# More on Prikry forcings with non-normal ultrafilters.

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#### Abstract

We continue here the study of subforcing of the Prikry forcing started in [5] and then in [1].

## 1 Introduction.

We deal here with Prikry forcings with non-normal ultrafilters over  $\kappa$  (including tree Prikry forcings with different ultrafilters). Note that such forcing may add various new subsets to  $\kappa$ . For example start with  $\kappa$  which is a  $\kappa$ -compact cardinal. In [1], an example of a Prikry forcing which adds a Cohen generic over V subset was produced starting just from a measurable. Clearly, it cannot be equivalent to a Prikry forcing since the Cohen forcing preserves cofinalities and Prikry changes the cofinality of  $\kappa$  to  $\omega$ .

Our aim here will be to study situations where in V[A],  $\kappa$  changes its cofinality, for some set A of ordinals in a Prikry extension.

Let  $\kappa$  be a measurable cardinal and let  $\mathbb{U} = \langle U_a \mid a \in [\kappa]^{<\omega} \rangle$  be a tree consisting of  $\kappa$ -complete non-trivial ultrafilters over  $\kappa$ .

Denote by  $P(\mathbb{U})$  the Prikry forcing with  $\mathbb{U}$ . Let C be a Prikry sequence for  $P(\mathbb{U})$ . Our aim is to show the following:

**Theorem 1.1** Let A be a set of ordinals in V[C] of size  $\kappa$ . Then the following are equivalent:

- 1.  $\kappa$  changes its cofinality in V[A];
- 2. A is equivalent to a Prikry forcing with  $\mathbb{W}$ , for some tree  $\mathbb{W}$  consisting of ultrafilters over  $\kappa$  Rudin-Keisler below some of those from  $\mathbb{U}$ .

*Proof.* The implication  $(2) \Rightarrow (1)$  is obvious. Our tusk will be to show  $(1) \Rightarrow (2)$ . So assume (1). Clearly, the only possible value for the cofinality  $\kappa$  in V[A] is  $\omega$ , since V[C] does not add new bounded subsets of  $\kappa$ . So, let  $\langle \beta_n | n < \omega \rangle$  be a cofinal sequence to  $\kappa$  in V[A].

### 2 Subsets of $\kappa$ .

Let us assume first that  $A \subseteq \kappa$ .

Then for every  $\xi < \kappa, A \cap \xi \in V$ . In particular, for every  $n < \omega, A \cap \beta_n \in V$ , and so can be codded (in V) by an ordinal  $\alpha_n < 2^{\beta_n}$ .

Now, obviously, we have

$$V[A] = V[\langle \alpha_n \mid n < \omega \rangle].$$

Hence it is enough to prove (2) for  $\langle \alpha_n \mid n < \omega \rangle$ .

Let us use the following result from [1]:

**Theorem 2.1** Let  $\langle \alpha_k | k < \omega \rangle \in V[C]$  be an increasing cofinal in  $\kappa$  sequence. Then  $\langle \alpha_k | k < \omega \rangle$  is a Prikry sequence for a sequence in V of  $\kappa$ -complete ultrafilters which are Rudin -Keisler below  $\langle U_n | n < \omega \rangle$ .

Moreover, there exist a non-decreasing sequence of natural numbers  $\langle n_k | k < \omega \rangle$  and a sequence of functions  $\langle F_k | k < \omega \rangle$  in V,  $F_k : [\kappa]^{n_k} \to \kappa$ ,  $(k < \omega)$ , such that

- 1.  $\alpha_k = F_k(C \upharpoonright n_k)$ , for every  $k < \omega$ .
- 2. Let  $\langle n_{k_i} \mid i < \omega \rangle$  be the increasing subsequence of  $\langle n_k \mid k < \omega \rangle$  such that
  - (a)  $\{n_{k_i} \mid i < \omega\} = \{n_k \mid k < \omega\}, and$
  - (b)  $k_i = \min\{k \mid n_k = n_{k_i}\}.$

Set  $\ell_i = |\{k \mid n_k = n_{k_i}\}|$ . Then  $\langle F_k(C \upharpoonright n_{k_i}) \mid i < \omega, n_k = n_{k_i} \rangle$  will be a Prikry sequence for  $\langle W_i \mid i < \omega \rangle$ , i.e. for every sequence  $\langle A_i \mid i < \omega \rangle \in V$ , with  $A_i \in W_i$ , there is  $i_0 < \omega$  such that for every  $i > i_0$ ,  $\langle F_k(C \upharpoonright n_{k_i}) \mid i < \omega, n_k = n_{k_i} \rangle \in A_i$ , where each  $W_i$  is an ultrafilter over  $[\kappa]^{\ell_i}$  which is the projection of  $U_{n_{k_i}}$  by  $\langle F_{k_i}, ..., F_{k_i+\ell_i-1} \rangle$ .

Let us replace functions  $F_k$ 's by one to one functions.

Start with i = 1.

So,  $W_i$  is an ultrafilter over  $[\kappa]^{\ell_1}$  which is the projection of  $U_{n_{k_1}}$  by  $\langle F_{k_1}, ..., F_{k_1+\ell_1-1} \rangle$ . Consider the elementary embeddings

$$j_1: V \to N_1 \simeq {}^{n_{k_1}} V / U_{n_{k_1}}$$

and

$$j_1': V \to N_1' \simeq {}^{n_{k_1}}V/W_1.$$

Define

$$\sigma_1: N_1' \to N_1$$

by setting

$$\sigma_1(j_1'(g)([id]_{W_1}) = j_1(g)(\langle [F_{k_1}]_{U_{n_{k_1}}}, \dots, [F_{k_1+\ell_1-1}]_{U_{n_{k_1}}}\rangle).$$

Find in  $N_1$  the smallest set  $\vec{a}_1$  of generators such that for some  $g_1: [\kappa]^{|\vec{a}|} \to [\kappa]^{\ell_1}$  we have

$$j_1(g_1)(\vec{a}) = \langle [F_{k_1}]_{U_{n_{k_1}}}, \dots, [F_{k_1+\ell_1-1}]_{U_{n_{k_1}}} \rangle.$$

Set

$$U(1) = \{ X \subseteq [\kappa]^{|\vec{a}|} \mid \vec{a} \in j_1(X) \}$$

So, U(1) is a  $\kappa$ -complete ultrafilter generated by  $\vec{a}$  from  $U_{n_{k_1}}$ . Moreover, U(1) is Rudin-Keisler equivalent to  $W_1$ , since they have the same ultrapower. In particular, the map  $g_1$  can be taken one to one.

Continue now to  $W_2$ . We proceed in the similar fashion and find the smallest set of generators  $\vec{a}_2$  of  $U_{n_{k_2}}$  which define a  $\kappa$ -complete ultrafilter U(2), Rudin - Keisler equivalent to  $W_2$ , as witnessed by a one to one function  $g_2$  etc.

Let us now describe how to "unpack" a Prikry (tree) forcing from U(n)'s.

Let us deal with ultrafilters Rudin -Keisler below  $U_2$ . The general case is similar only notation are more complicated.

Consider the ultrapower by  $U_{\langle \rangle}$ :

$$i_{\langle\rangle}: V \to M_{\langle\rangle}$$

The sequence  $i_{\langle\rangle}(\langle U_{\langle\nu\rangle} \mid \nu < \kappa\rangle)$  will have the length  $\kappa_1 := i_{\langle\rangle}(\kappa)$ . Let  $U_{\langle[id]_{U_{\langle\rangle}}\rangle}$  be its  $[id]_{U_{\langle\rangle}}$  ultrafilter in  $M_{\langle\rangle}$  over  $i_{\langle\rangle}(\kappa)$ . Consider its ultrapower

$$i_{U_{\langle [id]_{U_{\langle \rangle}}\rangle}}:M_{\langle \rangle}\to M_{\langle [id]_{U_{\langle \rangle}}\rangle}$$

Set

$$i_2 = i_{U_{\langle [id]_{U_{\langle \rangle}} \rangle}} \circ i_{\langle \rangle}.$$

Then

$$i_2: V \to M_{\langle [id]_{U_1} \rangle}$$

Let now

$$ec{
ho},ec{\mu},ec{
ho} \leq [id]_{U_{\langle 
ho}} < \kappa_1 \leq ec{\mu} \leq [id]_{U_{\langle [id]_{U_{\langle 
ho}}}}$$

be generators of  $i_2$  and let

$$W = \{ X \subseteq V_{\kappa} \mid \langle \vec{\rho}, \vec{\mu} \rangle \in i_2(X) \},\$$

i.e. W is an ultrafilter below  $U_2$  generated by  $\langle \vec{\rho}, \vec{\mu} \rangle$ . Consider in  $M_{\langle \rangle}$  an ultrafilter over  $[\kappa_1]^{|\vec{\mu}|}$  in  $M_{\langle \rangle}$ ,

$$W' = \{ Z \subseteq \kappa_1 \mid \vec{\mu} \in i_{U_{\langle [id]_{U_{\alpha}} \rangle}}(Z) \}.$$

Pick the smallest possible set of generators  $\vec{\rho'}$  of  $i_{\langle\rangle}$  such that for some function h on  $[\kappa]^{|\vec{\rho'}|}$  such that  $i_{\langle\rangle}(h)(\vec{\rho'}) = W'$ .

If  $\vec{\rho'} < \kappa$ , then h is a constant function mod  $U_{\langle \rangle}$ . So,  $W' = i_{\langle \rangle}(W'')$ . Let

$$W_{\vec{\rho}} = \{ X \subseteq [\kappa]^{\vec{\rho}} \mid \vec{\rho} \in i_{\langle \rangle}(X) \}.$$

Then  $W_{\vec{\rho}} \times W''$  will be as desired.

Suppose that  $\vec{\rho'} \ge \kappa$ . Then there is  $E \in W_{\vec{\rho'}}$  such that for every  $\nu \in A$ ,  $h(\nu) = W^{\nu}$ , for some  $\kappa$ -complete ultrafilter  $W^{\nu}$  over  $[\kappa]^{|\vec{\mu}|}$ . Also, by the choice of  $\vec{\rho'}$ , h is one to one.

We are ready now to define the tree T of hight 2 which corresponds to W. Set its first level to be a set in  $W_{\vec{\rho} \frown \vec{\rho'}}$  which projection to  $W_{\vec{\rho'}}$  is a subset of E. Now, for every  $\tau \in Lev_1(T)$ , let  $Suc_T(\langle \tau \rangle)$  be a set in  $W_{\nu}$  once  $\tau$  projects to  $\nu$ .

This basically completes the case of  $A \subseteq \kappa$ .  $\Box$ 

## 3 Larger sets, few generators.

We continue the argument of the previous section.

Suppose now that  $A \subseteq \kappa^+$ .

Assume that  $\kappa$  changes its cofinality already in  $V[A \cap \kappa]$ . Just otherwise, working in V[A], we can rearrange A in order to make the above happen.

Note that at least starting from V of the form  $L[V_{\kappa}, U]$ , it is impossible that in each  $V[A \cap \alpha] \kappa$  is regular and it changes its cofinality only in V[A]. The standard argument for  $2^{\kappa} = \kappa^+$  shows this.

The next construction may be of some interest in this respect.

We will define an iteration of distributive forcing notions of size  $\kappa$  of given in advance length  $\delta < \kappa^+$  of cofinality  $\omega$  or  $\kappa$  in V, such that

- 1.  $\kappa$  remains regular at each intermediate stage of the iteration,
- 2. the full iteration collapses  $\kappa$  to  $\omega$ ,
- 3. the Prikry extension adds  $A \subseteq \delta$  such that
  - (a)  $\kappa$  is singular in V[A],
  - (b) for every  $\alpha < \delta$ ,  $A \cap \alpha$  codes in a very simple way a generic for the iteration up to  $\alpha$ , and so,  $\kappa$  is regular in  $V[A \cap \alpha]$ .

Suppose for simplicity that  $\delta = \kappa$ . We proceed as follows. Let

$$\vec{U} = \langle U(\eta, \delta) \mid \eta \in \operatorname{dom}(\vec{U}), \delta < o^{\vec{U}}(\eta) \rangle$$

be a coherent sequence of ultrafilters such that

- 1.  $\kappa = \max(\operatorname{dom}(\vec{U})),$
- 2.  $o^{\vec{U}}(\kappa) = \kappa \cdot \kappa$ ,
- 3.  $U(\kappa, 0)$  concentrates on  $\eta$ 's which are  $\eta^+$ -supercompact.<sup>1</sup>

Now we iterate in Backward Easton way the forcings which change cofinalities below  $\kappa$  according to  $o^{\vec{U}}$  and also on a set of  $\eta$ 's of  $U(\kappa, 0)$  measure one, we change both cofinalities of  $\eta$  and  $\eta^+$  to  $\omega$  using the  $\eta^+$ -supercompactness of  $\eta$ . We refer to [2] for this type of iteration. Now, for every  $\alpha < \kappa$ , let

$$S_{\alpha} = \{\eta < \kappa \mid o^{U}(\eta) \in [\kappa \cdot \alpha, \kappa \cdot (\alpha + 1))\}.$$

Set

$$S_{-1} = \{ \eta < \kappa \mid \eta \notin \operatorname{dom}(U) \}.$$

Let G be a generic. Then, by  $\kappa$ -c.c. each  $S_{\alpha}$  will be stationary and fat. Our main interest will be in the extension  $\mathcal{U} := \overline{U}(\kappa, 0)$  of  $U(\kappa, 0)$ .

Claim 1 In  $V[G]^{P(\mathcal{U})}$ , for every  $\alpha < \kappa$ , there is  $C_{\alpha}$  such that  $C_{\alpha}$  is a club in V[G] generic over V[G] for the natural forcing of adding a club that turns all  $S_{\beta}, \beta \leq \alpha$  into non-stationary and leaves all  $S_{\beta}$ 's with  $\beta > \alpha$  stationary.

<sup>&</sup>lt;sup>1</sup>It will work with  $\eta^+$ -strongly compact cardinal or, even, with  $\eta$ -compact cardinal instead.

*Proof.* Such forcing is distributive of size  $\kappa$ , so using the supercompact part of the iteration, it is not hard to construct such  $C_{\alpha}$ 's.

 $\Box$  of the claim.

Now set

$$A_{\alpha} = \kappa \cdot \alpha \cup \{ \kappa \cdot \alpha + \xi \mid \xi \in C_{\alpha} \},\$$

for every  $\alpha < \kappa$ . Set

$$A = \bigcup_{\alpha < \kappa} A_{\alpha}$$

**Claim 2**  $\kappa$  is regular in  $V[A \cap \alpha]$  for every  $\alpha < \kappa$ .

*Proof.* Just  $A \cap \alpha$  is a generic (after the obvious decoding) for a  $\kappa$ -distributive forcing. square of the claim.

**Claim 3**  $\kappa$  has cofinality  $\omega$  in V[A].

*Proof.* Suppose otherwise. Let S be a stationary subset of  $\kappa$ . Define a regressive function f on S as follows:

$$f(\nu) = 0$$
, if  $\nu \notin \operatorname{dom}(U)$ ,

 $f(\nu) = \alpha$ , if  $\nu \in \operatorname{dom}(\vec{U})$  and for some  $\mu < \kappa, o(\nu) = \kappa \cdot \alpha + \mu$ .

It is a regressive function since there is no  $\eta < \kappa$  with  $o^{\vec{U}}(\eta) = \eta \cdot \eta$ . Then there are  $S' \subseteq S$  stationary and  $\alpha' < \kappa$  such that for every  $\nu \in S'$ ,  $f(\nu) = \alpha'$ . But this is impossible, since the club  $C_{\alpha'+1}$  is disjoint to S'.

Contrudiction.

 $\Box$  of the claim.

### 3.1 Larger sets, few generators.

Suppose that the number of generators of each  $U_n, n < \omega$  is less than  $\kappa$ , then it is possible to stabilize the  $\omega$ -sequence for  $A \cap \alpha$ 's. Then the continuation is as in [3]. So we obtain the following:

**Theorem 3.1** Suppose that non of the ultrafilters in  $\mathbb{U}$  has more than  $\kappa$ -generators. Let A be a set of ordinals in V[C]. Then the following are equivalent:

1.  $\kappa$  changes its cofinality in V[A];

2. A is equivalent to a Prikry forcing with  $\mathbb{W}$ , for some tree  $\mathbb{W}$  consisting of ultrafilters over  $\kappa$  Rudin-Keisler below some of those from  $\mathbb{U}$ .

In view of [4], in order to have  $\kappa$  or more than  $\kappa$ -many generators, the strength  $\kappa = \sup(\{o(\beta) \mid \beta < \kappa\})$  is needed. So, we have:

**Theorem 3.2** Suppose that there is no inner model in which  $\kappa = \sup(\{o(\beta) \mid \beta < \kappa\})$ . Let A be a set of ordinals in V[C]. Then the following are equivalent:

- 1.  $\kappa$  changes its cofinality in V[A];
- 2. A is equivalent to a Prikry forcing with  $\mathbb{W}$ , for some tree  $\mathbb{W}$  consisting of ultrafilters over  $\kappa$  Rudin-Keisler below some of those from  $\mathbb{U}$ .

### 3.2 Larger sets, at least $\kappa$ -many generators.

Each  $A \cap \alpha$ , for  $\alpha < \kappa^+$  is equivalent to some subforcing of  $P(\mathbb{U})$ . If this subforcings stabilize, then the arguments of [3] apply.

Assume that this does not happen.

We deal with a special, but typical case of such situation. Suppose for simplicity that we have a single  $\kappa$ -complete ultrafilter  $\mathcal{U}$  over  $\kappa$  instead of  $\mathbb{U}$ .

Let  $\langle \rho_{\alpha} \mid \alpha < \kappa \rangle$  be increasing sequence of generators of  $\mathcal{U}$  such that the ultrafilters  $\mathcal{U}_{\rho_{\alpha}} := \{X \subseteq \kappa \mid \rho_{\alpha} \in i_{\mathcal{U}}(X)\}$  is Rudin-Keisler increasing.

Suppose that  $\kappa = \rho_0$ , i.e.  $\mathcal{U}_{\rho_0}$  is the smallest normal measure.

Assume that its Prikry sequence  $\langle \kappa_n^{nor} \mid n < \omega \rangle$  appears in  $V[A \cap \kappa]$ .

Finally, the forcing equivalent to  $A \cap \alpha, \kappa \leq \alpha < \kappa^+$ , is determined by a function  $f_\alpha : \kappa \to \kappa, f_\alpha \in V$  as follows:

It is a tree Prikry forcing with trees T such that

- 1.  $Lev_0(T) \in \mathcal{U}_{\rho_{f_\alpha(0)}},$
- 2. if  $\langle \nu_1, ..., \nu_n \rangle \in T$ , then  $Suc_T(\langle \nu_1, ..., \nu_n \rangle) \in \mathcal{U}_{\rho_{f_\alpha}(\nu_n^{nor})}$ , where  $\nu_n^{nor}$  is the projection of  $\nu_n$  to the least normal measure.

If  $\alpha < \beta < \kappa^+$ , then  $A \cap \alpha$  is in  $V[A \cap \beta]$ . So the forcing equivalent to  $A \cap \alpha$  is a subforcing of the forcing equivalent to  $A \cap \beta$ .

We assume that just  $f_{\alpha} < f_{\beta} \mod \mathcal{U}_{\kappa}$ .

Now, the exact upper bound of

$$\langle \langle f_{\alpha}(\kappa_n) \mid n < \omega \rangle \alpha < \kappa^+ \rangle$$

is some  $\langle \lambda_n \mid n < \omega \rangle$  which corresponds to non-generators or to generators say of normal measure (measures).

This eliminates the possibility that there is an obvious subforcing equivalent to A. From here the case of  $\kappa$ -generators is handled as those with  $\kappa^+$ -generators.

### 3.3 Larger sets, at least $\kappa^+$ -many generators.

Assume, so that  $A \cap \alpha$ 's never stabilize, and hence, the number of generators of  $U_n$ 's is above  $\kappa$ .

Let  $\alpha, \kappa \leq \alpha < \kappa^+$ . We first attach to  $A \cap \alpha$  an  $\omega$ -sequence  $\langle \eta_n^{\alpha} \mid n < \omega \rangle$  in the following canonical fashion:

first use the least in some fixed in advance well ordering of a large enough portion of V map  $r_{\alpha} : \alpha \leftrightarrow \kappa$ . Then consider  $A_{\alpha} = r_{\alpha}"A \cap \alpha$ . Now use the initial sequence  $\langle \beta_n \mid n < \omega \rangle$  to code  $A_{\alpha}$  into an  $\omega$ -sequence, as it was done for subsets of  $\kappa$  in the beginning of the proof. Set  $\langle \eta_n^{\alpha} \mid n < \omega \rangle$  to be such sequence.

Then we have

$$V[\langle \beta_n \mid n < \omega \rangle, A_\alpha] = V[\langle \eta_n^\alpha \mid n < \omega \rangle].$$

Consider now

$$\vec{\eta} = \langle \langle \eta_n^{\alpha} \mid n < \omega \rangle \mid \kappa \le \alpha < \kappa^+ \rangle.$$

Clearly, we have

$$V[A] \supseteq V[\vec{\eta}] \supseteq \bigcup_{\alpha < \kappa^+} V[A_\alpha],$$

since the definition of  $\vec{\eta}$  carried out inside V[A].

Note also that for every  $n_0 < \omega, X \subseteq \kappa^+, X \in V[A]$  unbounded we have

$$V[\langle \langle \eta_n^{\alpha} \mid n_0 \le n < \omega \rangle \mid \kappa \le \alpha \in X \rangle] \supseteq \bigcup_{\alpha < \kappa^+} V[A_{\alpha}].$$

Now, in V[C], for every  $\alpha < \kappa^+$ , there is  $n(\alpha)$ , such that  $\langle C(n) \mid n(\alpha) \leq n < \omega \rangle$  projects onto  $\langle \eta_n^{\alpha} \mid n(\alpha) \leq n < \omega \rangle$ , by the corresponding projections of  $U_n$ 's.

Find  $n_0 < \omega$ ,  $X \subseteq \kappa^+$  stationary such that for every  $\alpha \in X$  we have  $n(\alpha) = n_0$ .

Assume that there is  $X^* \subseteq X, |X^*| = \kappa^+$  and  $X^* \in V[A]$ . By [6], it is consistent to have such  $X^*$  already in V.

Return back to V[A]. Then the following holds there:

for every  $n \ge n_0$ , there is  $\xi_n < \kappa$  such that for every  $\alpha \in X^*$ 

$$\pi_{\alpha}(\xi_n) = \eta_n^{\alpha}$$

where  $\pi_{\alpha}$  is the canonical projection to the sequence (i.e. to the measures of)  $\langle \eta_n^{\alpha} | n < \omega \rangle$ . Then

$$\bigcup_{\gamma < \kappa^+} V[\langle \beta_n \mid n < \omega \rangle, A \cap \gamma] \subseteq V[\langle \xi_n \mid n_0 \le n < \omega \rangle] \subseteq V[A].$$

If  $V[\langle \xi_n \mid n_0 \leq n < \omega \rangle] \neq V[A]$ , then we proceed as in [3] and derive a contradiction.

So, we have the following conclusion:

**Theorem 3.3** Let A be a set of ordinals in V[C] of size  $\kappa^+$ . Assume that for every  $X \subseteq \kappa^+, |X| = \kappa^+$ , in V[C], there is  $X^* \subseteq X, |X^*| = \kappa^+$  and  $X^* \in V[A]$ .

Then the following are equivalent:

- 1.  $\kappa$  changes its cofinality in V[A];
- 2. A is equivalent to a Prikry forcing with  $\mathbb{W}$ , for some tree  $\mathbb{W}$  consisting of ultrafilters over  $\kappa$  Rudin-Keisler below some of those from  $\mathbb{U}$ .

Let us continue further without the assumption of 3.3. Consider the sequence

$$\vec{\eta} = \left\langle \left\langle \eta_n^{\alpha} \mid n < \omega \right\rangle \mid \kappa \le \alpha < \kappa^+ \right\rangle$$

defined above. By the Shelah Trichotomy Theorem [7], it has an exact upper bound. Let  $\bar{\eta}^* := \langle \eta^*_n \mid n < \omega \rangle$  be such a bound in V[A].

Note that probably in V[C] the exact upper bound for  $\vec{\eta}$  is different (smaller). Now, if  $A \in V[\vec{\eta}^*]$  or  $A \in V[\vec{\eta}^*, B]$  for a set B of size  $\kappa$ , then we are done.

Let us describe particular cases when this situation occurs.

Suppose the following:

W is a  $\kappa$ -complete ultrafilter over  $\kappa$  which has among its generators the following increasing sequence  $\langle \theta_{\alpha} \mid \alpha < \kappa^+ \rangle$  with the property that if  $\theta := \bigcup_{\alpha < \kappa^+} \theta_{\alpha}$ , then in the ultrapower  $N_W$ of V by W there is Z of size  $\kappa^+$  there such that  $Z \supseteq \{\theta_{\alpha} \mid \alpha < \kappa^+\}$ .

It is note hard to arrange this type situation using a  $(\kappa, \kappa^{++})$ -extender, etc.

Force now with P(W). Then the Prikry sequence  $\vec{\theta}$  for  $\theta$  will be the exact upper bound of the Prikry sequences  $\vec{\theta}_{\alpha}$  for  $\theta_{\alpha}$ 's. In addition, using the canonical functions it is easy to see that each  $\vec{\theta}_{\alpha}$  is in  $V[\vec{\theta}]$ .

The same phenomenon holds once, for example,  $2^{\kappa} = \kappa^{++}$  and  $\kappa^{+}$  above is replaced by  $\kappa^{++}$ . Only instead of the canonical functions, we use those that represent ordinals below  $\kappa^{++}$  in the ultrapower by the normal measure of W. Let us sketch now two forcing construction below such that in the first we have an exact upper bound (in V[C]) for  $\kappa^+$ -many Prikry sequences which catches all of them and without the covering property in the ultrapower.

In the second the exact upper bound (in V[C]) for  $\kappa^+$ -many Prikry sequences does not catch any of them.

### The first construction.

Start with a GCH model with an increasing Rudin - Keisler sequence  $\langle W_{\alpha} \mid \alpha < \kappa^+ \rangle$  of ultrafilters over  $\kappa$ . Assume that  $W_0$  is a normal one.

Let  $i_0 : V \to N_0$  be the elementary embedding by  $W_0$ ,  $i : V \to N$  the elementary embedding into the direct limit of  $\langle W_\alpha | \alpha < \kappa^+ \rangle$ .

Denote by  $k_0: N_0 \to N$  the canonical embedding.

Take additional ultrapower. Apply  $i_0(W_0)$  to  $N_0$  and  $i(W_0)$  to N.

Let  $i_0^1: V \to N_0^1$  be the result of the first and  $i^1: V \to N^1$  of the second. Denote by  $k_0^1$  the obvious embedding of  $N_0^1$  to  $N^1$ .

Now, force (with preparations below  $G_{<\kappa}$ ) Cohen functions  $g_{\xi} : \kappa \to \kappa, \xi < \kappa^+$ . Let  $g := \langle g_{\xi} | \xi < \kappa^+ \rangle$ .

We extend  $i_0$  to  $i_0^*: V[G_{<\kappa}, g] \to N_0[G_{<\kappa}, g, G_{[\kappa^+, i_0(\kappa)]}]$ . Next, extend i and k. So, we will have

$$i^*: V[G_{<\kappa}, g] \to N[[G_{<\kappa}, g, G_{[\kappa^+, i_0(\kappa)]}, G_{[i_0(\kappa), i(\kappa)]}],$$
  
$$k^*: N_0[G_{<\kappa}, g, G_{[\kappa^+, i_0(\kappa)]}] \to N[[G_{<\kappa}, g, G_{[\kappa^+, i_0(\kappa)]}, G_{[i_0(\kappa), i(\kappa)]}]$$

Now deal with the additional ultrapowers. We extend first  $i_0^1$  to

$$i_0^{1*}: V[G_{<\kappa}, g] \to N_0^1[G_{<\kappa}, g, G_{[\kappa^+, i_0(\kappa)]}, G_{[i_0(\kappa), i_0^1(\kappa)]}].$$

Then use  $k_0^1$  and the point wise image of  $G_{[i_0(\kappa),i_0^1(\kappa)]}$  to generate  $N[[G_{<\kappa}, g, G_{[\kappa^+,i_0(\kappa)]}, G_{[i_0(\kappa),i(\kappa)]}]$ -generic set in the interval  $[i(\kappa), i^1(\kappa)]$ . So we will have an extension of  $i^1$ :

$$i^{1*}: V[G_{<\kappa}, g] \to N[[G_{<\kappa}, g, G_{[\kappa^+, i_0(\kappa)]}, G_{[i_0(\kappa), i(\kappa)]}, G_{[i(\kappa), i^1(\kappa)]}]$$

Consider  $i^{1*}(g_{\xi}) : i^1(\kappa) \to i^1(\kappa)$ , for every  $\xi < \kappa^+$ . Change one value of each of this functions by sending  $i(\kappa)$  to the generator of  $W_{\xi}$ . Let j denotes the resulting embedding. Consider

$$U = \{ X \subseteq \kappa \mid i(\kappa) \in j(X) \}.$$

Force with P(U). The Prikry sequence for U will be the exact upper bound for Prikry sequence of extensions of  $W_{\xi}$ 's and using  $g_{\xi}$ 's one obtains each of them from those of U.

#### The second construction.

Let us modify the first construction a little.

Thus, instead of one additional ultrapower, we take now two. I.e. apply  $i_0^1(W_0)$  to  $N_0^1$  and  $i^1(W_0)$  to  $N^1$ .

Let  $i_0^2: V \to N_0^2$  be the result of the first and  $i^2: V \to N^2$  of the second.

Then we proceed as in the first example - add generic Cohen function and extend the embeddings.

Only at the final stage, let us change one value of each of the Cohen functions by sending  $i^{1}(\kappa)$  (instead of  $i(\kappa)$ ) to the generator of  $W_{\xi}$ . Let j' denotes the resulting embedding. Consider

$$U' = \{ X \subseteq \kappa \mid i^1(\kappa) \in j'(X) \}$$

and

$$U = \{ X \subseteq \kappa \mid i(\kappa) \in j'(X) \}.$$

Force with P(U'). The Prikry sequence for U (not the main one for U') will be the exact upper bound for Prikry sequence of extensions of  $W_{\xi}$ 's. However, now we will be unable to reconstruct the Prikry sequences of extensions of  $W_{\xi}$ 's from the Prikry sequence for U.

The reason is that due to our particular extension of the initial embeddings, U is Rudin-Keisler equivalent to the extension of  $W_0$  which strictly below each of the extensions of  $W_{\xi}, 0 < \xi < \kappa^+$ .

#### Back to the argument.

In V, for every  $\alpha < \kappa^+$ , there are  $n_\alpha < \omega$  and  $T_\alpha$  such that for every  $t \in T_\alpha$  of the length  $n_\alpha$  we have

$$\langle t, T_{\alpha} \rangle \Vdash \forall n \ge n_{\alpha}(\pi_{\alpha}(C(n)) = \eta_{n}^{\alpha}).$$

There will be a set  $Z \subseteq \kappa^+$  consisting of  $\kappa^+$ -many  $\alpha$ 's with same  $n_{\alpha}$ . Suppose for simplicity that this constant value is just 0.

Our assumption is that  $V[A] \neq V[C]$ . Consider  $P(\mathbb{U})/A$ .

So it is a non-trivial forcing (over V[A]).

Then we will have conditions  $\langle t,T\rangle \in P(\mathbb{U})/A$  such that for some  $\nu \neq \nu'$ ,

$$\langle t^{\frown}\nu, T_{t^{\frown}\nu} \rangle \in P(\mathbb{U})/A, \langle t^{\frown}\nu', T_{t^{\frown}\nu'} \rangle \in P(\mathbb{U})/A,$$
$$\langle t^{\frown}\nu, T_{t^{\frown}\nu} \rangle \geq \langle t, T \rangle, \langle t^{\frown}\nu', T_{t^{\frown}\nu'} \rangle \geq \langle t, T \rangle.$$

Note that  $\nu^{nor} = {\nu'}^{nor}$ , where  $\xi^{nor}$  is the projection of  $\xi$  to the least normal measure of the corresponding level. Just  $\langle C(n)^{nor} | n < \omega \rangle \in V[A]$ .

Suppose for simplicity that t is just the empty sequence.

Now back in V, for almost all  $\nu < \kappa$  there will be a name  $\underline{x}_{\nu}$  and a condition  $p_{\nu} = \langle \langle \nu \rangle, R_{\nu} \rangle$  such that

$$p_{\nu} \Vdash x_{\nu}$$
 is the set of all  $\nu'$ 

as above (i.e. the set of all possible replacements of  $\nu$  which do not effect V[A]).

Note that each  $x_{\nu} \in V[A]$ , since it is just definable there. Also, this are subsets of  $\kappa$ , hence there is a single Prikry sequence in V[A] which adds all of them.

Suppose for a moment that  $x_{\nu}$ 's are in V, as well as the function  $\nu \mapsto x_{\nu}$ . Define a projection map

$$\nu \mapsto \min(x_{\nu}).$$

So the Prikry sequence for the projection will be in V[A], since the corresponding forcing over A will be trivial.

Assuming that there is no largest Prikry sequence in V[A] (i.e. one that catches every initial segment of A), we will have it below a final segment of sequences of V[A].

Now pick two elements  $\alpha < \beta$  of Z from this final segment. Shrink  $T_{\alpha}$  and  $T_{\beta}$  if necessary. For every  $\gamma < \alpha$  there will be  $\nu \neq \nu'$  such that  $\pi_{\gamma}(\nu) = \pi_{\gamma}(\nu')$  and  $\pi_{\alpha}(\nu) = \pi_{\alpha}(\nu')$ , but  $\pi_{\beta}(\nu) \neq \pi_{\beta}(\nu')$ . Which is impossible. The existence of such  $\nu, \nu'$  follows due to the fact that the ultrafilters generated by  $\gamma$  and  $\alpha$  are strictly below (in R-K order) the ultrafilter generated by  $\beta$ . So, in every set of measure one for  $\beta$  there will be elements like  $\nu, \nu'$ .

In the general case, i.e. once  $x_{\nu}$ 's are not in V, we will use the same idea. Proceed as follows: extend first  $p_{\nu}$  to  $p_{\nu}^* = \langle \langle \nu \rangle, R_{\nu}^* \rangle$  such that for some  $y_{\nu} \subseteq \nu$ ,

$$p_{\nu}^* \Vdash y_{\nu} = x_{\nu} \cap \nu$$

Claim 4 Let  $\rho \in y_{\nu}$ . Then for every  $\alpha < \kappa^+, n < \omega, \xi < \kappa, r, R \subseteq R^*_{\nu}$ ,

$$\langle \langle \nu \rangle^{\frown} r, R \rangle \Vdash \underset{\sim}{\eta}_{n}^{\alpha} = \xi \text{ iff } \langle \langle \rho \rangle^{\frown} r, R \rangle \Vdash \underset{\sim}{\eta}_{n}^{\alpha} = \xi,$$

*Proof.* Suppose first that  $\langle \langle \nu \rangle^{\frown} r, R \rangle \Vdash \underset{\alpha}{\eta}_{n}^{\alpha} = \xi$ . If  $\langle \langle \rho \rangle^{\frown} r, R \rangle \not\vDash \underset{\alpha}{\eta}_{n}^{\alpha} = \xi$ , then for some r', R' with  $\langle \langle \rho \rangle^{\frown} r^{\frown} r', R' \rangle \geq \langle \langle \rho \rangle^{\frown} r, R \rangle$  and  $\xi' \neq \xi$ ,

$$\langle \langle \rho \rangle^{\frown} r^{\frown} r', R' \rangle \Vdash \eta_n^{\alpha} = \xi'.$$

Clearly,  $\langle \langle \nu \rangle^{\frown} r^{\frown} r', R' \rangle \ge \langle \langle \nu \rangle^{\frown} r, R \rangle$ . So,

$$\langle \langle \nu \rangle^{\frown} r^{\frown} r', R' \rangle \Vdash \underset{\frown}{\eta}_{n}^{\alpha} = \xi.$$

But,  $\rho \in y_{\nu}$ , hence the value of  $\chi_n^{\alpha}$  cannot be effected by replacing  $\nu$  with  $\rho$ . Contradiction.

The opposite direction is similar.

 $\Box$  of the claim.

Define a projection map

$$\nu \mapsto \min(y_{\nu}).$$

Note that  $\rho \in y_{\nu} \cap y_{\mu}$ , for some  $\nu, \mu$ , then for every  $\alpha < \kappa^+, n < \omega, \xi < \kappa, r, R \subseteq R^*_{\nu} \cap R^*_{\mu}$ ,

$$(*)\langle\langle\nu\rangle^{\frown}r,R\rangle\Vdash\underbrace{\eta}_{n}^{\alpha}=\xi \text{ iff } \langle\langle\rho\rangle^{\frown}r,R\rangle\Vdash\underbrace{\eta}_{n}^{\alpha}=\xi \text{ iff } \langle\langle\mu\rangle^{\frown}r,R\rangle\Vdash\underbrace{\eta}_{n}^{\alpha}=\xi.$$

Again the Prikry sequence for the projection will be in V[A], since the corresponding forcing over A will be trivial.

Assuming that there is no largest Prikry sequence in V[A] (i.e. one that catches every initial segment of A), we will have it below a final segment of sequences of V[A].

Denote the generator of this projection by  $\gamma$ .

Let now  $\beta$  be an element of Z above  $\gamma$ .

Find some  $\nu \neq \nu'$  such that

- 1.  $\nu, \mu \in T_{\beta}$ ,
- 2.  $\pi_{\gamma}(\nu) = \pi_{\gamma}(\mu)$ ,
- 3.  $\pi_{\beta}(\nu) \neq \pi_{\beta}(\mu)$ .

There must be such  $\nu, \mu$ , since the ultrafilter generated by  $\beta$  is strictly above those of  $\gamma$ , so in each set of measure one there will be such elements.

Consider now two conditions  $\langle \langle \nu \rangle, T_{\beta} \cap R_{\nu}^* \rangle$  and  $\langle \langle \mu \rangle, T_{\beta} \cap R_{\mu}^* \rangle$ . Then

$$\min(y_{\nu}) = \pi_{\gamma}(\nu) = \pi_{\gamma}(\mu) = \min(y_{\mu})$$

It follows by (\*) above that for every  $\alpha < \kappa^+, n < \omega, \xi < \kappa, R \subseteq R^*_{\nu} \cap R^*_{\mu}$ ,

$$\langle \langle \nu \rangle, R \rangle \Vdash \underbrace{\eta}_n^{\alpha} = \xi \text{ iff } \langle \langle \mu \rangle, R \rangle \Vdash \underbrace{\eta}_n^{\alpha} = \xi.$$

In particular,

$$\langle \langle \nu \rangle, T_{\beta} \cap R_{\nu}^* \cap R_{\mu}^* \rangle \Vdash \underbrace{\eta}_n^{\beta} = \xi \text{ iff } \langle \langle \mu \rangle, T_{\beta} \cap R_{\nu}^* \cap R_{\mu}^* \rangle \Vdash \underbrace{\eta}_n^{\beta} = \xi.$$

Take now n = 0, then  $\nu, \mu \in T_{\beta}$  implies that

$$\langle \langle \nu \rangle, T_{\beta} \rangle \Vdash \underbrace{\eta}_{0}^{\beta} = \pi_{\beta}(\nu) \text{ and } \langle \langle \mu \rangle, T_{\beta} \rangle \Vdash \underbrace{\eta}_{0}^{\beta} = \pi_{\beta}(\mu).$$

However, we have  $\pi_{\beta}(\nu) \neq \pi_{\beta}(\mu)$ . Which is impossible.

Contradiction to the assumption that  $\gamma$  is below of  $\alpha's$  less than  $\kappa^+$ .

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