and if $\theta^\mu(x) = 0$ then $x \in A^{\mu+1}(K)$. Therefore, the existence of the short exact sequence

$$0 \longrightarrow (k^+)^r \longrightarrow \rho^{\mu+1}(A(K)) \xrightarrow{\theta^\mu} \rho^\mu(A(K)) \longrightarrow 0$$

implies the existence of the short exact sequence

$$0 \longrightarrow (k^+)^r \longrightarrow A^{\mu+1}(K) \xrightarrow{\theta^\mu} A^\mu(K) \longrightarrow 0.$$

Now let $A_\mu(K)$ be the kernel of the epimorphism $\rho^\mu: A_0(K) \rightarrow A^\mu(K)$. $A_{\mu+1}$ is a sub-pro-algebraic group of A_μ defined over k . Applying the 'snake lemma' to the commutative diagram

$$\begin{array}{ccccccc}
 & & 0 & & & & \\
 & & \uparrow & & & & \\
 & & A_\mu(K)/A_{\mu+1}(K) & & & & 0 \\
 & & \uparrow & & & & \uparrow \\
 0 & \longrightarrow & A_\mu(K) & \hookrightarrow & A_0(K) & \xrightarrow{\rho^\mu} & A^\mu(K) \longrightarrow 0 \\
 & & \uparrow & & \parallel & & \uparrow \\
 0 & \longrightarrow & A_{\mu+1}(K) & \hookrightarrow & A_0(K) & \xrightarrow{\rho^{\mu+1}} & A^{\mu+1}(K) \longrightarrow 0 \\
 & & \uparrow & & & & \uparrow \\
 & & 0 & & & & (k^+)^r \\
 & & & & & & \uparrow \\
 & & & & & & 0
 \end{array}$$

we conclude that $\rho^{\mu+1}$ induces a natural isomorphism $\bar{\rho}^{\mu+1}$ of $A_\mu(K)/A_{\mu+1}(K)$ onto $(k^+)^r$. We thus obtain the following exact sequence

$$(1) \quad 0 \longrightarrow A_{\mu+1}(K) \hookrightarrow A_\mu(K) \xrightarrow{\bar{\rho}^{\mu+1}} (k^+)^r \longrightarrow 0$$

The same construction for L and l instead of K and k gives an analogous sequence. The imbedding of K in L induces a natural imbedding of $A_\mu(K)$ in $A_\mu(L)$ such that $A_\mu(K)$ is exactly the set of the fixed points of the group $\mathcal{G}(L/K)$ in $A_\mu(L)$ and we have the following commutative diagram:

$$(2) \quad \begin{array}{ccccccc}
 0 & \longrightarrow & A_{\mu+1}(L) & \hookrightarrow & A_\mu(L) & \xrightarrow{\bar{\rho}^{\mu+1}} & (l^+)^r \longrightarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longrightarrow & A_{\mu+1}(K) & \hookrightarrow & A_\mu(K) & \xrightarrow{\bar{\rho}^{\mu+1}} & (k^+)^r \longrightarrow 0
 \end{array}$$

It is clear now that if $P \in A_\mu(L)$ and if $\bar{\rho}^{\mu+1}(P) \notin (k^+)^r$ then every point $P' \in A_\mu(L)$ which is congruent to P modulo $p_L^{\mu+2}$ does not belong to $A_\mu(K)$.

Assertion. There exists μ_0 such that for every $\mu \geq \mu_0$, $A_\mu(L)$ does not contain points of finite order.

Indeed, let p be the characteristic of k and let m be a positive integer, relatively prime to p (or arbitrary if $p = 0$). Then $A_0(L)$ has no point annihilated by m except 0. For if $P \in A_0(L)$ and $mP = 0$, then

$$m\bar{\rho}^1(P) = 0 \Rightarrow \bar{\rho}^1(P) = 0 \Rightarrow P \in A_1(L)$$

(according to (2) for $\mu = 0$). Proceeding by induction, we have $P \in A_\mu(L)$ and $\bar{\rho}^{\mu+1}(P) = 0$ for every $\mu \geq 0$. It follows that $P = 0$. This means that the order of every point in $A_0(L)$ is either a power of p or ∞ . There are only finitely many points of order p in A (Mumford, [11], p. 39). Hence, we can find μ_0 such that for every $\mu \geq \mu_0$, $A_\mu(L)$ does not contain points of order p . This implies that $A_\mu(L)$ even contains no points of a p -power order, that is, $A_\mu(L)$ is a torsion-free group.

Now take $\mu \geq \mu_0$ and a point $P \in A_\mu(L)$ such that $\bar{\rho}^{\mu+1}(P) \notin (k^+)^r$ (here we use the fact that $[l : k] > 1$). Put $U = \{P' \in A(L) \mid P' \equiv P \pmod{\mathfrak{p}_L^{\mu+2}}\}$. Then U is a v -open neighbourhood of P in $A_\mu(L)$. If, for some $P' \in U$, there exists an integer $m \neq 0$ such that $mP' \in A(K)$ then, for every $\sigma \in \mathcal{G}(L/K)$,

$$m(\sigma(P') - P) = \sigma(mP') - mP' = 0.$$

However, $\sigma(P') - P' \in A_\mu(L)$ since ρ and σ commute. Hence $\sigma(P') - P' = 0$. Therefore $P' \in A(K)$, which is a contradiction to our foregoing remark. We can take this pair, P and U , to be the desired point and neighbourhood.

REMARK. The case where K is a completion of a number field is easier to handle. In this case Lemma 7.1 follows readily from the work of Mattuck ([10]) which generalizes the work of Lutz ([9]). On the other hand, one can prove the lemma in more general cases, for example, when the residue field is not perfect.

8. Purely inseparable extensions

LEMMA 8.1. *Let A be an abelian variety defined over a field K and let L be a purely inseparable extension of K . Then for every point $P \in A(L)$ there exists a positive integer m such that $mP \in A(K)$.*

Proof. If $\text{char}(K) = 0$, the result is trivial. If $\text{char}(K) = p \neq 0$ then, following a suggestion of Roquette, the assertion may be reduced to a corresponding one for divisors on \hat{A} , the dual of A . However, the latter is obvious from the definition of a divisor.

The following lemma will reduce the proof of the main theorem from considering arbitrary fields of finite type to FTP fields.

LEMMA 8.2. *Let K/k be a purely inseparable extension. Suppose that K has the property that for almost all $(\sigma) \in \mathcal{G}(K_s/K)^e$ the following statement holds:*

(*) *for all abelian varieties A of positive dimension which are defined over $K_s(\sigma)$, $\text{rank}(A(K_s(\sigma))) = \infty$.*

Then k has the same property.

Proof. k_s is clearly linearly disjoint from K over k . Hence, the restriction map, ρ , of the elements of $\mathcal{G}(K_s/K)$ to k_s is an isomorphism of $\mathcal{G}(K_s/K)$ onto $\mathcal{G}(k_s/k)$. Obviously, the measure is preserved by ρ . In particular, if S is the set of all $(\sigma) \in \mathcal{G}(K_s/K)^e$ for which the statement (*) holds, then S has measure 1, and hence $S' = \rho S$ has measure 1 in $\mathcal{G}(k_s/k)^e$. We have only to prove that every $(\sigma') \in S'$ has the property (*). Indeed let $(\sigma') = \rho(\sigma) \in S'$ and let A be an abelian variety of positive dimension defined over $k_s(\sigma')$. Then A is also defined over $K_s(\sigma)$. Hence there exists a linearly independent sequence P_1, P_2, P_3, \dots of points of $A(K_s(\sigma))$. $K_s(\sigma)$ is a purely inseparable extension of $k_s(\sigma')$. Hence, by Lemma 8.1, there exists for every $i \geq 1$ a positive integer m_i such that $m_i P_i \in A(k_s(\sigma'))$. The infinite sequence $m_1 P_1, m_2 P_2, m_3 P_3, \dots$ is obviously linearly independent. Therefore k has the desired property.

9. Infinite fields of finite type

A field k is said to be of *finite type* if it is finitely generated over the prime field which is contained in it.

It is clear that if k is an infinite field of finite type then a certain purely inseparable extension of k is an FTP field (use Lemma 3.3).

The following theorem is our main result.

THEOREM 9.1. *Let k be an infinite field of finite type. Then almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$ have the following property:*

for every abelian variety A with positive dimension, the rank of $A(k_s(\sigma))$ is ∞ .

Proof. By the preceding remark and by Lemma 8.2 it is sufficient to prove the theorem for an FTP field k .

Since every abelian variety A defined over a certain $k_s(\sigma)$ is already defined over a finite separable extension l of k and this l is again an FTP field, and since k is denumerable, it is sufficient to prove the following statement:

(') For a given abelian variety A of positive dimension, defined over k , the rank of $A(k_s(\sigma))$ is ∞ for almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$.

(Compare the deduction of Theorem 2.5 from Lemma 2.4 in [5].)

In order to prove ('), it is enough to prove by induction the following lemma.

LEMMA. For every integer $n \geq 0$, there exists a denumerable set T_n of linearly independent n -tuples $(P) = (P_1, \dots, P_n)$, of points of $A(k_s)$, such that, for almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$, one of the n -tuples of T_n is rational over $k_s(\sigma)$.

The proof of the lemma will be carried out in several steps.

Step 1: using the induction hypothesis.

For $n = 0$ there is nothing to prove. Suppose therefore that we have already constructed T_n , where

$$T_n = ((P^{(1)}), (P^{(2)}), (P^{(3)}), \dots) \quad \text{and} \quad (P^{(i)}) = (P_1^{(i)}, \dots, P_n^{(i)}).$$

Put $L_i = k(P^{(i)})$. Then $\bigcup_{i=1}^{\infty} \mathcal{G}(k_s/L_i)^e$ is the set of all $(\sigma) \in \mathcal{G}(k_s/k)^e$ for which there exists i such that $(P^{(i)})$ is rational over $k_s(\sigma)$. According to the inductive hypothesis we have

$$(1) \quad \mu\left(\bigcup_{i=1}^{\infty} \mathcal{G}(k_s/L_i)^e\right) = 1.$$

Step 2: constructing a sequence of quadratic extensions.

Now let i be fixed. The field L_i is hilbertian, hence we can construct a linearly disjoint sequence, $(M_{ij}/L_i)_{j=1}^{\infty}$, of separable extensions of degree 2. (We can use for this purpose the polynomial $X^2 + t_1X + t_2$ which is absolutely irreducible and separable in X over every field (compare the proof of Theorem 2.2).) Then we have, by Lemma 1.1,

$$(2) \quad \mu\left(\bigcup_{j=1}^{\infty} \mathcal{G}(k_s/M_{ij})^e\right) = \frac{1}{[L_i : k]^e}.$$

Step 3: using the local theory.

For every j , we choose, according to Lemma 6.3, a discrete valuation $v = v_{ij} \in \mathfrak{B}_{L_i}$ having only one extension to M_{ij} and not ramified there. The field $\bar{L}_{i,v}$ is perfect and $M_{ij,v}$ is an unramified extension of $L_{i,v}$ of degree 2. Since A is also defined over $L_{i,v}$, there exists, by Lemma 7.1, a point $P_{ij} \in A(M_{ij,v})$ and a v -open neighbourhood U_{ij} of P_{ij} in $A(M_{ij,v})$ such that, for every $P' \in U_{ij}$ and for every integer $m \neq 0$, $mP' \notin A(L_{i,v})$. In particular, $mP' \notin A(L_i)$.

Step 4: using the approximation theory.

Applying Theorem 6.2, we see that there exists a subset $S_{ij} \subseteq \mathcal{G}(k_s/M_{ij})^e$ of measure $1/[M_{ij} : k]^e$ such that, for every $(\sigma) \in S_{ij}$,

$$A(k_s(\sigma)) \cap U_{ij} \neq \emptyset.$$

(Note that M_{ij} is an FTP field.) Since there are only countably many points in $A(k_s)$, we can order the set $\bigcup_{(\sigma) \in S_{ij}} A(k_s(\sigma)) \cap U_{ij}$ in a sequence

$(P_{ijl})_{l=1,2,\dots}$. If we put $N_{ijl} = M_{ij}(P_{ijl})$, we have $\mathcal{G}(k_s/N_{ijl})^e = S_{ij}$ and hence

$$(3) \quad \mu\left(\bigcup_{l=1}^{\infty} \mathcal{G}(k_s/N_{ijl})^e\right) = \frac{1}{[M_{ij} : k]^e}.$$

The remark made at the end of § 1 and equations (1), (2) and (3) together imply that

$$\mu\left(\bigcup_{i=1}^{\infty} \bigcup_{j=1}^{\infty} \bigcup_{l=1}^{\infty} \mathcal{G}(k_s/N_{ijl})^e\right) = 1.$$

Step 5: the definition of T_{n+1} .

We take T_{n+1} to be the set of $(n+1)$ -tuples $(P^{(i)}, P_{ijl})$ ($i, j, l = 1, 2, 3, \dots$). Then for almost all $(\sigma) \in \mathcal{G}(k_s/k)^e$ one of the $(n+1)$ -tuples of T_{n+1} is rational over $k_s(\sigma)$. It remains to prove that the $(P^{(i)}, P_{ijl})$ are linearly independent. Indeed, suppose that there exist integers m_1, \dots, m_n, m_{n+1} , not all zero, such that $\sum_{\nu=1}^n m_{\nu} P_{\nu}^{(i)} + m_{n+1} P_{ijl} = 0$. The inductive hypothesis implies that $m_{n+1} \neq 0$. We have also

$$m_{n+1} P_{ijl} = \sum_{\nu=1}^n -m_{\nu} P_{\nu}^{(i)}.$$

The right-hand side is a sum of L_i -rational points, hence it is rational over L_i . It follows that $m_{n+1} P_{ijl}$ is rational over L_i , which is a contradiction to the fact that $P_{ijl} \in U_{ij}$. This concludes the induction and the proof of the theorem.

10. Abelian varieties over an algebraically closed field

A consequence of Theorem 9.1 is the following.

THEOREM 10.1. *If A is an abelian variety of positive dimension defined over an algebraically closed field K which is not the algebraic closure of a finite field then the rank of $A(K)$ is equal to the cardinality of K .*

Proof. We can certainly find a field of finite type k contained in K such that A is defined over k . By Theorem 9.1, we can choose $\sigma \in \mathcal{G}(k_s/k)$ such that $\text{rank}(A(k_s(\sigma))) = \infty$. Hence the group $A(K)$ has an infinite rank. This proves the theorem in the case where K is denumerable.

Suppose now that K has the cardinality m and $m > \aleph_0$. Then, as before, we find an algebraically closed field k which has a finite transcendental degree over the prime field, and such that A is defined over k . Now take a transcendence base $\{t_{\mu} \mid \mu \in M\}$ for K over k . The cardinality of M is again m . For every $\mu \in M$, take a point $P_{\mu} \in A(\widetilde{k(t_{\mu})}) \setminus A(k)$. (For this one has only to consider an affine representative of A and to take a point in this representative which is rational over $\widetilde{k(t_{\mu})}$ such that one of its coordinates is equal to t_{μ} .) Then we have a subset $\{P_{\mu} \mid \mu \in M\}$ of points

of $A(K)$ whose cardinality is equal to m . We have only to prove that this subset is linearly independent over \mathbf{Z} . Indeed, suppose that we have a relation of the form $n_1 P_{\mu_1} + \dots + n_s P_{\mu_s} = 0$ where the n_i are non-zero integers. Then $n_1 P_{\mu_1} = -n_2 P_{\mu_2} - \dots - n_s P_{\mu_s}$ and we have

$$n_1 P_{\mu_1} \in A(L) \cap A(M) = A(k),$$

where L and M are the algebraic closures of $k(t_{\mu_1})$ and $k(t_{\mu_2}, \dots, t_{\mu_s})$ respectively (since $t_{\mu_1}, t_{\mu_2}, \dots, t_{\mu_s}$ are algebraically independent over \bar{k}). But $A(k)$ is a divisible group (Mumford, [11], p. 62), hence $P_{\mu_1} \in A(k)$, which is a contradiction. The set $\{P_{\mu} | \mu \in M\}$ is thus linearly independent.

REMARKS. (1) Another formulation of the theorem is the following. If k is a field which is not an algebraic extension of a finite field and if A is an abelian variety defined over k then, for every integer n , we can find a finite extension l of k such that $\text{rank}(A(l)) \geq n$.

(2) If one wishes to prove Theorem 10.1 directly (that is, not to use measure-theoretic arguments), one can do it in the following way. First, consider an FTP field k and an abelian variety A defined over k . Now take a quadratic Galois extension L of k and let v be any discrete real valuation on L such that L_v is a proper unramified extension of k_v (where v is the restriction of v to k). Then choose a point $P \in A(L_v)$ and a v -neighbourhood U of P as in Lemma 7.1. Using a generalization of Hensel's lemma, proved by Greenberg ([4]), one can find a point $P' \in A(\bar{k}) \cap U$ and we have, for every integer $m \neq 0$, $mP' \notin A(k)$. In this way one can construct, by induction, a linearly independent sequence P_1, P_2, P_3, \dots of points in $A(k)$. It follows immediately that the rank of $A(K)$ is at least \aleph_0 .

The rest of the proof goes now as before. Notice that the second part of the proof is independent of the former sections.

PROBLEM. For elliptic curves \mathcal{E} defined over \mathbf{Q} , we proved that $\mathcal{E}(\mathbf{Q}_{\text{ab}})$ has an infinite rank. (\mathbf{Q}_{ab} is the maximal abelian extension of \mathbf{Q} .) Does the same result hold for an arbitrary abelian variety A ?

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