## Forcing with finite pistes-3 sizes.

#### Moti Gitik

December 12, 2019

Our purpose here is to present a special case of the forcing with pistes of [1] when pistes are finite and only three sizes of models  $\omega$ ,  $\omega_1$  and  $\omega_2$  are allowed.

## 1 Wide pistes.

Assume GCH.

The basic idea behind the structures defined below (Definition 2.1, a finite structure with pistes over  $\aleph_3$  is to stay as close as possible to an elementary chain of models. It cannot be literally a chain since models of different sizes are involved and models of bigger cardinality can come before ones of a smaller. The first part (Definition 1.1) describes this "linear" part of conditions in the main forcing. It is called *a wide piste* and incorporates together elementary chains of models of different cardinalities. The main forcing, defined in Section 2.1, will be based on such wide pistes and involves an additional natural but non-linear component called splitting or reflection.

**Definition 1.1** A wide piste<sup>1</sup> is a set  $\langle \langle C^{\tau}, C^{\tau lim} \rangle \mid \tau \in \{\omega, \omega_1, \omega_2\} \rangle$  such that the following hold.

For every  $\tau \in \{\omega, \omega_1, \omega_2\}$  and  $A \in C^{\tau}$  the following holds:

- 1.  $A \preccurlyeq \langle H(\omega_3), \in, \leq \rangle$ , where  $\leq$  is some fixed well ordering of  $H(\omega_3)$ ,
- 2.  $|A| = \tau$ ,
- 3.  $A \supseteq \tau + 1$ ,
- 4.  $A \cap \tau^+$  is an ordinal,

<sup>&</sup>lt;sup>1</sup>It is  $(\aleph_2, \omega, \omega)$ -wide piste of [1] and here we deal with such pistes only.

- 5. elements of  $C^{\tau}$  form a finite  $\in$  -chain,
- 6. if  $X \in C^{\tau}$ , then  ${^{\tau>}X \subseteq X}$ ,
- 7. if  $X, Y \in C^{\tau}$ , then  $X \in Y$  iff  $X \subsetneq Y$ .
- 8. (Potentially limit points)

 $C^{\tau lim} \subseteq C^{\tau}.$ 

We refer to its elements as *potentially limit points*.

The intuition behind this is that it will be possible to add new models unboundedly often below a potentially limit model in interesting cases, and this way it will be turned into a limit one.

The next condition prevents unneeded appearances of small models between big ones.

9. If  $B_0, B_1 \in C^{\rho}$ , for some  $\rho \in \{\omega, \omega_1, \omega_2\}$ ,  $B_1$  is not a potentially limit point and  $B_0$  is its immediate predecessor, then there is no potentially limit point  $A \in C^{\tau}$  with  $\tau < \rho$ such that  $B_0 \in A \in B_1$ .

The requirement that  $B_1$  is not a potentially limit point is important here. Once dealing with potentially limit points, we would like to allow reflections which may add small intermediate models.

However, small models which are non-potentially limit points are allowed.

10. Let  $B_0, B_1 \in C^{\rho}$ , for some  $\rho \in \{\omega, \omega_1, \omega_2\}$ ,  $B_1$  is not a potentially limit point,  $B_0$  is its immediate predecessor and  $A \in C^{\tau} \cap B_1$ , with  $\tau < \rho$ . If  $\sup(A \cap \theta^+) > \sup(B_0 \cap \theta^+)$ , then  $B_0 \in A$ .

The next condition is of a similar flavor, but deals with smallest models.

11. If  $B \in C^{\rho}$ , for some  $\rho \in \{\omega, \omega_1, \omega_2\}$ , is not a potentially limit point and it is the least element of  $C^{\rho}$ , then there is no potentially limit point  $A \in C^{\tau}$  with  $\tau > \rho$  such that  $A \in B^2$ .

Both conditions 9 and 11 are designed to allow one to add new models below potentially limit points, which will be essential for properness of the forcing.

The purpose of the next four conditions is to allow to proceed down the pistes without interruptions at least before reaching a potentially limit point.

<sup>&</sup>lt;sup>2</sup>If we drop the requirement  $\tau > \rho$ , then it may be impossible further to add models of sizes  $> \omega$  once a potentially limit point of size  $\omega$  is around.

- 12. Let  $\tau, \rho \in \{\omega, \omega_1, \omega_2\}, \tau < \rho, A \in C^{\tau}, B \in C^{\rho} \text{ and } B \in A$ . Suppose that B is not a potentially limit point and B' is its immediate predecessor in  $C^{\rho}$ . Then  $B' \in A$ .
- 13. Let  $A \in C^{\omega}, B \in C^{\omega_2}, D \in C^{\omega_1}$  and  $B \in A$ . Suppose that B is not a potentially limit point and B' is its immediate predecessor in  $C^{\omega_2}$ . Then  $B' \in D \in B$  implies  $D \in A$ .
- 14. (Linearity) If  $\tau, \rho \in \{\omega, \omega_1, \omega_2\}, \tau \leq \rho, A \in C^{\tau}, B \in C^{\rho}$ , then  $\sup(A \cap \omega_3) < \sup(B \cap \omega_3)$ implies  $A \in B$ ,

Next few conditions deal with what we call *covering properties*. They are needed in order to show that the forcing is  $\omega$ -proper.

Suppose that  $M \in C^{\xi}$ , for some  $\xi \in \{\omega, \omega_1, \omega_2\}, \xi \neq \omega_2, D \in C^{\rho}$ , for some  $\rho \in \{\omega, \omega_1, \omega_2\}, \rho > \xi$ . If  $\sup(M \cap \omega_3) < \sup(D \cap \omega_3)$ , by the linearity condition,  $M \in D$ . But suppose that  $\sup(M \cap \omega_3) > \sup(D \cap \omega_3)$ , i.e., a model of smaller size sits above a model of bigger size on the piste. The simplest situation then will be that just  $D \in M$ . However it is too much to require this, since as a result the properness will break down and cardinals will collapse, even in the two sizes situation. Weaker requirements should be made. The requirements will insure an existence of so called *covering model*  $\tilde{D}$  of D for M.

This model should have the following basic properties:

- ( $\aleph$ )  $\tilde{D} \in M$ ,
- $(\beth) \quad \tilde{D} \supseteq D,$
- (1)  $M \cap \tilde{D} = M \cap D$ .

Note such  $\tilde{D}$  is unique, if exists. Thus suppose that  $D', D'', D' \neq D''$  are two covering models of D for M.

There is  $x \in D' \setminus D''$  or  $x \in D'' \setminus D'$ . Suppose for example that there is  $x \in D' \setminus D''$ . By elementarity, then there is  $x \in D' \setminus D''$  which belongs to M, but this is clearly impossible, since  $D' \cap M = D \cap M = D'' \cap M$ , Item ( $\Im$ ) above. Also note that  $\tilde{D} = D$ , if  $D \in M$ .

Let us state now the requirements on covering models.

Start with the simplest one.

#### 15. (Covering 1)

If  $M \in C^{\omega_1}, D \in C^{\omega_2}$  and  $\sup(M \cap \omega_3) > \sup(D \cap \omega_3)$ , then there is a covering model  $\tilde{D}$  of D for M inside  $C^{\omega_2}$ .<sup>3</sup>

This the only requirement, if only two sizes of models are considered. Already dealing with three sizes, an additional requirement is needed:

- 16. (Covering 2) If  $M \in C^{\omega}$ ,  $D \in C^{\omega_2}$ ,  $\sup(M \cap \omega_3) > \sup(D \cap \omega_3)$ , then either
  - (a) there is a covering model  $\tilde{D}$  of D for M inside  $C^{\omega_2}$ , or
  - (b) there is  $S \in M \cap C^{\omega_1}$  such that  $\sup(S \cap \omega_3) > \sup(D \cap \omega_3)$ and  $\tilde{D} = cl(S \cup \omega_2)$  is a covering model of D for M, or
  - (c) there are  $S \in M \cap C^{\omega_1}, E \in M \cap C^{\omega_2}$  such that  $\sup(S \cap \omega_3) > \sup(E \cap \omega_3), E \supset D$ and  $\tilde{D} = cl((S \cap E) \cup \omega_2)$  is a covering model of D for M.

Note that  $cl(S \cup \omega_2) = \sup(S \cap \omega_3)$  and  $cl((S \cap E) \cup \omega_2) = \sup((S \cap E) \cap \omega_3)$ . It is a well known fact and we will provide a proof below.

- 17. (Covering 3) If  $M \in C^{\omega}$ ,  $D \in C^{\omega_1}$  and  $\sup(M \cap \omega_3) > \sup(D \cap \omega_3)$ , then
  - (a) there is  $\tilde{D} \in M \cap C^{\omega_1}$  which is a covering model of D for M, i.e.,  $\tilde{D} \supseteq D$  and  $M \cap \tilde{D} = M \cap D$ ; or
  - (b) there are  $S \in M \cap C^{\omega_1}, E \in M \cap C^{\omega_2}$  such that  $\sup(S \cap \omega_3) > \sup(E \cap \omega_3), S \supset D, E \supset D$  and  $M \cap D = M \cap S \cap E$ , i.e.,  $S \cap E$ is a covering model of D for M.
- 18. (Strong Covering ) If  $M \in C^{\omega}, D \in C^{\omega_2}$ ,  $\sup(M \cap \omega_3) > \sup(D \cap \omega_3)$  and there is  $S \in M \cap C^{\omega_1}$  such that  $\sup(S \cap \omega_3) > \sup(D \cap \omega_3)$ and  $M \cap D = M \cap cl(S \cup \omega_2)$ , i.e.  $cl(S \cup \omega_2)$  is a covering model of D for M, (or there

<sup>3</sup>Note that

<sup>(</sup>a) if  $D \notin M$ , then such  $\tilde{D}$  must be a potentially limit point by Item 12 above. Thus, it cannot be a successor non-potentially limit point, by Item 12, since its immediate predecessor  $\tilde{D}'$  will be in M, and then,  $\sup(\tilde{D}' \cap \omega_3) < \sup(D \cap \omega_3)$ , and so  $D \supseteq \tilde{D}'$ .

<sup>(</sup>b) such  $\tilde{D}$  is the least model  $D' \in M \cap C^{\omega_3}$  such that  $D' \supseteq D$ .

are  $S \in M \cap C^{\omega_1}, E \in M \cap C^{\omega_2}$  such that  $\sup(S \cap \omega_3) > \sup(E \cap \omega_3), E \supset D$  and  $M \cap D = M \cap cl((S \cap E) \cup \omega_2)$ , i.e.  $cl((S \cap E) \cup \omega_2)$ is a covering model of D for M), then either

- (a)  $D \in S$ , or
- (b) there is a covering model  $\tilde{D} \in S \cap C^{\omega_2}$  of D for S such that  $D \supseteq \tilde{D}_{\sup(M \cap \omega_2)}$ , where  $\langle \tilde{D}_i | i < \omega_2 \rangle$  is an increasing continuous sequence of models of cardinality  $\aleph_1$  with limit  $\tilde{D}$ , defined from  $\tilde{D}$
- 19. Let  $A \in C^{\omega}, M \in C^{\omega_1}, D \in C^{\omega_2}, M, D \in A$  and  $\sup(M \cap \omega_3) > \sup(D \cap \omega_3)$ . Then the covering model  $\tilde{D}$  of D for M belongs to A.

## 2 Structures with pistes - definitions.

Now we are ready to give the main definition.

**Definition 2.1** A structure with pistes<sup>4</sup> is a set  $\langle \langle A^{0\tau}, A^{1\tau}, A^{1\tau lim}, C^{\tau} \rangle \mid \tau \in \{\omega, \omega_1, \omega_2\} \rangle$  such that the following hold:

- 1. for every  $\tau \in \{\omega, \omega_1, \omega_2\},\$ 
  - (a)  $A^{0\tau} \preccurlyeq \langle H(\omega_3), \in, \leq \rangle$ ,
  - (b)  $|A^{0\tau}| = \tau$ ,
  - (c)  $A^{0\tau} \in A^{1\tau}$ ,
  - (d)  $A^{1\tau}$  is a finite set of elementary submodels of  $A^{0\tau}$ ,
  - (e) each element A of  $A^{1\tau}$  has cardinality  $\tau, A \supseteq \tau + 1$  and  $A \cap \tau^+$  is an ordinal.
- (Potentially limit points) Let τ ∈ {ω, ω<sub>1</sub>, ω<sub>2</sub>}.
  A<sup>1τlim</sup> ⊆ A<sup>1τ</sup>. We refer to its elements as *potentially limit points*. The intuition behind this is that once extending it will be possible to add new models unboundedly often below a potentially limit model, and this way it will be turned into a limit one.

<sup>&</sup>lt;sup>4</sup>It is  $(\aleph_2, \omega, \omega)$ -structure with pistes of [1] and here we deal with such structures only.

3. (Piste function) The idea behind this is to provide a canonical way to move from a model in the structure to one below.

Let  $\tau \in \{\omega, \omega_1, \omega_2\}.$ 

Then,  $\operatorname{dom}(C^{\tau}) = A^{1\tau}$  and

for every  $B \in \text{dom}(C^{\tau})$ ,  $C^{\tau}(B)$  is a finite chain of models in  $A^{1\tau} \cap (B \cup \{B\})$  such that the following holds:

- (a)  $B \in C^{\tau}(B)$ ,
- (b) if  $X \in C^{\tau}(B)$ , then  $C^{\tau}(X) = \{Y \in C^{\tau}(B) \mid Y \in X \cup \{X\}\},\$
- (c) if B has immediate predecessors in  $A^{1\tau}$ , then one (and only one) of them is in  $C^{\tau}(B)$ ,
- 4. (Wide piste) The set

$$\langle C^{\tau}(A^{0\tau}), C^{\tau}(A^{0\tau}) \cap A^{1\tau lim} \mid \tau \in s = \{\omega, \omega_1, \omega_2\} \rangle$$

is a wide piste.

The next two condition describe the ways of splittings from wide pistes. This describes the structure of  $A^{1\tau}$  and the way pistes allow one to move from one of its models to an other.

- 5. (Splitting points) Let  $\tau \in \{\omega, \omega_1, \omega_2\}$ . Let  $X \in A^{1\tau}$ . Then either
  - (a) X is minimal under  $\in$  or equivalently under  $\subsetneq$ , or
  - (b) X has a unique immediate predecessor in  $A^{1\tau}$ , or
  - (c)  $\tau < \omega_2$ , X has exactly two immediate predecessors  $X_0, X_1$  in  $A^{1\tau}$ , and then the following hold:
    - i. (Splitting points of type 1) None of  $X, X_0, X_1$  is a potentially limit point and  $X, X_0, X_1$  form a  $\Delta$ -system triple relative to some  $F_0, F_1 \in A^{1\tau^+lim}$ , which means the following:
      - A.  $F_0 \subsetneq F_1$  and then  $F_0 \in C^{\tau^+}(F_1)$ , or  $F_1 \subsetneq F_0$  and then  $F_1 \in C^{\tau^+}(F_0)$ ,
      - B.  $X_0 \in F_1$ , if  $F_0 \subsetneq F_1$  and  $X_1 \in F_0$ , if  $F_1 \subsetneq F_0$ ,
      - C.  $F_0 \in X_0$  and  $F_1 \in X_1$ ,

- D.  $X_0 \cap X_1 = X_0 \cap F_0 = X_1 \cap F_1$ ,
- E. the structures

$$\langle X_0, \in, \langle X_0 \cap A^{1\rho}, X_0 \cap A^{1\rho lim}, (C^{\rho} \upharpoonright X_0 \cap A^{1\rho}) \cap X_0 \mid \rho \in s \rangle \rangle$$

and

$$\langle X_1, \in, \langle X_1 \cap A^{1\rho}, X_1 \cap A^{1\rho lim}, (C^{\rho} \upharpoonright X_1 \cap A^{1\rho}) \cap X_1 \mid \rho \in s \rangle \rangle$$

are isomorphic over  $X_0 \cap X_1$ . Denote by  $\pi_{X_0,X_1}$  the corresponding isomorphism.

F.  $X \in A^{0\tau^+}$ .

Or

ii. (Splitting points of type 2)  $\tau = \omega$  and there are  $G, G_0, G_1 \in X \cap A^{1\omega_1}, G$  is a splitting point of types 1 and  $G_0, G_1$  are its immediate predecessors, with witnessing models in X, such that

A. 
$$X_0 \in G_0$$
,

- B.  $X_1 \in G_1$ ,
- C.  $X_1 = \pi_{G_0G_1}[X_0].$
- D. X is not a limit or potentially limit point,
- E.  $X \in A^{0\omega_1}$ ,
- F. (Pistes go in the same direction)  $G_i \in C^{\omega_1}(G) \Leftrightarrow X_i \in C^{\omega}(X), i < 2.$

Further we will refer to such X, i.e. of types 1 or 2, as *splitting points*.

- 6. Let  $\tau, \rho \in \{\omega, \omega_1, \omega_2\}, X \in A^{1\tau}, Y \in A^{1\rho}$ . Suppose that X is a successor point, but not potentially limit point and  $X \in Y$ . Then all immediate predecessors of X are in Y, as well as the witnesses, i.e.  $F_0, F_1$  if (5(c)i) holds and  $G_0, G_1, G$  if (5(c)ii) holds.
- 7. Let  $\tau \in \{\omega, \omega_1, \omega_2\}$ . If  $X \in A^{1\tau}$ ,  $Y \in \bigcup_{\rho \in \{\omega, \omega_1, \omega_2\}} A^{1\rho}$  and  $Y \in X$ , then Y is a pistereachable from X, i.e. there is a finite sequence  $\langle X(i) \mid i \leq n \rangle$  of elements of  $A^{1\tau}$  which we call the piste leading to Y from X such that
  - (a) X = X(0),
  - (b) for every i, 0 < i < n, either

- i. X(i-1) has two immediate predecessors  $X(i-1)_0, X(i-1)_1$  with  $X(i-1)_0 \in C^{\tau}(X(i-1)), X(i) = X(i-1)_1$  and  $Y \in X(i-1)_1 \setminus X(i-1)_0$ , or
- ii.  $X(i) \in C^{\tau}(X(i-1))$ , and then either i = n or i < n, X(i) has two immediate predecessors  $X(i)_0, X(i)_1$  with  $X(i)_0 \in C^{\tau}(X(i)), X(i+1) = X(i)_1$  and  $Y \in X(i)_1 \setminus X(i)_0$
- (c) Y = X(n), if  $Y \in A^{1\tau}$  and if  $Y \in A^{1\rho}$ , for some  $\rho \neq \tau$ , then  $Y \in X(n)$ , X(n) is a successor point and Y is not a member of any element of  $X(n) \cap A^{1\tau}$ .

Let us give two examples.

**Example 1.** Suppose that  $A^{1\tau}$  consists of three models,  $Y \in Z \in X$ . Then the piste from X to Y will be  $\langle X, Y \rangle$ .

**Example 2.** Suppose that  $A^{1\tau}$  consists of models  $X, Z, T, T_0, T_1, Y_0, Y_1$  such that  $Y_0 \in T_0 \in T \in Z \in X$  is  $C^{\tau}(X)$ , T is a splitting point with  $T_0, T_1$  its immediate predecessors,  $Y_0 \in T_0, Y_1 \in T_1$ .

Then the piste from X to  $Y_1$  goes like this: From X we go down to T, then at T we turn to  $T_1$  and from  $T_1$  we continue to the final destination  $Y_1$ . So the piste from X to  $Y_1$  is  $\langle X, T, T_1, Y_1 \rangle$ .

The sequence  $\langle X(i) | i \leq n \rangle$  is defined uniquely from X and Y.

In particular, every  $Y \in A^{1\tau}$  is piste reachable from  $A^{0\tau}$ .

In order to formulate further requirements, we will need to describe a simple process of changing the wide pistes. This leads to equivalent forcing conditions once the order will be defined.

Let  $X \in A^{1\tau}$ . We will define the X-wide piste. The definition will be by induction on number of turns (splits) needed in order to reach X by the piste from  $A^{0\tau}$ .

First, if  $X \in C^{\tau}(A^{0\tau})$ , then the X-wide piste is just  $\langle C^{\xi}(A^{0\xi}), C^{\xi}(A^{0\xi}) \cap A^{1\xi lim} | \xi \in s \rangle$ , i.e. the wide piste of the structure.

Second, if  $X \notin C^{\tau}(A^{0\tau})$ , but it is not an immediate predecessor of a splitting point, then pick the least splitting point Y above X. Let  $Y_0, Y_1$  be its immediate predecessors with  $Y_0 \in C^{\tau}(Y)$ . Then  $X \in Y_i$  for some i < 2. Set the X-wide piste to be the  $Y_i$ -wide piste.

So, in order to complete the definition, it remain to deal with the following principal case:

 $X \in A^{1\tau}$  a splitting point of one of the types 1 or 2.

Let  $X_0, X_1$  be its immediate predecessors with  $X_0 \in C^{\tau}(X)$ . Assume that the X-wide piste  $\langle C_X^{\xi}, C_X^{\xi lim} | \xi \in s \rangle$  is defined and assume that  $C^{\tau}(X)$  is an initial segment of  $C_X^{\tau}$ . Let the  $X_0$ -wide piste be  $\langle C_X^{\xi}, C_X^{\xi lim} | \xi \in s \rangle$ .

Let us deal with type of splitting separately.

Case 1. X is a splitting point of type 1.

Define the  $X_1$ -wide piste  $\langle C_{X_1}^{\xi}, C_{X_1}^{\xi lim} | \xi \in s \rangle$  as follows:

- C<sup>ξ</sup><sub>X1</sub> = C<sup>ξ</sup><sub>X</sub>, for every ξ > τ.
  I.e. no changes for models of cardinality > τ.
- $C_{X_1}^{\xi lim} = C_{X_1}^{\xi} \cap A^{1\xi lim}$ , for every  $\xi \in s$ . Models that were potentially limit remain such and no new are added.
- $C_{X_1}^{\tau} = (C_X^{\tau} \setminus X) \cup C^{\tau}(X_1).$ Here we switched the piste from  $X_0$  to  $X_1$ .
- $C_{X_1}^{\xi} = \{Z \in C_X^{\xi} \mid \sup(Z \cap \theta^+) > \max(\sup(X_0 \cap \theta^+), \sup(X_1 \cap \theta^+))\} \cup \{\pi_{X_0, X_1}(Z) \mid Z \in C_X^{\xi} \cap X_0\}, \text{ for every } \xi \in s \cap \tau.^5$

Note that such defined switch from  $X_0$  to  $X_1$  does not affect at all models of sizes above  $\tau$ . Models of sizes  $\leq \tau$  are effected only if they are contained in  $X_0$  or in  $X_1$ .

If X is a splitting point of type 2, then we may need to turn some piste for models of cardinalities  $> \tau$  into other directions, in order to satisfy the item 5(c)iiF above. Proceed as follows.

#### Case 3. X is a splitting point of type 2.

Let  $G, G_0, G_1 \in X \cap A^{1\mu}$  be models which witness that X is a splitting point of type 2 and  $X_0, X_1$  are its immediate predecessors. Now using the induction<sup>6</sup> we can assume that the  $G_1$ -wide piste is already defined.

Define the  $X_1$ -wide piste to be the  $G_1$ -wide piste.

Now we require the following:

8. Let  $\tau \in s$  and  $X \in A^{1\tau}$ . Then the X-wide piste is a wide piste, i.e., it satisfies Definition 1.1.

<sup>&</sup>lt;sup>5</sup>In particular, due to this, the next condition implies that for  $\xi \in s \cap \tau$ , if  $Z \in C_X^{\xi}$ ,  $\sup(Z \cap \theta^+) > \max(\sup(X_0 \cap \theta^+), \sup(X_1 \cap \theta^+))$ , then  $\{\pi_{X_0X_1}(Z') \mid Z' \in C_X^{\xi} \cap X_0\} \subseteq Z$ .

<sup>&</sup>lt;sup>6</sup>The induction is on pairs  $(n, \zeta)$  ordered lexicographically, where n is the number of turns from the wide piste and  $\zeta$  is the rank (the usual one as sets) of the model.

We have  $G, G_0, G_1 \in X$ , so the rank of  $G, G_0, G_1$  is smaller than the rank of X. The number of turns needed to get to G and to X from the top is the same.

The next condition specify explicitly the situation in which Case (b) of the third covering condition 1.1(17) occurs.

9. Suppose that M ∈ C<sup>ω</sup>, D ∈ C<sup>ω₁</sup> and sup(M ∩ ω₃) > sup(D ∩ ω₃) and there are S ∈ M ∩ C<sup>ω₁</sup>, E ∈ M ∩ C<sup>ω₂</sup> such that sup(S ∩ ω₃) > sup(E ∩ ω₃), S ⊃ D, E ⊃ D and M ∩ D = M ∩ S ∩ E, i.e., S ∩ E is a covering model of D for M. Then, there are A ∈ A<sup>1ω</sup> which is equal M or above M, a Δ-system triple M\*, M<sub>0</sub>\*, M<sub>1</sub>\* each of cardinality ℵ<sub>1</sub>, M<sub>1</sub>\* above M<sub>0</sub>\*, with witnessing models F<sub>0</sub>, F<sub>1</sub> all of them in A and in A<sup>1ω₁</sup> and A<sup>1ω₂</sup>. In addition, there is reflection of A (and its members) into M<sub>1</sub>\*. Denote by M\*', M<sub>0</sub>\*', M<sub>1</sub>\*', F'<sub>0</sub> the images of M\*, M<sub>0</sub>\*, M<sub>1</sub>\*, F<sub>0</sub> under the reflection. Note that F<sub>1</sub> ∈ M<sub>1</sub>\* ∩ A, and so it does move, as well as M<sub>1</sub>\* itself. We require that D ⊆ M<sub>0</sub>\*', S ⊇ M<sub>1</sub>\* and E ⊇ F<sub>1</sub><sup>7</sup>.

Final conditions deal with largest models.

10. (Maximal models are above all the rest) For every  $\tau \in \{\omega, \omega_1, \omega_2\}$  and  $Z \in \bigcup_{\rho \in \{\omega, \omega_1, \omega_2\}} A^{1\rho}$ , if  $Z \notin A^{0\tau}$ , then there is  $\mu \in \{\omega, \omega_1, \omega_2\}$  such that  $Z = A^{0\mu}$ .

This completes the definition of a finite structure with pistes.

Denote the set of such defined structures by  $\mathcal{P}$  (which corresponds to  $\mathcal{P}_{\omega_2\omega\omega}$  of [1]. Define an order on  $\mathcal{P}$ .

#### Definition 2.2 Let

 $p_{0} = \langle \langle A_{0}^{0\tau}, A_{0}^{1\tau}, A_{0}^{1\tau lim}, C_{0}^{\tau} \rangle \mid \tau \in \{\omega, \omega_{1}, \omega_{2}\} \rangle, p_{1} = \langle \langle A_{1}^{0\tau}, A_{1}^{1\tau}, A_{1}^{1\tau lim}, C_{1}^{\tau} \rangle \mid \tau \in \{\omega, \omega_{1}, \omega_{2}\} \rangle$ be two elements of  $\mathcal{P}$ . Set  $p_{0} \leq p_{1}$  ( $p_{1}$  extends  $p_{0}$ ) iff

- 1.  $A_0^{1\tau} \subseteq A_1^{1\tau}$ , for every  $\tau \in \{\omega, \omega_1, \omega_2\}$ ,
- 2. let  $A \in A_0^{1\tau}$ , for some  $\tau \in \{\omega, \omega_1, \omega_2\}$ , then  $A \in A_0^{1\tau lim}$  iff  $A \in A_1^{1\tau lim}$ . The next item deals with a switching described in Definition 2.1. It allows to change piste directions.

<sup>7</sup>Note that then

 $M \cap S = M \cap M_{1}^{*} = M \cap A \cap M_{1}^{*} = M \cap A \cap M^{*'} = M \cap A \cap M_{1}^{*'} = M \cap A \cap D_{1} = M \cap D_{1},$ 

where  $D_1$  is the image of D under the isomorphism  $\pi_{M_0^{*'}M_1^{*'}}$  between the models  $M_0^{*'}$  and  $M_0^{*'}$ .

3. Let  $\tau \in \{\omega, \omega_1, \omega_2\}$ .

For every  $A \in A_0^{1\tau}$ ,  $C_0^{\tau}(A) \subseteq C_1^{\tau}(A)$ ,

or

there are finitely many places below A where pistes change their directions, i.e. there are splitting points  $B(0), ..., B(k) \in A_0^{1\tau} \cap (A \cup \{A\})$  with B(j)', B(j)'' the immediate predecessors of B(j)  $(j \leq k)$  such that

- (a)  $B(j)' \in C_0^{\tau}(B(j)),$
- (b)  $B(j)'' \in C_1^{\tau}(B(j)).$

If  $B \in A_0^{1\tau} \cap (A \cup \{A\})$  is a splitting point different from B(0), ..., B(k) and B', B'' are its immediate predecessors, then  $B' \in C_0^{\tau}(B)$  iff  $B' \in C_1^{\tau}(B)$ .

4. Let  $\tau \in \{\omega, \omega_1, \omega_2\}$ .

If  $A \in A_0^{1\tau}$  is a splitting point in  $p_0$ , then it remains such in  $p_1$  with the same immediate predecessors.

5. Let  $\tau \in \{\omega, \omega_1, \omega_2\}$ .

Let  $B \in A_0^{1\tau} B$  not in  $A_0^{1\tau \lim}$ , i.e., it is not a potentially limit, and B a unique immediate predecessor in  $p_0$ . Then, in  $p_1$ , B has the same unique immediate predecessor.

This requirement guarantees intervals without models, even after extending a condition.

By 2.2(5), potentially limit points are the only places where non-end-extensions can be made.

## 3 Properness.

We would like to show that for every  $\tau \in \{\omega, \omega_1, \omega_2\}$  the forcing  $\mathcal{P}$  is  $\tau$ -proper.

Let us start with  $\omega_2$ -properness.

**Lemma 3.1** The forcing  $\mathcal{P}$  is  $\omega_2$ -proper.

#### Proof.

Let  $p \in \mathcal{P}$ . Pick  $\mathfrak{M}$  to be an elementary submodel of  $H(\chi)$  for some  $\chi$  large enough such that

1.  $|\mathfrak{M}| = \aleph_2,$ 2.  $\mathfrak{M} \supseteq \aleph_2,$ 3.  $\mathcal{P}, p \in \mathfrak{M},$ 4.  ${}^{\omega_1}\mathfrak{M} \subseteq \mathfrak{M}.$ Set  $M = \mathfrak{M} \cap H(\omega_3).$ 

We claim that  $p \cap M$  is  $(\mathcal{P}, \mathfrak{M})$ -generic. So, let  $r \geq p \cap M$  and  $\overline{D} \in \mathfrak{M}$  be a dense open subset of  $\mathcal{P}$ .

By extending r, if necessary, we can assume that  $r \in \overline{D}$ .

Let  $A_0 \leq A_1 \leq H(\omega_3)$  be such that

- 1.  $A_0 \in A_1$ ,
- 2.  $|A_i| = \aleph_i$ , for every i < 2,

3. 
$$r \in A_0$$
.

In particular,  $M \in A_i$ , and so  $A_i \cap M \in M$ , for every i < 2. Set  $q = r^A_0 A_1$ . Denote  $A_1$  by A.

Let  $\delta_M = M \cap \omega_3$  and  $\eta_A = \sup(A \cap \delta_M)$ . Then  $\eta_A$  has cofinality  $\langle \omega_2$ , and so,  $\eta_A \langle \delta_M$ . Hence  $\eta_A \in M$ . Reflect now A, q down to  $\mathfrak{M}$  over  $A \cap M$  in the language which includes  $\overline{D}$ . Denote the result by A', q' and let M' be the image of M under this reflection. Then,  $A \cap \eta_A = A' \cap \eta_A$ , also,

$$A \cap M = A' \cap M'$$
 and  $A \cap M \cap \delta_M = A' \cap M' \cap \delta_M = A' \cap M' \cap \delta_{M'}$ .

Pick some model  $\tilde{A}$  of cardinality  $\aleph_1$  with A, q, A', q' inside. Pick also an  $\in$  -increasing sequence of models  $\langle \tilde{A}_0, \tilde{A}_1 \rangle$  with  $A, q, A', q', \tilde{A} \in \tilde{A}_0$  and  $|\tilde{A}_i = \aleph_i, i < 2$ .

It is enough to show the following:

Claim 1  $q^{\frown}q'^{\frown}\tilde{A}^{\frown}\langle \tilde{A}_0, \tilde{A}_1 \rangle \in \mathcal{P}.$ 

*Proof.* We need to check that Definition 1.1 is satisfied by the two pistes that form s, i.e., those which are generated by q and by its reflection q'.

Note that each of q, q' is fine. The only problem that may to appear - is that new models

of cardinality  $\aleph_2$  are added to wide pistes of q, q'. For example, M' is added to q and M to q'. Note that only models of size  $\aleph_2$  are added, since we reflected into a model M of cardinality  $\aleph_2$ , so models of smaller sizes reflect and did not remain on wide pistes of the reflected condition.

For example, if there were a model B of cardinality  $\aleph_1$  in q on its wide piste with  $M \in B$ , then B would be reflected to  $B' \in M$  and B' will appear on the wide piste of q', and not B.

Basically, we need to check only the covering condition 15 of Definition 1.1 in the following situation:

 $B \in q$  above M on the wide piste of q and D' is a model of cardinality  $\aleph_2$  in q' which does not belong to A, i.e., the reflection of some D in A.

But this is easy. Namely, if B = A, then M will be such a cover, since due to the reflection,  $A \cap D' = A \cap M$ .

Suppose that  $B \neq A$ , then  $B \in A$ .

If B is countable, then  $B \subseteq A$ , and again, M will be such a cover, if  $M \in B$  or a model  $\tilde{M} \in B$  which is the cover of M for B.

If  $|B| = \aleph_1$ , then note that  $\sup(B \cap M \cap \omega_3) \in A \cap M$ , and so it is below  $\eta_A$ . Hence, if  $M \in B$ , then  $B \cap D' = B \cap M \cap D' = B \cap M$ . If  $M \notin B$ , then the cover of M in B will be as desired.

 $\Box$  of the claim.

**Lemma 3.2** The forcing  $\mathcal{P}$  is  $\omega_1$ -proper.

Proof.

Let  $p \in \mathcal{P}$ . Pick  $\mathfrak{M}$  to be an elementary submodel of  $H(\chi)$  for some  $\chi$  large enough such that

- 1.  $|\mathfrak{M}| = \aleph_1$ ,
- 2.  $\mathfrak{M} \supseteq \aleph_1$ ,
- 3.  $\mathcal{P}, p \in \mathfrak{M},$
- 4.  $^{\omega}\mathfrak{M}\subseteq\mathfrak{M}.$

Set  $M = \mathfrak{M} \cap H(\omega_3)$ .

We claim that  $p^{\frown}M$  is  $(\mathcal{P}, \mathfrak{M})$ -generic. So, let  $r \ge p^{\frown}M$  and  $\overline{D} \in \mathfrak{M}$  be a dense open subset of  $\mathcal{P}$ .

By extending r, if necessary, we can assume that  $r \in \overline{D}$ .

Let  $A \leq H(\omega_3)$  be a countable model with  $r \in A$ .

In particular,  $M \in A$ , and so  $A \cap M \in M$ . Set  $q = r^{-}A$ .

Let  $\delta_M = M \cap \omega_2$  and  $\eta_A = \sup(A \cap \delta_M)$ . Then  $\eta_A$  has cofinality  $\omega$ , and so,  $\eta_A < \delta_M$ . Hence  $\eta_A \in M$ .

Reflect now A, q down to  $\mathfrak{M}$  over  $A \cap M$  and above  $\eta_A$  in the language which includes D. Denote the result by A', q' and let M' be the image of M under this reflection. Then,  $A \cap M = A' \cap M'$ .

Note that we cannot reflect as in 3.1, above  $\sup(A \cap B \cap \omega_3)$ , since, for example  $\delta_M \in A \cap \omega_2$  should be moved to a smaller ordinal in order to be in M.

Also there can be models D, E of cardinality  $\aleph_2$  with E in  $A \cap M, D \in A \setminus M, D \in E$ . Then E does not move under the reflection, however D must move to some  $D', D' \in D \setminus A$ .

Pick some countable model A with A, q, A', q' inside.

It is enough to show the following:

Claim  $s = q^{\frown}q'^{\frown}\tilde{A} \in \mathcal{P}$ .

*Proof.* We need to check that Definition 1.1 is satisfied by the two pistes that form s, i.e.,

those which are generated by q and by its reflection q'.

Note that each of q, q' is fine. The only problem that may to appear - is that new models of cardinalities  $\aleph_1$  and  $\aleph_2$  are added to wide pistes of q, q'. For example, M' is added to qand M to q'. Note that only models of sizes  $\aleph_1$  and  $\aleph_2$  are added, since we reflected into a model M of cardinality  $\aleph_1$ , so models of countable cardinality reflect and did not remain on wide pistes of the reflected condition.

For example, A reflects to A', but A' is not on the wide piste of A. However, M is on the wide piste of A'.

Basically, we need to check only the covering condition 15 of Definition 1.1.

Let us deal first with few typical cases.

**Case 1.** There is a new model of cardinality  $\aleph_1$  above  $\sup(A \cap M)$  which is a reflection of a model with M inside.

Let B' be such a model. Then it is a reflection into M of a model  $B \in A$  with  $M \in B$ . Also,  $M' \subseteq B' \subseteq M$ . We will have  $A \cap B' = A \cap M$ , since if  $z \in A \cap M$ , then  $z \in A' \cap M' = A \cap M$  and  $M' \subseteq B'$ .

**Case 2.** There is a new model of cardinality  $\aleph_2$  above  $\sup(A \cap M \cap \omega_3)$ .

Let D' be such a model. Then  $A \cap D' = A \cap cl(M \cup \aleph_2)$ . Namely,  $D' \in M$ , hence  $D' \subseteq cl(M \cup \aleph_2)$ .

Let D be the model that reflects to D'. Then  $D \supseteq M$ , since  $D' \cap \omega_3 > \sup(A \cap M \cap \omega_3) = \sup(A' \cap M' \cap \omega_3)$ , and so,  $D \cap \omega_3 > \sup(A \cap M \cap \omega_3)$ . Note that  $M, D \in A$ , and so, if  $D \cap \omega_3 < \sup(M \cap \omega_3)$ , then  $\min((M \cap \omega_3) \setminus (D \cap \omega_3)) \in A \cap M \cap \omega_3$ . Hence,  $D \cap \omega_3 > \sup(M \cap \omega_3)$ , and so,  $D \supseteq M$ .

Suppose that  $z \in A \cap cl(M \cup \aleph_2)$ . Then there are a term  $t, a \in M \cap A$  and  $\alpha \in A \cap \omega_2$ such that  $z = t(a, \alpha)$ . But  $D \supseteq M \supseteq M \cap A$  and the reflection does not change  $M \cap A$ , so  $a \in M \cap A$  implies  $a \in D'$ . Then  $z = t(a, \alpha) \in D'$ , and we are done.

**Case 3.** There is a new model of cardinality  $\aleph_2$  below  $\sup(A \cap M \cap \omega_3)$ .

Let D' be such a model and D its pre-image under the reflection. Then  $D \cap \omega_3 < \sup(A \cap M \cap \omega_3)$ , since elements of  $A \cap M$  do not move under the reflection. Also,  $D \notin M$ , so the is  $E \in M$  which is the cover of D. Then  $E \in A \cap M$ . In particular, E does not move under the reflection.

Note that  $D' \subset D$ . Thus,  $D', D \subseteq E, M \cap E = M \cap D$  and  $D' \in M \cap E$ .

Let us argue that  $A \cap D' = A \cap cl((M \cap E) \cup \aleph_2)$ . Clearly,  $A \cap D' \subseteq A \cap cl((M \cap E) \cup \aleph_2)$ . We need to show that  $A \cap D' \supseteq A \cap cl((M \cap E) \cup \aleph_2)$ .

Suppose that  $z \in A \cap cl((M \cap E) \cup \aleph_2)$ . Then there are a term  $t, a \in M \cap E \cap A$  and  $\alpha \in A \cap \omega_2$  such that  $z = t(a, \alpha)$ . But  $D \supseteq M \cap E \supseteq M \cap E \cap A$  and the reflection does not change  $M \cap E \cap A$ , so  $a \in M \cap E \cap A$  implies  $a \in D'$ . Then  $z = t(a, \alpha) \in D'$ , and we are done.

**Case 4.** There is a new model of cardinality  $\aleph_1$  below  $\sup(A \cap M \cap \omega_3)$ .

Let D' be such a model and D its pre-image under the reflection. Then  $\sup(D \cap \omega_3) < \sup(A \cap M \cap \omega_3)$ , since elements of  $A \cap M$  do not move under the reflection. Also,  $D \notin M$ , so the is  $E \in M$  of cardinality  $\aleph_2$  which is a part of a  $\Delta$ -system that produces such D. Then  $E \in A \cap M$ . In particular, E does not move under the reflection. Let us argue that  $A \cap D' = A \cap M \cap E$ .

Assume for simplicity that M, D are from a  $\Delta$ - as witnessed by models E and  $E_0$ , i.e.  $E_0 \in D$  and  $M \cap E = D \cap E_0$ .

We have  $E_0 \subset E$ , since D is below M. So,  $D \in E$ . Then  $D' \in E$  and  $D' \subset E$ , as well, since E does not move under the reflection to M.

Hence,  $A \cap D' \subseteq A \cap M \cap E$ .

Let us show the opposite direction. So let  $z \in A \cap M \cap E$ . Then  $z \in A \cap D \cap E_0 \subseteq A \cap D \cap E$ . So,  $z \in A \cap M \cap D$ . But elements of  $A \cap M$  do not move under the reflection to M. So, z does not move. However D is moved to D'. Hence,  $z \in D'$ , and we are done.

Turn now to a general situation. Instead of A let us deal with an arbitrary countable model H (in q) which is above M.

We proceed by considering the cases above with A replaced by H.

**Case** 1'. There is a new model of cardinality  $\aleph_1$  above  $\sup(A \cap M)$  which is a reflection of a model with M inside.

Let B' be such a model. Then it is a reflection into M of a model  $B \in A$  with  $M \in B$ . Also,  $M' \subseteq B' \subseteq M$ . We will have  $H \cap B' = H \cap M$ , since if  $z \in H \cap M$ , then  $z \in H' \cap M' = H \cap M$  and  $M' \subseteq B'$ .

If  $M \in H$ , then we are finished.

Suppose that  $M \notin H$ . Then there are  $M^*, D^* \in H$  which are in  $q, |M^*| = \aleph_1$  and  $|D^*| = \aleph_2$  such that  $H \cap M = H \cap M^*$  or  $H \cap M = H \cap M^* \cap D^*$ .

So,  $H \cap B' = H \cap M = H \cap M^*$  or  $H \cap B' = H \cap M = H \cap M^* \cap D^*$ .

The following is a well known fact:

Fact Let  $N \preceq \langle H(\omega_3), < \rangle$ .

Then  $cl(N \cup \omega_2) \cap \omega_3 = \sup(N \cap \omega_3)$ .

*Proof.* Without loss of generality we can assume that  $|N| < \aleph_2$ .

Let  $\eta < \omega_3$  be in  $cl(N \cup \omega_2)$ . Then there is a Skolem term  $t, a \in N$  and  $\alpha < \omega_2$  such that  $\eta = t(a, \alpha)$ .

Consider  $\gamma = \bigcup_{\beta < \omega_2} t(a, \beta)$ . Then  $\gamma \in N$ , by elementarity, and, clearly,  $\gamma \ge \eta$ .  $\Box$  of the fact.

Now the following claim follows:

**Claim** Let  $B_0, B_1$  be models of q of cardinality  $\aleph_1$  and  $F_0, F_1$  models of q of cardinality  $\aleph_2$ . Then either

1. 
$$cl((B_0 \cap F_0) \cup \aleph_2) = cl((B_1 \cap F_1) \cup \aleph_2),$$
  
or

2. 
$$cl((B_0 \cap F_0) \cup \aleph_2) \in cl((B_1 \cap F_1) \cup \aleph_2),$$
  
or

3. 
$$cl((B_1 \cap F_1) \cup \aleph_2) \in cl((B_0 \cap F_0) \cup \aleph_2).$$

*Proof.* Just compare  $\sup(B_0 \cap F_0 \cap \omega_3)$  with  $\sup(B_1 \cap F_1 \cap \omega_3)$  and apply the fact above.  $\Box$  of the claim.

**Case** 2'. There is a new model of cardinality  $\aleph_2$  above  $\sup(A \cap M \cap \omega_3)$ .

Let D' be such a model. Then  $A \cap D' = A \cap cl(M \cup \aleph_2)$ , as was shown in Case 2 above. We have

$$H \cap D' = H \cap A \cap D' = H \cap A \cap cl(M \cup \aleph_2) = H \cap cl(M \cup \aleph_2).$$

If  $M \in H$ , then we are done.

Suppose that  $M \notin H$ .

Assume first that there is  $M^* \in N$  which is the cover of M, i.e.,  $N \cap M^* = N \cap M$ . Let us argue that then

$$H \cap D' = H \cap cl(M^* \cup \aleph_2).$$

Clearly,

 $H \cap D' \subseteq H \cap cl(M^* \cup \aleph_2),$ 

since  $H \cap D' = H \cap cl(M \cup \aleph_2)$  and  $M \subseteq M^*$ .

Let show the opposite inclusion. So, let  $z \in H \cap cl(M^* \cup \aleph_2)$ . Then there are a term  $t, \alpha < \omega_2$ and  $a \in M^*$  such that  $z = t(\alpha, a)$ . We have  $z, M^* \in H$ , hence there are  $\alpha \in H, a \in H \cap M^*$ such that  $z = t(\alpha, a)$ .

Recall that  $H \cap M^* = H \cap M$ . Hence,  $a \in H \cap M$ . So,  $z = t(\alpha, a) \in H \cap cl(M \cup \aleph_2)$ , and we are done.

The remaining possibility is that there are  $M^* \in H$  of cardinality  $\aleph_1$  and  $F^* \in H$  of cardinality  $\aleph_2$  such that  $M^* \cap F^*$  is the cover of M. We claim that then

$$H \cap D' = H \cap cl((M^* \cap F^*) \cup \aleph_2).$$

The argument is as above, only replace  $M^*$  with  $M^* \cap F^*$ .

**Case** 3'. There is a new model of cardinality  $\aleph_2$  below  $\sup(A \cap M \cap \omega_3)$ .

Let D' be such a model and D its pre-image under the reflection. Then  $D \cap \omega_3 < \sup(A \cap M \cap \omega_3)$ , since elements of  $A \cap M$  do not move under the reflection. Also,  $D \notin M$ , so the is  $E \in M$  which is the cover of D. Then  $E \in A \cap M$ . In particular, E does not move under the reflection.

Note that  $D' \subset D$ . Thus,  $D', D \subseteq E, M \cap E = M \cap D$  and  $D' \in M \cap E$ .

It was proved in Case 3 above that

$$A \cap D' = A \cap cl((M \cap E) \cup \aleph_2).$$

This implies that

$$H \cap D' = H \cap A \cap D' = H \cap A \cap cl((M \cap E) \cup \aleph_2) = H \cap cl((M \cap E) \cup \aleph_2).$$

Let now  $M^* \in H$  be the cover of M and  $E^* \in H$  be the cover of E.

We claim that

$$H \cap D' = H \cap cl((M^* \cap E^*) \cup \aleph_2).$$

Clearly,  $H \cap D' = H \cap cl((M \cap E) \cup \aleph_2) \subseteq H \cap cl((M^* \cap E^*) \cup \aleph_2).$ 

Let us show the opposite direction. So, let  $z \in H \cap cl((M^* \cap E^*) \cup \aleph_2)$ . Then there are a term  $t, \alpha < \omega_2$  and  $a \in M^* \cap E^*$  such that  $z = t(\alpha, a)$ . We have  $z, M^*, E^* \in H$ , hence there are  $\alpha \in H, a \in H \cap M^* \cap E^*$  such that  $z = t(\alpha, a)$ .

Recall that  $H \cap M^* = H \cap M$  and  $H \cap E = H \cap E^*$ . Hence,  $a \in H \cap M \cap E$ . So,  $z = t(\alpha, a) \in H \cap cl((M \cap E) \cup \aleph_2)$ , and we are done.

**Case** 4'. There is a new model of cardinality  $\aleph_1$  below  $\sup(A \cap M \cap \omega_3)$ .

Let D' be such a model and D its pre-image under the reflection. Then  $\sup(D \cap \omega_3) < \sup(A \cap M \cap \omega_3)$ , since elements of  $A \cap M$  do not move under the reflection. Also,  $D \notin M$ , so the is  $E \in M$  of cardinality  $\aleph_2$  which is a part of a  $\Delta$ -system that produces such D. Then  $E \in A \cap M$ . In particular, E does not move under the reflection.

We already proved that  $A \cap D' = A \cap M \cap E$ .

Then

$$H \cap D' = A \cap H \cap D' = H \cap A \cap D' = H \cap A \cap M \cap E = (H \cap M) \cap (H \cap E).$$

All the models H, M, E in q. Hence, by Definition 1.1 and the intersection properties,  $H \cap M = H \cap N$  and  $H \cap E = H \cap L$ , for some  $N, L \in H$ . Here we allow N to be of the form  $K \cap cl((S \cap G) \cup \omega_2)$  and L of the form  $cl((X \cap Y) \cup \omega_2)$  with all components K, S, G, X, Yin H and in q.

We can conclude, applying the claim, that  $H \cap M = K \cap cl((S \cap G) \cup \omega_2)$  or  $H \cap M = K \cap cl((X \cap Y) \cup \omega_2)$ .

**Lemma 3.3** The forcing  $\mathcal{P}$  is  $\omega$ -proper, i.e., proper.

#### Proof.

Let  $p \in \mathcal{P}$ . Pick  $\mathfrak{M}$  to be an elementary submodel of  $H(\chi)$  for some  $\chi$  large enough such that

- 1.  $|\mathfrak{M}| = \aleph_0$ ,
- 2.  $\mathcal{P}, p \in \mathfrak{M},$

Set  $M = \mathfrak{M} \cap H(\omega_3)$ .

We claim that  $p \cap M$  is  $(\mathcal{P}, \mathfrak{M})$ -generic. So, let  $r \geq p \cap M$  and  $\overline{D} \in \mathfrak{M}$  be a dense open subset of  $\mathcal{P}$ .

By extending r, if necessary, we can assume that  $r \in D$ .

Let  $r \upharpoonright M$  be the set of all models of r which belong to M.

Extend then inside  $M, r \upharpoonright M$  to a condition  $s \in \overline{D}$ .

We claim that r and s are compatible.

Moreover  $r \cup s$  is almost a condition. In order to turn it into a condition, new (i.e., those not in r) models should be mapped through  $\Delta$ -systems, when this applies.

The issue is with new models of sizes  $\aleph_1$  and  $\aleph_2$ .

Deal first with those of size  $\aleph_2$ .

So, let D be a model in r which is not in M of cardinality  $\aleph_2$  and there is a new model E in M of cardinality  $\aleph_2$ .

Then either the ordinal  $E \cap \omega_3$  is above or below  $D \cap \omega_3$ , which implies  $D \in E$  or  $E \in D$ , and we are done.

Let us turn to models of cardinality  $\aleph_1$ .

Consider first the following situation:

D be a model in r which is not in M of cardinality  $\aleph_2$  and B is a new model of cardinality  $\aleph_1$  in M.

Assume that we have  $E \in M$  and in s of cardinality  $\aleph_2$  such that  $M \cap E = M \cap D$ . Also let  $E \in B$ .

Then  $E = \bigcup_{i < \omega_2} E_i$  where  $\langle E_i | i < \omega_2 \rangle$  is increasing continuous sequence of models of cardinality  $\aleph_1$  with limit E, defined from E.

Set  $\sup(M \cap \omega_2) = \eta$ . Then  $M \cap E = M \cap cl(E_\eta \cup \omega_2)$ . Then *D* cannot be below  $cl(E_\eta \cup \omega_2)$ , since  $M \cap E = M \cap D$ . So,  $D \cap \omega_3 \ge \eta$ .

We have,  $i_B = \sup(B \cap \omega_3 \in M)$ , and hence,  $i_B < \eta$ . Clearly,  $B \cap E = E_{i_B}$ . Hence,

$$B \cap D \subseteq B \cap E = E_{i_B} \subseteq B \cap cl(E_{i_B} \cup \omega_2) \subseteq B \cap D.$$

So,  $B \cap D = B \cap E$ .

Suppose now that  $E = cl((X \cap Y) \cup \omega_2)$ , for some models  $X, Y \in M$  which are in s,  $|X| = \aleph_1$  and  $|Y| = \aleph_2$ . Then by the strong covering property of 1.1, there is  $\tilde{D}$  in X and in p which is a cover of D. Note that  $\tilde{D}$  not in M. Let  $\langle \tilde{D}_i | i < \omega_2 \rangle$  be an increasing continuous sequence of models of cardinality  $\aleph_1$  with limit  $\tilde{D}$ , defined from  $\tilde{D}$ . We have, by the strong covering property of 1.1,  $D \supseteq cl(\tilde{D}_{\sup(M \cap \omega_2} \cap \omega_2))$ . Now,  $B \in M$ , hence  $B \cap \omega_2 < \sup(M \cap \omega_2)$ . Then,

$$B \cap \tilde{D} = \tilde{D}_{B \cap \omega_2} \subseteq \tilde{D}_{\sup(M \cap \omega_2)}.$$

Hence,

$$B \cap D \subseteq B \cap \tilde{D} = \tilde{D}_{B \cap \omega_2} \subseteq \tilde{D}_{\sup(M \cap \omega_2)} \subseteq D.$$

So,  $B \cap D = B \cap \tilde{D}$ .

Suppose now that D is a model in r which is not in M of cardinality  $\aleph_1$  and B is a new model of cardinality  $\aleph_1$  in M.

Assume first that D is above B. There are  $\tilde{D}$  of cardinality  $\aleph_1$  and probably also F of cardinality  $\aleph_2$  both in M such that  $\tilde{D}$  or  $\tilde{D} \cap F$  is a cover of D for M. So,  $M \cap D = M \cap \tilde{D}$  or  $M \cap D = M \cap \tilde{D} \cap F$ . We assumed that B is below D, hence B must be below  $\tilde{D} \cap F$ . Then  $B \subseteq \tilde{D} \cap F$  and  $B \in \tilde{D} \cap F$ . So,  $B \in M \cap \tilde{D} \cap F = M \cap D$ . Hence  $B \subset D$ .

Assume now that D is below B.

Consider the cover of D for M. If there is D of cardinality  $\aleph_1$  which is such a cover, then  $\tilde{D} \in B$ , since both are on the same wide piste of M. Then  $B \supseteq \tilde{D} \supseteq D$ , and we are done.

Suppose now that the cover of D is  $\tilde{D} \cap F$ . If B is above  $\tilde{D}$  or it is below F, then we are done as above.

Consider the remaining case: B is below D and above F. Apply now Definition 2.1(9). It follows that there are a countable A which is equal M or above M, a  $\Delta$ -system triple  $M^*, M_0^*, M_1^*$  each of cardinality  $\aleph_1, M_1$  above  $M_0$ , with witnessing models  $F_0, F_1$  all of them in A and in p.

In addition, there is reflection of A (and it members) into  $M_1^*$ .

Here we have  $B \in A \cap M^*$ , and so it does not move under this reflection.

Denote by  $M^{*'}, M_1^{*'}, M_0^{*'}, F_0'$  the images of  $M^*, M_1^*, M_0^*, F_0$  under the reflection. Note that  $F_1 \in M_1^* \cap A$ , and so it does move.

Turn to B and D. In our case, D is  $M_0^{*'}$  or  $D \in M_0^{*'}$  and B is  $M_1^{*'}$  or  $B \in M_1^{*'}$ .

Apply the isomorphism  $\pi_{M_0^{*'}M_1^{*'}}$  and move D to a corresponding model  $D_1 \in M_1^{*'} \cup \{M_1^{*'}\}$ . It is in p.

Then either  $B = D_1$  or  $B \in D_1$  or  $D_1 \in B$ , since we are here in the situation considered above, i.e., the covering set consists of a model of cardinality  $\aleph_1$  and not of an intersection of such model with those of cardinality  $\aleph_2$ .

Now,  $\pi_{M_1^{*'}M_0^{*'}}(B)$  satisfies the same.

# References

[1] M. Gitik, Short extenders forcings -doing without preparations, https://math.tau.ac.il/gitik/somepapers.html