

More ultrafilters with Galvin property

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Abstract

We present a method of constructing models with Q -point ultrafilters which have a Galvin property but are not sums of P-points. This answers a question of Tom Benhamou [1].

1 Some general facts

Our basic setting will be the following:

Let W be a κ -complete ultrafilter over κ , $U = \{X \subseteq \kappa \mid \kappa \in j_W(X)\}$ and $k : M_U \rightarrow M_W$ the corresponding embedding. Let $\kappa_1 = j_U(\kappa)$. Suppose that $\kappa_1 = [id]_W$ and $\kappa_1 = \text{crit}(k)$.

The following lemma is well known:

Lemma 1.1 $W \supseteq \text{Cub}_\kappa$.

Lemma 1.2 Suppose that $\{A_\alpha \mid \alpha < \kappa\} \subseteq W$ and $\bigcap_{\alpha < \kappa} A_\alpha \in W$. Then for every $B \in j_U(\{A_\alpha \mid \alpha < \kappa\})$, $\kappa_1 \in k(B)$.

Proof. Follows from elementarity and since $j_W = k \circ j_U$.

□

Lemma 1.3 For every $B \in j_U''W$, $\kappa_1 \in k(B)$.

Proof. Let $B = j_U(A)$, for some $A \in W$. Then

$$\kappa_1 \in j_W(A) = k(j_U(A)) = k(B).$$

□

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Lemma 1.4 *There is $B \in j_U(W)$ such that $\kappa_1 \notin k(B)$.*

Proof. Let $f : \kappa \rightarrow \kappa$ be a function that represents κ in M_W , i.e. $j_W(f)(\kappa_1) = \kappa$. It is a regressive function which is not constant on a set in W . Then, for every $\eta < \kappa$,

$$A_\eta = \{\nu \in X \mid f(\nu) \neq \eta\} \in W.$$

Let

$$\langle A_\eta^1 \mid \eta < \kappa_1 \rangle = k(\langle A_\eta \mid \eta < \kappa \rangle).$$

Then, $\kappa_1 \notin k(A_\kappa^1)$, since $j_W(f)(\kappa_1) = \kappa$.

□

The next lemma will be crucial for our further constructions.

Lemma 1.5 *Suppose that $\{A_\alpha \mid \alpha < \kappa^+\}, \{B_\alpha \mid \alpha < \kappa^+\} \subseteq W$ are such that*

1. $\{A_\alpha \mid \alpha < \kappa^+\} \subseteq U$;
2. for every $A \in j_U(\{A_\alpha \mid \alpha < \kappa^+\})$, $\kappa_1 \in k(A)$;
3. for every $B \in j_U(\{B_\alpha \mid \alpha < \kappa^+\})$, $\kappa_1 \in k(B)$.

Then there is $I \subseteq \kappa^+$, $|I| = \kappa$ such that

1. $\bigcap_{\alpha \in I} A_\alpha \in U \cap W$,
2. $\bigcap_{\alpha \in I} B_\alpha \in W$.

Proof. We repeat basically the Galvin proof simultaneously for $\{A_\alpha \mid \alpha < \kappa^+\}$ and $\{B_\alpha \mid \alpha < \kappa^+\}$.

Thus, define

$$H_{\alpha\xi} = \{\beta < \kappa^+ \mid A_\alpha \cap \xi = A_\beta \cap \xi \text{ and } B_\alpha \cap \xi = B_\beta \cap \xi\},$$

for every $\alpha < \kappa^+, \xi < \kappa$.

Then, as in the Galvin proof, there will be $\alpha^* < \kappa^+$ such that for every $\xi < \kappa$, $|H_{\alpha^*\xi}| = \kappa^+$.

Define by induction a sequence $\langle \eta_\xi \mid \xi < \kappa \rangle$ such that

$$\eta_\xi \in H_{\alpha^*\xi+1} \setminus \{\eta_{\xi'} \mid \xi' < \xi\}.$$

Set $I = \{\eta_\xi \mid \xi < \kappa\}$. Let us argue that such I is as desired.

Apply j_U and continue the inductive definition of the sequence $\langle \eta_\xi \mid \xi < \kappa \rangle$ in M_U . Let $\langle \eta_\xi^1 \mid \xi < \kappa_1 \rangle$ be the resulting sequence. Denote $j_U(\{A_\alpha \mid \alpha < \kappa^+\})$ by $\{A_\alpha^1 \mid \alpha < j_U(\kappa^+)\}$ and $j_U(\{B_\alpha \mid \alpha < \kappa^+\})$ by $\{B_\alpha^1 \mid \alpha < j_U(\kappa^+)\}$.

Then, by the first assumptions of the lemma, $\kappa \in A_\alpha^1$, for every $\alpha \in j_U''\kappa^+$. In particular, $\kappa \in A_{\eta_\xi^1}^1$, for every $\xi < \kappa$.

For every $\xi, \kappa \leq \xi < \kappa_1$ we will have $A_{\eta_\xi^1}^1 \cap \xi + 1 = A_{j_U(\alpha^*)}^1 \cap \xi + 1$.

We have, $\kappa \in j_U(A_{\alpha^*})$, and so, $\kappa \in j_U(A_{\alpha^*}) \cap \xi + 1 = A_{\eta_\xi^1}^1 \cap \xi + 1$.

So, $\kappa \in A_{\eta_\xi^1}^1$, for every $\xi < \kappa_1$, and then,

$$\kappa \in \bigcap_{\xi < \kappa_1} A_{\eta_\xi^1}^1 = j_U\left(\bigcap_{\xi < \kappa} A_{\eta_\xi}\right).$$

Hence, $\bigcap_{\xi < \kappa} A_{\eta_\xi} \in U$.

By the second and the third assumptions of the lemma, $\kappa_1 \in k(A_{\eta_\xi^1}^1)$ and $\kappa_1 \in k(B_{\eta_\xi^1}^1)$, for every $\xi < \kappa_1$.

Apply k and continue the inductive definition of the sequence $\langle \eta_\xi^1 \mid \xi < \kappa_1 \rangle$ in M_W . Let $\langle \eta_\xi^2 \mid \xi < \kappa_2 \rangle$ be the resulting sequence. Then for every $\xi, \kappa_1 \leq \xi < \kappa_2$ we will have $A_{\eta_\xi^2}^2 \cap \xi + 1 = A_{j_W(\alpha^*)}^2 \cap \xi + 1$ and $B_{\eta_\xi^2}^2 \cap \xi + 1 = B_{j_W(\alpha^*)}^2 \cap \xi + 1$,

where $\langle A_{\eta_\xi^1}^2 \mid \xi < \kappa_2 \rangle = j_W(\langle A_{\eta_\xi} \mid \xi < \kappa \rangle)$ and $\langle B_{\eta_\xi^1}^2 \mid \xi < \kappa_2 \rangle = j_W(\langle B_{\eta_\xi} \mid \xi < \kappa \rangle)$.

We have $A_{j_W(\alpha^*)}^2 = j_W(A_{\alpha^*})$ and $A_{\alpha^*} \in W$. The same holds with B_{α^*} . Hence, $\kappa_1 \in j_W(A_{\alpha^*})$, and so, $\kappa_1 \in j_W(A_{\alpha^*}) \cap \xi + 1 = A_{\eta_\xi^2}^2 \cap \xi + 1$.

So, $\kappa_1 \in A_{\eta_\xi^2}^2$, for every $\xi < \kappa_2$, and then,

$$\kappa_1 \in \bigcap_{\xi < \kappa_2} A_{\eta_\xi^2}^2 = j_W\left(\bigcap_{\xi < \kappa} A_{\eta_\xi}\right).$$

The same is true with B 's instead of A 's.

Hence, $\bigcap_{\xi < \kappa} A_{\eta_\xi} \in W$ and $\bigcap_{\xi < \kappa} B_{\eta_\xi} \in W$.

□

The next lemma is a slight generalization of 1.5.

Lemma 1.6 *Suppose that $\{A_{\alpha n} \mid \alpha < \kappa^+, n < n^*\}, \{B_{\alpha, m} \mid \alpha < \kappa^+, m < m^*\} \subseteq W$, for some $n^*, m^* < \omega$, are such that, for every $n < n^*, m < m^*$,*

1. $\{A_{\alpha n} \mid \alpha < \kappa^+\} \subseteq U$;

2. for every $A \in j_U(\{A_{\alpha n} \mid \alpha < \kappa^+\})$, $\kappa_1 \in k(A)$;

3. for every $B \in j_U(\{B_{\alpha m} \mid \alpha < \kappa^+\})$, $\kappa_1 \in k(B)$.

Then there is $I \subseteq \kappa^+$, $|I| = \kappa$ such that, for every $n < n^*$, $m < m^*$,

1. $\bigcap_{\alpha \in I} A_{\alpha n} \in U \cap W$,

2. $\bigcap_{\alpha \in I} B_{\alpha m} \in W$.

Proof. Similar to those of 1.5 only define $H_{\alpha\xi}$ as follows:

$$H_{\alpha\xi} = \{\beta < \kappa^+ \mid \forall n < n^*(A_{\alpha n} \cap \xi = A_{\beta n} \cap \xi) \text{ and } \forall m < m^*(B_{\alpha m} \cap \xi = B_{\beta m} \cap \xi)\},$$

for every $\alpha < \kappa^+$, $\xi < \kappa$.

□

The next lemma follows from Lemma 1.5.

Lemma 1.7 *Suppose that there are a family $D \subseteq W$ and a normal filter $\mathcal{V} \subseteq W$ such that*

1. *for every $A \in W$ there is $B \in D$ which is contained in $A \bmod \mathcal{V}$,*

2. *for every $C \in j_U(D)$, $\kappa_1 \in k(C)$.*

Then W has the Galvin property.

2 Construction

Assume GCH and let κ be a measurable cardinal. Let U be a normal ultrafilter over κ .

Define an Easton support iteration

$$\langle P_\alpha, \mathcal{Q}_\beta \mid \alpha \leq \kappa + 1, \beta \leq \kappa \rangle.$$

Let \mathcal{Q}_β be trivial unless β is an inaccessible cardinal.

If $\beta < \kappa$ is an inaccessible cardinal then set $\mathcal{Q}_\beta = \text{Cohen}(\beta)$.

Let G be generic subset of $P_{\kappa+1}$. The embedding $j_U : V \rightarrow M_U$ extends to $j^* : V[G] \rightarrow M_U[G^*]$ in a standard fashion.

Set

$$U^* = \{X \subseteq \kappa \mid \kappa \in j^*(X)\}.$$

Then

1. $U^* \supseteq U$,
2. $j_{U^*} = j^*$
3. $M_{U^*} = M_U[G^*]$.

We have $j_U(P) = P_{\kappa+1} * P_{(\kappa, j_U(\kappa))}$.

Consider now $U \times U$. We have that Denote $j_U(\kappa)$ by κ_1 and $j_{U \times U}(\kappa) = j_{j_U(U)}(\kappa_1)$ by κ_2 . Then $j_{j_U(U)} : M_U \rightarrow M_{U \times U}$ and κ_1 is its critical point.

Extend, in $V[G]$, $j_{U \times U}$ to $j^{**} : V[G] \rightarrow M_{U \times U}[G^{**}]$ as follows:

Set $G^{**} \cap P_{\kappa_1+1} = G^*$. Continue to define $G^{**} \cap P_{(\kappa_1, j_{U \times U}(\kappa))}$ in the standard fashion in order to insure that j^{**} is $j_{U^* \times U^*}$.

The main issue will be to define a Cohen function f_{κ_2} , where $\kappa_2 = j_{U \times U}(\kappa)$.

Set $f_{\kappa_2} \upharpoonright \kappa_1 = f_{\kappa_1}$. Also, set $f_{\kappa_2}(\kappa_1) = \kappa$. This will insure U^* will be the normal ultrafilter Rudin-Keisler below the one which we will define.

Namely, define the continuation of f_{κ_2} arbitrary, but meeting the relevant dense sets.

Then, in $V[G]$, let

$$W = \{X \subseteq \kappa \mid \kappa_1 \in j^{**}(X)\}.$$

Then W is a κ -complete ultrafilter over κ and $j_W = j^{**}$.

Also, $k = j_{j_U(U)} : M_U \rightarrow M_{U \times U}$ extends to $k^* : M_U[G^*] \rightarrow M_{U \times U}[G^{**}]$.

Lemma 2.1 $W \not\geq_{R-K} U^*$.

Proof. This follows since W includes Cub_κ and f_{κ_2} is a regressive function which is not constant mod W . Actually, it projects W to U^* .

□

The main issue thus will be to choose such W which is not a product, but still has a Galvin property $Gal(W, \kappa, \kappa^+)$.

Proceed as follows.

Fix in V an enumeration $\langle \mathcal{D}_i \mid i < \kappa^+ \rangle$ of all dense open subsets of $Cohen(\kappa_2)$ of $M_{U \times U}[G^{**} \cap j_{U \times U}(P_\kappa)]$.

We define a master condition sequence $\langle \mathcal{S}_i \mid i < \kappa^+ \rangle$ as follows:

if $i < \kappa^+$ and \mathcal{S}_i is defined, then let \mathcal{S}_{i+1} be an element of \mathcal{D}_{i+1} which is stronger than \mathcal{S}_i and $\text{dom}(\mathcal{S}_{i+1})$ is of the form $j_{U \times U}(h)(\kappa_1)$, for some $h : \kappa \rightarrow \kappa$, i.e. depends only on the second coordinate. Also require that it strictly includes those of \mathcal{S}_i .

This is possible since for every $g : [\kappa]^2 \rightarrow \kappa$ there is $g' : \kappa \rightarrow \kappa$ such that for every $\alpha < \beta < \kappa$, $g(\alpha, \beta) < g'(\beta)$. Just define $g'(\beta) = \bigcup_{\alpha < \beta} g(\alpha, \beta) + 1$.

If i is a limit ordinal of cofinality $< \kappa$, then set $\underline{s}'_i = \bigcup_{i' < i} \underline{s}_{i'}$ and then let \underline{s}_i be an element of \underline{D}_i which is stronger than \underline{s}'_i and $\text{dom}(\underline{s}_i)$ is of the form $j_{U \times U}(h)(\kappa_1)$, for some $h : \kappa \rightarrow \kappa$, i.e. depends only on the second coordinate.

Finally, let us deal with the main case when i is a limit ordinal of cofinality κ .

We set first $\underline{s}'_i = \bigcup_{i' < i} \underline{s}_{i'}$.

For every $\alpha < i$, pick a function $t_\alpha : \kappa \times \kappa \rightarrow V_\kappa$, $t_\alpha(\mu, \nu) \in \text{Cohen}(\kappa)$, in V , which represents \underline{s}_α in the ultrapower $M_{U \times U}$. Also, we can assume that $\text{dom}(t_\alpha)(\mu, \nu)$ depends only on ν .

We have, for every $\alpha < \beta < i$,

$$\begin{aligned} & \{(\mu, \nu) \in [\kappa]^2 \mid t_{\alpha+1}(\mu, \nu) \upharpoonright \text{dom}(t_\alpha(\mu, \nu)) = t_\alpha(\mu, \nu), \\ & \quad \text{dom}(t_{\alpha+1}(\mu, \nu)) > \text{dom}(t_\alpha(\mu, \nu))\} \in U \times U, \end{aligned}$$

and so,

$$\begin{aligned} & \{\mu < \kappa \mid \{\nu < \kappa \mid t_{\alpha+1}(\mu, \nu) \upharpoonright \text{dom}(t_\alpha(\mu, \nu)) = t_\alpha(\mu, \nu), \\ & \quad \text{dom}(t_{\alpha+1}(\mu, \nu)) > \text{dom}(t_\alpha(\mu, \nu))\} \in U\} \in U. \end{aligned}$$

Using the dependence on the second coordinate only, we may assume that for every $\mu < \kappa$,

$$\{\nu < \kappa \mid t_{\alpha+1}(\mu, \nu) \upharpoonright \text{dom}(t_\alpha(\mu, \nu)) = t_\alpha(\mu, \nu), \text{dom}(t_{\alpha+1}(\mu, \nu)) > \text{dom}(t_\alpha(\mu, \nu))\} \in U.$$

Set $\langle t_\alpha^1 \mid \alpha < \kappa_1 \rangle = j_U(\langle t_\alpha \mid \alpha < \kappa \rangle)$. Then, in M_U , for every $\mu < \kappa_1$,

$$\{\nu < \kappa_1 \mid t_{\alpha+1}^1 \upharpoonright \text{dom}(t_\alpha^1(\mu, \nu)) = t_\alpha^1(\mu, \nu), \text{dom}(t_{\alpha+1}^1(\mu, \nu)) > \text{dom}(t_\alpha^1(\mu, \nu))\} \in j_U(U).$$

In particular, for $\mu = \kappa$,

$$\{\nu < \kappa_1 \mid t_{\alpha+1}^1 \upharpoonright \text{dom}(t_\alpha^1(\kappa, \nu)) = t_\alpha^1(\kappa, \nu), \text{dom}(t_{\alpha+1}^1(\kappa, \nu)) > \text{dom}(t_\alpha^1(\kappa, \nu))\} \in j_U(U).$$

Apply k and move to $M_{U \times U}$. Let $\langle t_\alpha^2 \mid \alpha < \kappa_2 \rangle = k(\langle t_\alpha^1 \mid \alpha < \kappa_1 \rangle)$. Then, in $M_{U \times U}$,

$$t_{\alpha+1}^2(\kappa, \kappa_1) \upharpoonright \text{dom}(t_\alpha^2(\kappa, \kappa_1)) = t_\alpha^2(\kappa, \kappa_1), \text{dom}(t_{\alpha+1}^2(\kappa, \kappa_1)) > \text{dom}(t_\alpha^2(\kappa, \kappa_1)).$$

Consider $\langle t_\alpha^2 \mid \alpha < \kappa_1 \rangle$. They are compatible. Take an upper bound for them in D_i and set it to be s_i .

The above construction allows to satisfy conditions of Lemma 1.5. Thus define

$$B_\alpha = \{(\mu, \nu) \in [\kappa]^2 \mid t_\alpha(\mu, \nu) \in G \cap \text{Cohen}(\kappa)\}.$$

Set $\langle B_\alpha^1 \mid \alpha < \kappa_1 \rangle = j^*(\langle B_\alpha \mid \alpha < \kappa \rangle)$.

Then, by elementarity of j^* ,

$$B_\alpha^1 = \{(\mu, \nu) \in [\kappa_1]^2 \mid t_\alpha^1(\mu, \nu) \in G^* \cap \text{Cohen}(\kappa_1)\}.$$

Then $(\kappa, \kappa_1) \in k(B_\alpha^1)$, for every $\alpha < \kappa_1$.

This completes the definition of the master condition sequence, and so, G^{**} and f_{κ_2} . Define W using it in the usual fashion.

Remember that W not supposed be a sum. However, we think that W as defined above is indeed a sum.

Let assume that f_{κ_2} , and so, G^{**} are in $M_U[G^*]$.

We redefine f_{κ_2} in order to prevent this, but still to keep the Galvin property.

Do the following:

at each limit stage i of cofinality κ such that i is of the form $\delta + \kappa$, for some $\delta \geq \kappa$, we replace the values on $\text{dom}(s_i) \setminus \bigcup_{i' < i} \text{dom}(s_{i'})$ from 1 to 0 and 0 to 1.

The rest is kept unchanged, only in the previous construction of s_i 's we take care that such switches between 0's and 1's still keep conditions in the corresponding dense sets from the list.

Denote the resulting Cohen function by \tilde{f}_{κ_2} .

Lemma 2.2 \tilde{f}_{κ_2} cannot be in $M_U[G^*]$.

Proof. Otherwise, compare \tilde{f}_{κ_2} with f_{κ_2} . It will decode a cofinal in κ_2 sequence of order type κ^+ , which is impossible since the cofinality of κ_2 in M_U is $\kappa_1^+ > \kappa^+$.

□

Let $G^{***} = (G^{**} \cap P_{\kappa_2}) * \tilde{f}_{\kappa_2}$. Define \tilde{W} using G^{***} .

We would like now to argue that \tilde{W} satisfies the conditions of Lemma 1.5, and so the Galvin property.

Let us specify relevant subsets of \tilde{W} .

First we deal with elements of P_{κ_2} .

For every $r \in P_{\kappa_2}$ pick a function $h_r : [\kappa]^2 \rightarrow P_\kappa$ (in V) which represents $r \bmod U \times U$, i.e., $r = (j_{U \times U}(h_r))(\kappa, \kappa_1)$. Set

$$C_r = \{(\mu, \nu) \in [\kappa]^2 \mid h_r(\mu, \nu) \in G \cap P_\kappa\}.$$

Lemma 2.3 $j_{\tilde{W}} \upharpoonright V[G \cap P_\kappa] = j_{U^* \times U^*} \upharpoonright V[G \cap P_\kappa]$ and

$k^* \upharpoonright M_U[G^* \cap P_{\kappa_1}] = k_{\tilde{W}} \upharpoonright M_U[G^* \cap P_{\kappa_1}]$, where $k_{\tilde{W}} : M_{U^*} \rightarrow M_{\tilde{W}}$ is the canonical embedding.

Proof. This holds, since the ultrapowers $M_{U \times U}[G^{**}]$ by $U^* \times U^*$ and $M_{U \times U}[G^{**} \cap P_{\kappa_2}, \tilde{f}_{\kappa_2}]$ by \tilde{W} agree about generic set up to the final step, i.e. where the Cohen function is added to κ_2 .

□

Lemma 2.4 $U^* \cap V[G \cap P_\kappa] = \tilde{W} \cap V[G \cap P_\kappa]$.

Proof. $A \in U^* \cap V[G \cap P_\kappa]$ iff $j_{U^*}(A) \in j_{U^*} \upharpoonright M_U[G^* \cap P_{\kappa_1}]$ iff $\kappa_1 \in k^* \upharpoonright M_U[G^* \cap P_{\kappa_1}](j_{U^*}(A))$ iff $\kappa_1 \in j_{U^* \times U^*} \upharpoonright V[G \cap P_\kappa](A)$. By the previous lemma this is the same as $\kappa_1 \in j_{\tilde{W}} \upharpoonright V[G \cap P_\kappa](A)$. So we are done.

□

The next lemma follows from Lemma 2.4:

Lemma 2.5 *If $A \in \tilde{W} \cap V[G \cap P_\kappa]$, then both κ and κ_1 are in $j_{\tilde{W}}(A)$.*

Lemma 2.6 *If $X \in j_{U^*}(U^* \cap V[G \cap P_\kappa])$, then κ_1 is in $k_{\tilde{W}}(X)$.*

Proof. By elementarity, $X \in j_{U^*}(U^*) \cap M_U[G^* \cap P_{\kappa_1}]$. Then, $\kappa_1 \in k^*(X)$, since $X \in j_{U^*}(U^*)$. By Lemma 2.3, $k^*(X) = k_{\tilde{W}}(X)$, since $X \in M_U[G^* \cap P_{\kappa_1}]$.

□

Lemma 2.7 \tilde{W} satisfies the Galvin property.

Proof. Let $A \in \tilde{W}$ and \underline{A} be a name of it. Consider $x = \|\kappa_1 \in j_{U \times U}(\underline{A}_\alpha)\|$. By the definition of \tilde{W} , there are some $\langle r, s_\alpha \rangle \in (G^{**} \cap P_{\kappa_2}) * \text{Cohen}(\kappa_2)$ (in $M_{U \times U}$) which are stronger than x (in the forcing sense, or alternatively, less than x in the corresponding Boolean algebra), where $\alpha < \kappa^+$ and s_α is from the master condition sequence.

Split r into $\langle r_1, r_2 \rangle$, where $r_1 \in P_{\kappa_1+1}$, $r_2 \in P_{\kappa_2}/P_{\kappa_1+1}$.

Pick in V functions h_{r_1} and h_{r_2} which represent r_1 and r_2 in the ultrapower.

We can assume that h_{r_1} is a function of the first coordinate only and, using $> \kappa_1$ completeness, h_{r_2} is a function of the second coordinate only.

Strengthening if necessary, pick some $i(\alpha) < \kappa^+$ such that

1. $i(\alpha) > \alpha$,
2. $\text{cof}(i(\alpha)) = \kappa$,
3. $i(\alpha)$ is not of the form $\delta + \kappa$, for some $\delta \geq \kappa$,

Then, in particular, $s_{i(\alpha)} \geq s_\alpha$.

Let $B_{i(\alpha)}$ denotes the set in \tilde{W} defined by $s_{i(\alpha)}$, i.e.

$$B_{i(\alpha)} = \{(\mu, \nu) \in [\kappa]^2 \mid t_\alpha(\mu, \nu) \in G \cap \text{Cohen}(\kappa)\}.$$

Let

$$E_{r_1} = \{\mu < \kappa \mid h_{r_1}(\mu) \in G \cap P_\kappa\}$$

and

$$E_{r_2} = \{\nu < \kappa \mid h_{r_2}(\nu) \in G \cap P_{(\nu, \kappa)}\}.$$

Now, there is a set $C'_A \in U \times U$ such that

if $(\mu, \nu) \in C'_A \cap B_{i(\alpha)}$, $\mu \in E_{r_1}$, $\nu \in E_{r_2}$, then $\nu \in A$.

Note that U is a normal ultrafilter in V , so C'_A can be picked to be of the form $[C_A]^2$, for some $C_A \in U$.

Shrink $B_{i(\alpha)}$ to the following set in \tilde{W} :

$$B'_{i(\alpha)} = \{\nu < \kappa \mid (f_\kappa(\nu), \nu) \in B_{i(\alpha)}\}.$$

Set

$$F_A = \{\nu < \kappa \mid f_\kappa(\nu) \in C_A \cap E_{r_1}\}.$$

Clearly, both $B'_{i(\alpha)}$ and F_A are in \tilde{W} .

Note that $U \subseteq \tilde{W}$ and $E_{r_2} \in \tilde{W}$. Hence, $C_A \cap B'_{i(\alpha)} \cap F_A \cap E_{r_2} \in \tilde{W}$. So, if $\nu \in C_A \cap B'_{i(\alpha)} \cap F_A \cap E_{r_2}$, then $\nu \in A$.

We specified sets $C_A, E_{r_1}, E_{r_2}, B_{i(\alpha)}$ for every $A \in \tilde{W}$.

Note that $C_A, E_{r_1}, E_{r_2} \in U^* \cap \tilde{W}$, and so, by Lemma 2.4, $\kappa, \kappa_1 \in j_{\tilde{W}}(C_A)$ and $\kappa, \kappa_1 \in j_{\tilde{W}}(E_{r_1})$.

Denote E_{r_1} by E_{A1} , E_{r_2} by E_{A2} and $B_{i(\alpha)}$ by B_A .

Now, we are ready to show the Galvin property of \tilde{W} .

Let $\{A_\gamma \mid \gamma < \kappa^+\} \subseteq \tilde{W}$. For every $\gamma < \kappa^+$, we pick $C_{A_\gamma}, E_{A_\gamma 1}, E_{A_\gamma 2}, B_{A_\gamma}$, as above. Apply Lemmas 1.5, 1.6 to the families $\{C_{A_\gamma} \mid \gamma < \kappa^+\}$, $\{E_{A_\gamma 1} \mid \gamma < \kappa^+\}$, $\{E_{A_\gamma 2} \mid \gamma < \kappa^+\}$ and $\{B_{A_\gamma} \mid \gamma < \kappa^+\}$.

Then there will be $I \subseteq \kappa^+$, $|I| = \kappa$ such that

1. $\bigcap_{\gamma \in I} C_{A_\gamma} \in U \cap W$,
2. $\bigcap_{\gamma \in I} E_{A_\gamma 1} \in U \cap W$,

3. $\bigcap_{\gamma \in I} E_{A_{\gamma 2}} \in U \cap W$,

4. $\bigcap_{\gamma \in I} B_{A_{\gamma}} \in W$.

Set

$$F = \{\nu < \kappa \mid f_{\kappa}(\nu) \in \bigcap_{\gamma \in I} C_{A_{\gamma}} \cap \bigcap_{\gamma \in I} E_{A_{\gamma 1}}\}.$$

Then for every $\alpha \in I$,

if $\nu \in \bigcap_{\gamma \in I} C_{A_{\gamma}} \cap \bigcap_{\gamma \in I} B_{A_{\gamma}} \cap F \cap \bigcap_{\gamma \in I} E_{A_{\gamma 2}}$, then $\nu \in A_{\alpha}$.

We have $\bigcap_{\gamma \in I} C_{A_{\gamma}} \cap \bigcap_{\gamma \in I} B_{A_{\gamma}} \cap F \cap \bigcap_{\gamma \in I} E_{A_{\gamma 2}} \in \tilde{W}$, so this completes the proof.

□

Remark 2.8 The idea used in the construction above works for variety of other forcing notions. The crucial point was a domination of functions $h(x, y)$ by functions $g(y)$ of the second variable.

3 Additional examples of non-Galvin ultrafilters

We show here that basic forcings over a measurable κ which preserve measurability, add non-Galvin ultrafilters extending Cub_{κ} .

Assume GCH and let κ be a measurable cardinal. Let U be a normal ultrafilter over κ . We will deal with $j_U : V \rightarrow M_U, j_{U \times U} : V \rightarrow M_{U \times U}, j_{j_U(U)} : M_U \rightarrow M_{j_U(U)} = M_{U \times U}$. Denote j_U by j_1 , M_U by M_1 , $j_U(\kappa)$ by κ_1 , $j_{U \times U}$ by j_2 , $M_{U \times U}$ by M_2 , $j_{U \times U}(\kappa) = \kappa_2$ and $j_{j_U(U)}$ by k .

Let

$$\langle P_{\alpha}, Q_{\beta} \mid \alpha \leq \kappa + 1, \beta \leq \kappa \rangle$$

be an Easton support iteration of Cohen forcings $\text{Cohen}(\beta)$ which add a Cohen function $g_{\beta} : \beta \rightarrow 2$ to every regular $\beta \leq \kappa$. Let G be a generic subset of $P_{\kappa+1}$.

Then the embeddings j_1, j_2, k extend to $j_1^* : V[G] \rightarrow M_1[G_1], j_2^* : V[G] \rightarrow M_2[G_2], k^* : M_1[G_1] \rightarrow M_2[G_2]$.

Fix, in V , an increasing cofinal in κ_1 sequence $\langle \eta_{\alpha} \mid \alpha < \kappa^+ \rangle$ and a sequence of functions $\langle f_{\alpha} \mid \alpha < \kappa^+ \rangle$ from κ to κ such that $[f_{\alpha}]_U = \eta_{\alpha}$, for every $\alpha < \kappa^+$.

Now, in $V[G]$, for every $\alpha < \kappa^+$, define

$$A_{\alpha} = \{\nu < \kappa \mid g_{\kappa}(f_{\alpha}(\nu)) = 1\}.$$

Now we would like to define a κ -complete ultrafilter W over κ which extends U, Cub_{κ} and such that the sets $\{A_{\alpha} \mid \alpha < \kappa^+\}$ witness that W is not Galvin.

The argument will be very similar to those of 2.6 of [2].

First we change g_{κ_1} by setting the values on each η_α to 1. Let g'_{κ_1} be the resulting function. Then the choice of η_α 's insure that g'_{κ_1} is still generic over $M_1[G_1 \cap P_{\kappa_1}]$. Denote $G'_1 = G_1 \cap P_{\kappa_1} * g'_{\kappa_1}$ and let $j'_1 : V[G] \rightarrow M_1[G'_1]$ be the corresponding embedding. We will have as a result that $\kappa \in j'_1(A_\alpha)$, for every $\alpha < \kappa^+$.

Apply now k and move to M_2 . k extends naturally to $k' : M_1[G'_1] \rightarrow M_2[G'_2]$.

Let us change same values of g'_{κ_2} .

Let

$$\langle \eta_\gamma^1 \mid \gamma < j_1(\kappa^+) \rangle = j_1(\langle \eta_\gamma \mid \gamma < \kappa^+ \rangle).$$

Then by elementarity, $\langle \eta_\gamma^1 \mid \gamma < j_1(\kappa^+) \rangle$ will be a cofinal sequence in κ_2 in M_1 .

Let

$$\langle f_\gamma^1 \mid \gamma < j_1(\kappa^+) \rangle = j_1(\langle f_\gamma \mid \gamma < \kappa^+ \rangle).$$

Then, f_γ^1 will represent, mod $j_1(U)$, η_γ^1 in M_1 .

Set

$$\langle f_\gamma^2 \mid \gamma < j_2(\kappa^+) \rangle = j_2(\langle f_\gamma \mid \gamma < \kappa^+ \rangle) = k(\langle f_\gamma^1 \mid \gamma < j_1(\kappa^+) \rangle).$$

Then, whenever $\gamma < \delta < j_1(\kappa^+)$,

$$f_{k(\gamma)}^2(\kappa_1) = k(f_\gamma^1)(\kappa_1) = \eta_\gamma^1 < \eta_\delta^1 = k(f_\delta^1)(\kappa_1) = f_{k(\delta)}^2(\kappa_1).$$

We change the value of $g'_{\kappa_2}(f_{j_2(\alpha)}^2(\kappa_1))$ to 1, for every $\alpha < \kappa^+$. In addition, change $g'_{\kappa_2}(f_{k(\gamma)}^2(\kappa_1))$ to 0, for every $\gamma \in j_1(\kappa^+) \setminus j_1''\kappa^+$.

Let $g_{\kappa_2}^*$ denotes the resulting function. As in 2.6 of [2], g_{κ_1} is still generic over $M_2[G'_2 \cap P_{\kappa_2}]$. Denote $G_2^* = G_2 \cap P_{\kappa_2} * g_{\kappa_2}^*$ and let $j_2^* : V[G] \rightarrow M_2[G_2^*]$, $k^* : M_1[G'_1] \rightarrow M_2[G_2^*]$ be the corresponding embeddings.

We will have as a result that $\kappa_1 \in j_2^*(A_\alpha)$, for every $\alpha < \kappa^+$ and $\kappa_1 \notin k^*(A_\gamma^1)$, for every $\gamma \in j_1(\kappa^+) \setminus j_1''\kappa^+$, where $\langle A_\gamma^1 \mid \gamma < j_1(\kappa^+) \rangle = j_1'(\langle A_\alpha \mid \alpha < \kappa^+ \rangle)$.

Thus hold, since by elementarity,

$$j_2^*(A_\alpha) = \{\nu < \kappa_2 \mid g_{\kappa_2}^*(f_{j_2(\alpha)}^2(\nu)) = 1\},$$

for every $\alpha < \kappa^+$ and

$$k^*(A_\gamma^1) = \{\nu < \kappa_2 \mid g_{\kappa_2}^*(f_{k(\gamma)}^2(\nu)) = 1\},$$

for every $\gamma \in j_1(\kappa^+)$.

Set

$$W = \{X \subseteq \kappa \mid \kappa_1 \in j_2^*(X)\}$$

and

$$U^* = \{X \subseteq \kappa \mid \kappa \in j_2^*(X)\}.$$

Then $W >_{R-K} U^*$ both extend U , $W \supseteq \text{Cub}_\kappa$ and U^* is normal. Moreover, W is non-Galvin witnessed by $\{A_\alpha \mid \alpha < \kappa^+\} \subseteq U^*$.

Similar constructions can be used with iterations of other forcing notions. What is needed is possibilities to extend the elementary embeddings j_1, j_2, k and β -closure of iterants Q_β .

References

- [1] T. Benhamou, Saturation properties of ultrafilters in canonical inner models.
- [2] T. Benhamou and M. Gitik, On Cohen and Prikry forcing notions,