# On $\kappa$ -compact cardinals.

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#### Abstract

We deal with some questions related to  $\kappa$ -compact cardinals.

## 1 Introduction

related questions raised in [1].

**Definition 1.1**  $\kappa$  is  $\kappa$ -compact cardinal iff every  $\kappa$  complete filter over  $\kappa$  can be extended to a  $\kappa$ -complete ultrafilter over  $\kappa$ .

Clearly, if  $\kappa$  is  $2^{\kappa}$ -supercompact or even  $2^{\kappa}$ -strongly compact, then it is  $\kappa$ -compact. In [7] W. Mitchell asked whether  $o(\kappa) = \kappa^{++}$  is sufficient for model with a  $\kappa$ -compact cardinal. It was answered negatively in [1]. It was shown there that at least a strong cardinal is required. Here we will somewhat improve this result and also will address some

# 2 An application to distributive forcing notion.

Let us argue first that  $\kappa$ -compact cardinal generates an extender suitable for Extender Based Prikry forcing.

**Theorem 2.1** Let  $\kappa$  be a  $\kappa$ -compact cardinal. Then there is an extender E over  $\kappa$  such that

- 1.  ${}^{\kappa}M_E \subseteq M$ , where  $i_E : V \to M_E \simeq Ult(V, E)$  is the corresponding elementary embedding,
- 2. every  $\kappa$  complete filter over  $\kappa$  can be extended to a  $\kappa$ -complete ultrafilter over  $\kappa$  of the form  $E_{\xi}$ , for some  $\xi < i_E(\kappa)$ , where  $E_{\xi} = \{X \subseteq \kappa \mid \xi \in i_E(X)\}$ .

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*Proof.* Let  $\lambda = (2^{2^{\kappa}})^+$ . Let

$$\langle W_{\alpha} \mid \alpha < \lambda \rangle$$

be a list of all  $\kappa$ -complete non-principle ultrafilters over  $\kappa$  (with repetitions). Then for every  $\kappa$ -complete non-principle filter U over  $\kappa$  there is  $\alpha < \lambda$  such that  $U \subseteq W_{\alpha}$ .

It is enough to construct an extender E with ultrapower closed under  $\kappa$ -sequences which has all  $W_{\alpha}$ 's among its measures.

The construction is similar to those from [1].

Denote by  $\mathcal{P}_{\kappa^+}(\lambda)$  the set  $\{a \subseteq \lambda \mid |a| \leq \kappa\}$ . For every  $\tau < \kappa^+$  let  $f_\tau : \kappa \to \kappa$  be a canonical function representing  $\tau$ . We shall define a  $\kappa$ -complete ultrafilter  $U_a$  concentrating over the set  $X_a = \{\langle \alpha_{\nu} \mid \nu < f_{otp(a)}(\alpha_0) \rangle \mid \alpha_{\nu} < \kappa, \nu_1 < \nu_2 \to \alpha_{\nu_1} < \alpha_{\nu_2}\}$  for  $a \in \mathcal{P}_{\kappa^+}(\lambda)$ . Once  $b \subseteq a$ ,  $U_b$  will be obtained from  $U_a$ , as follows. Let  $\langle a_i \mid i < otp(a) \rangle$  be the increasing enumeration of a. Then for some increasing sequence  $\langle i_j \mid j < otp(b) \rangle$ ,  $b = \langle a_{i_j} \mid j < otp(b) \rangle$ . Project  $U_a$  to the coordinates  $\langle i_j \mid j < otp(b) \rangle$ . Let  $\pi_{ab}$  be such a projection. Then  $U_b$ , will be the set of  $\{\pi''_{ab}(X) \mid X \in U_a\}$ . Let us turn to the definition of  $U_a$ 's. Fix some enumeration  $\langle a_{\alpha} \mid \alpha < \kappa^{++} \rangle$  of  $\mathcal{P}_{\kappa^+}(\lambda)$ . For every  $\alpha < \kappa^{++}$ , set  $U_{\{\alpha\}} = W_{\alpha}$ .

- 1. for every  $\beta < \alpha$ , a  $\kappa$ -complete ultrafilter  $U_{a_{\beta}}$  is defined;
- 2. for every  $b \in \mathcal{P}_{\kappa^+}(\lambda)$ , if for some  $\gamma < \alpha$ ,  $b \subseteq a_{\gamma}$ , then  $U_b$  is defined and it is the projection of  $U_{a_{\gamma}}$  by  $\pi_{a_{\gamma}b}$ .

Let us define  $U_{a_{\alpha}}$ . The only nontrivial case is when there is no  $\gamma < \alpha$  such that  $a_{\gamma} \supseteq a_{\alpha}$ . Define then first a  $\kappa$ -complete filter U concentrating over  $X_{opt(a_{\alpha})}$ . Set  $X \in U$  iff for some  $\gamma < \alpha$ , some  $b \subseteq a_{\alpha} \cap a_{\gamma}$  there exists  $X_b \in E_b$ , such that  $X = \pi_{a_{\alpha}b}^{-1} X_b$ . Using the inductive assumptions (1), (2) and the commutativity of the projection function  $\pi_{cd}$ , it is not hard to see that a so-defined U is a  $\kappa$ -complete filter. Let  $U_{a_{\alpha}}$  be a  $\kappa$ -complete ultrafilter extending U. For every  $b \subseteq a_{\alpha}$ , if  $U_b$  is still not defined, define it to be the projection of  $U_{a_{\alpha}}$  by  $\pi_{a_{\alpha}b}$ . This completes the construction of  $\langle U_{a_{\alpha}} \mid \alpha < \lambda \rangle$ .

Let  $N_a$  be the ultrapower of V by  $U_a$  and  $i_a : V \to N_a$ , the canonical embedding. The projection  $\pi_{ab}$  induces the elementary embedding  $i_{ba} : N_b \to N_a$ .  $\langle N_a, i_{ab} | a \subseteq b, a, b \in \mathcal{P}_{\kappa^+}(\lambda) \rangle$  forms a directed system, where  $N_{\emptyset} = V$  and  $i_{\emptyset a} = i_a$ . The direct limit of this system is well-founded and closed under  $\kappa$ -sequences. Let E be the derived extender. Then it is as desired.

Let us now use such an extender E to define a variation Extender Based Prikry forcing.

**Theorem 2.2** Assume GCH. Let  $\kappa$  be a  $\kappa$ -compact cardinal. Then there is a cardinal preserving extension in which for every  $\kappa$ -distributive forcing notion  $Q \in V$  of cardinality  $\kappa$  there is a V-generic subset.

**Remark 2.3** Note that it is easy to obtain such generics once  $\kappa$  is a  $\kappa^+$ -strongly compact cardinal, but  $\kappa^+$  is collapsed in the extension.

*Proof.* Fix an extender E given by 2.1. We assumed GCH, so E can be picked to be an extender over  $\kappa$  of the length  $\kappa^{++}$ .

Let Q be  $\kappa$ -distributive forcing notion of cardinality  $\kappa$ . Replace by an isomorphic one over  $\kappa$ .

Consider the filter  $F_Q$  of its dense open subsets. Then  $F_Q$  is a  $\kappa$ -complete filter over  $\kappa$ . Hence, for some  $\eta < \kappa^{++}$ ,  $F_Q \subseteq E_{\eta}$ . Denote the least such  $\eta$  by  $\eta_Q$ .

It is possible to force now with Extender E based Prikry forcing in the Merimovich style [6] or, after an additional forcing turning E into a P-point, with the original extender based Prikry forcing, as in [3]. This will produce Prikry sequences for each  $F_Q$  as above, i.e. sequences  $\langle q_n \mid n < \omega \rangle$  such that for every dense open  $D \subseteq Q$ ,  $q_n \in D$ , for all but finitely many n's.

However, it is not enough to produce a generic subset of Q, since such  $q_n$ 's need not be compatible.

Let us modify slightly the extender based forcing used, in order to overcome this difficulty.

Denote by  $Q_q$ , for every  $q \in Q$ , the set

$$\{q' \in Q \mid q' \ge q\}.$$

Then  $Q_q$  is a  $\kappa$ -distributive forcing notion of cardinality  $\kappa$  as well. So,  $F_{Q_q}$  is defined. In addition, for every  $D \in F_Q$ , the set

$$\{q' \in Q \mid q' \ge q \text{ and } q' \in D\}$$

is in  $F_{Q_q}$ .

Without loss of generality we can assume that each Q under the consideration is nowhere atomic forcing notion. Then, for every  $A \subseteq Q$ ,  $|A| < \kappa$ , there is a dense open  $D \subseteq Q$  with  $A \cap D = \emptyset$ . Just for each  $q \in A$  consider

$$D_q = \{q' \in Q \mid q' > q \text{ or } q' \not\parallel q\}.$$

Then every  $D_q$  is a dense open and  $\bigcap_{q \in A} D_q$  is a dense open disjoint from A.

A typical condition p in the extender based Prikry forcing with E is of the form

$$\langle \langle p^{\gamma} \mid \gamma \in supp(p) \rangle, \langle p^{mc}, T^{p} \rangle \rangle.$$

The support of p, supp(p) is a subset of  $\kappa^{++}$  of cardinality  $\leq \kappa, \kappa \in supp(p)$ . The maximal coordinate mc = mc(p) is an ordinal  $\alpha < \kappa^{++}$  which is above (in the order  $\leq_E$  of the extender) every  $\beta \in supp(p)$ . Each  $p^{\gamma}, \gamma \in supp(p)$  and  $p^{mc}$  is a finite increasing sequence of ordinals. They are initial segments of the Prikry sequences for  $\gamma$ 's and mc respectively. The set  $T^p$  is responsible for potential extensions.

Let us make the following changes:

- 1. if for some Q,  $\eta_Q \in supp(p) \cup \{mc(p)\}$ , then for every  $q \in Q$ ,  $\eta_{Q_q} \in supp(p) \cup \{mc(p)\}$ , as well;
- 2.  $p^{\eta_Q}$  is increasing also in the order of Q;
- 3. once extending a condition p,  $p^{\eta_Q}$  extends by a member of a set of measure one for  $E_{\eta_{Q_{\max(p^{\eta_Q})}}}$  instead of a member of a set of measure one for  $E_{\eta_Q}$ .

The basic properties of the forcing remain valid after this changes.

The last condition insures that the generic  $\omega$ -sequences growing over a coordinate  $\eta_Q$  will be increasing in the order of Q, and so will generate a V-generic subset of Q.

## 3 Strength of $\kappa$ -compact cardinals.

It was shown in [1] that an inner model with a strong cardinal is a lower bounds on a strength of  $\kappa$ -compact cardinals. Here we would like to improve this lower bound.

**Theorem 3.1** Suppose  $\kappa$  is  $\kappa$ -compact then there is a inner model with a Woodin cardinal

*Proof.* Suppose otherwise. Then by Jensen-Steel [5], the core model K exists. Define

$$E := (E_{\aleph_0}^{\kappa})^K = \{\nu < \kappa \mid (cf(\nu))^K = \omega\}$$

and let

 $F_0 = (Cub \upharpoonright E)^K.$ 

Then  $F_0$  is a normal filter on  $\kappa$  in K.

Let, in V,

$$\mathcal{F} = \{ X \subseteq \kappa \mid \exists A \in F_0(A \subseteq X) \}.$$

**Lemma 3.2**  $\mathcal{F}$  is a  $\kappa$ -complete filter in V.

*Proof.* By [5], K satisfies GCH, in particular,  $2^{\kappa} = \kappa^+$ . So, in K, there is a sequence  $\langle A_{\alpha} \mid \alpha < \kappa^+ \rangle$  such that

- 1.  $A_{\alpha} \in Cub_{\kappa} \upharpoonright E$ , for every  $\beta < \alpha < \kappa^+$ ,
- 2.  $A_{\alpha} \subseteq^* A_{\beta}$  (i.e.  $|A_{\alpha} \setminus A_{\beta}| < \kappa$ ) for every  $\beta < \alpha < \kappa^+$ ,
- 3.  $\forall A \in Cub_{\kappa} \upharpoonright E$  there is  $\alpha < \kappa^+$  such that  $A_{\alpha} \subseteq A$ .

Now, work in V. We have, by [5],  $(\kappa^+)^K = \kappa^+.$  Let

$$\langle X_\tau \mid \tau < \delta \rangle$$

be the sequence of members of  $\mathcal{F}$  for some  $\delta < \kappa$ . Let us show that

$$\bigcap_{\tau<\delta}X_{\delta}\in\mathcal{F}.$$

For each  $\tau < \delta$  there is  $A \in (Cub_{\kappa} \upharpoonright E)^{K}$  such that  $X_{\tau} \supseteq A$ . Then there is  $\alpha_{\tau} < \kappa^{+}$  such that  $A_{\alpha_{\tau}} \subseteq^{*} A$ . Pick  $\rho_{\tau} < \kappa$  with  $A \supseteq A_{\alpha_{\tau}} \setminus \rho_{\tau}$ . Then,

$$X_{\tau} \supseteq A_{\alpha_{\tau}} \backslash \rho_{\tau}.$$

Let

$$\rho^* = \sup(\{\rho_\tau \mid \tau < \delta\}) < \kappa^+$$

and

$$\alpha^* = \sup(\{\alpha_\tau \mid \tau < \delta\}) < \kappa^+.$$

Then for all  $\tau < \delta$  we have

$$A_{\alpha^*} \subseteq^* A_{\alpha_\tau}.$$

Pick  $\xi_{\tau} < \kappa$  such that  $A_{\alpha^*} \setminus \xi_{\tau} \subseteq A_{\alpha_{\tau}}$ . Set

$$\xi^* = \sup_{\tau < \delta} \xi_\tau < \kappa.$$

Then

$$A_{\alpha^*} \setminus \max(\rho^*, \xi^*) \subseteq X_{\tau},$$

for each  $\tau < \delta$ . Clearly,

$$A_{\alpha^*} \setminus \max(\rho^*, \xi^*) \in \mathcal{F}.$$

Hence,

$$\bigcap_{\tau<\delta}X_{\tau}\in\mathcal{F}.$$

 $\Box$  of the lemma.

There is a  $\mathcal{F}^* \supseteq \mathcal{F}$  that is a  $\kappa$ -complete ultrafilter, since  $\kappa$  is a  $\kappa$ -compact cardinal. Consider

$$i^*: V \longrightarrow M \simeq V^{\kappa}/F^*.$$

Let  $\tilde{i} = i \upharpoonright K$ . By R. Schindler [8],  $\tilde{i}$  is an iterated ultrapower along the cofinal branch of an iteration tree.

Let  $\delta = [id]_{\mathcal{F}^*}$ 

**Claim 1**  $\delta$  can not be of the form  $\kappa_{\alpha}$ , where  $\kappa_{\alpha}$  is one of the images of  $\kappa$  along the iteration  $\tilde{i}$ .

*Proof.* Just otherwise,  $\delta$  will be regular in  $(K)^M$ , but  $\delta \in \tilde{i}(E)$ .  $\Box$  of the claim.

So,  $\delta$  is not one of  $\kappa_{\alpha}$ 's. Then, unless there is an extender involved of a super-strong type, there will be  $n < \omega, f : [\kappa]^n \to \kappa$ , generators  $\mu_1 < \ldots < \mu_n < \delta$  such that

$$\tilde{i}(f)(\mu_1,...,\mu_n) \ge \delta.$$

Consider in K the following set

$$C = \{\nu < \kappa \mid \forall a_1, ..., a_n \in [\nu]^{<\omega} f(a_1, ..., a_n) < \nu\}.$$

Then, C is a club. Hence,  $C \in F_0 \subseteq \mathcal{F}$ . So,  $\delta \in i(C) = \tilde{i}(C)$ , which is impossible. Contradiction.

### 4 Some weakening.

Let us consider the following natural weakening of  $\kappa$ -compactness:

**Definition 4.1**  $\kappa$  is weakly  $\kappa$ -compact iff for every stationary  $S \subseteq \kappa$ , the filter  $Cub \upharpoonright S$  can be extended to a  $\kappa$  complete ultrafilter over  $\kappa$ .

Let us recall the following notion:

**Definition 4.2** (Mitchell) Let  $\langle U(\kappa,\beta) | \beta < \rho \rangle$  be a sequence of measures over  $\kappa$ . We say  $\beta^* < \rho$  is a weak repeat point for the sequence iff for every  $A \in U(\kappa,\beta^*)$  there is some  $\gamma < \beta^*$  such that  $A \in U(\kappa,\gamma)$ .

Note that under GCH, the first weak repeat point is an ordinal of cofinality  $\kappa^+$ , above  $\kappa^+$  and below  $\kappa^{++}$ .

The next lemma is well known and likely is due to W. Mitchell.

**Lemma 4.3** Let  $\vec{U} = \langle U(\alpha, \beta) \mid \alpha \leq \kappa, \alpha \in dom(\vec{U})\&\beta < o^{\vec{U}}(\alpha) \rangle$  be a coherent sequence  $\kappa \in dom(U), \ \kappa = maxdom(U).$  Suppose that  $\beta^* < o^{\vec{U}}(\kappa)$  is the first weak repeat point for  $\langle U(\kappa, \beta) \mid \beta < o^{\vec{U}}(\kappa) \rangle$ . Then there is a sequence  $\langle A_{\beta} \mid \beta < \beta^* \rangle$  such that for every  $\beta' \neq \beta < \beta^*, \ A_{\beta} \in U(\kappa, \beta) \setminus U(\kappa, \beta').$ 

*Proof.* Let  $\beta < \beta^*$ . Then there is  $B_{\beta} \in U(\kappa, \beta)$  such that for every  $\gamma < \beta$ ,

$$B_{\beta} \notin U(\kappa, \gamma).$$

Consider

$$X_{\beta} = \{ \nu < \kappa \mid \forall \xi < o^{\vec{U}}(\nu) (B_{\beta} \cap \nu \notin U(\nu, \xi)) \}.$$

Then  $X_{\beta} \in U(\kappa, \beta)$ , since by coherence

$$M_{\kappa,\beta} \models \forall \xi < o^{i_{\beta}(\vec{U})}(\kappa) = \beta(i_{\kappa,\beta}(B_{\beta}) \cap \kappa) = B_{\beta} \notin U(\kappa,\xi)).$$

It follows that  $\kappa \in i_{\kappa,\beta}(X_{\beta})$  and then  $X_{\beta} \in U(\kappa,\beta)$ , where

$$i_{\kappa,\beta} := i_{U(\kappa,\beta)} : V \longrightarrow M_{\kappa,\beta} \simeq V^{\kappa}/U(\kappa,\beta).$$

Take  $A_{\beta} = B_{\beta} \cap X_{\beta}$ . Then  $A_{\beta} \in U(\kappa, \beta)$ ,  $A_{\beta} \notin U(\kappa, \gamma)$  for every  $\gamma < \beta$ , but, also, we can check that  $A_{\beta} \in U(\kappa, \gamma)$  for  $\beta < \gamma < o^{\vec{U}}(\kappa)$ .

Thus, if  $A_{\beta} \in U(\kappa, \gamma), \beta < \gamma < o^{\vec{U}}(\kappa)$ , then  $\kappa \in i_{\kappa,\gamma}(X_{\beta})$  and, consequently,

$$M_{\kappa,\gamma} \models \forall \xi < \gamma \ (i_{\kappa,\gamma}(B_{\beta} \cap \kappa) = B_{\beta} \cap \kappa \notin U(\kappa,\xi) \ ),$$

but  $\beta < \gamma$  and  $B_{\beta} \in U(\kappa, \beta)$ 

**Theorem 4.4** Suppose that  $\kappa$  is a weakly  $\kappa$ -compact, then there is a weak repeat point for the coherent sequence of measures over  $\kappa$  in the core model K.

*Proof.* Pick be a normal measure W over  $\kappa$ . Let

$$i: V \longleftrightarrow M \simeq V^{\kappa}/W$$

Let  $\tilde{i} = i \upharpoonright K$ . Then

$$\tilde{i}: K \longrightarrow (K)^M$$

is an iterate of K.

Let  $U(\kappa, \eta)$  be the first measure used in  $\tilde{i}$ .

Assume that there is no repeat point over  $\kappa$  in K. Then there will be a set  $A_{\eta} \in K$ ,  $A_{\eta} \in U(\kappa, \eta)$  such that

$$\forall \xi \neq \eta \ (A_\eta \notin U(\kappa, \xi)).$$

**Lemma 4.5** Suppose that  $B \subseteq A_{\eta}$ ,  $B \in K$  and  $B \notin U(\kappa, \eta)$ . Then, in V, B is non-stationary.

*Proof.* Suppose otherwise. Then there is  $B \subseteq A_{\eta}, B \in K, B \notin U(\kappa, \eta)$  stationary in V. Work in V. Let  $F := Cub_{\kappa} \upharpoonright B$ .

By the assumption, there is a  $\kappa$ -complete ultrafilter  $F^*$  over  $\kappa$  such that  $F^* \supseteq F$ . Let

$$i^*: V \longrightarrow M \simeq V/F$$

and

$$i^* \upharpoonright K = \tilde{i}^* : K \longrightarrow (K)^{M^*}.$$

Then  $\tilde{i}^*$  it is an iterate ultrapower of K. Let

$$\delta = [id]_{F^*}.$$

Then  $\delta \in i^*(B) = \tilde{i}^*(B)$ , also, for every  $C \subseteq \kappa$  club in  $K, \delta \in i^*(C)$ 

**Claim 2**  $\delta$  can not be any of the images  $\kappa_{\alpha}$  of  $\kappa$  obtained during the iteration  $\tilde{i}^*$ .

*Proof.* Suppose otherwise. Then there is  $\alpha$  such that  $\delta = \kappa_{\alpha}$ . Write  $i_{\geq \delta} \circ i_{<\delta} = \tilde{i}^*$  where  $\delta = \kappa_{\alpha} = critc(i_{\geq \delta})$ . So there is  $\gamma < o^{(K)^{M^*}}(\kappa_{\alpha})$  such that  $U(\kappa_{\alpha}, \gamma)$  is used in the iteration. Then  $\delta \in \tilde{i}^*(B)$  implies  $i_{<\delta}(B) \in U(\kappa_{\alpha}, \gamma)$ . But

$$K \models \forall \xi < o(\kappa) \left( B \notin U(\kappa, \xi) \right).$$

Then by elementarity of  $i_{<\delta}$ , we have that

$$i_{<\delta}(B) \notin U(\kappa_{\alpha}, \gamma).$$

Contradiction.

 $\Box$  of the claim.

So,  $\delta$  is not an image of  $\kappa$  during the iteration. Then there are  $f : [\kappa]^n \longrightarrow \kappa$  and  $\kappa_{\alpha_1}, \ldots, \kappa_{\alpha_n} < \delta$  such that

$$\tilde{i}^*(f)(\kappa_{\alpha_1},...,\kappa_{\alpha_n}) \ge \delta.$$

Consider

$$C := \{ \nu \in \kappa \mid \forall \rho_1, ..., \rho_n < \nu \ f(\rho_1, ..., \rho_n) < \nu \}.$$

Then C is a club in  $\kappa, C \in K$ , but  $\delta \in \tilde{i}^*(C)$ . Contradiction.  $\Box$  of the lemma.

Let us conclude now the proof of the theorem. Notice that  $Cub \upharpoonright A_{\eta} \in M$ , since  $(\mathcal{P}(\kappa))^{V} = (\mathcal{P}(\kappa))^{M}$ . By the lemma, it follows that

$$(\mathcal{P}(\kappa))^K \cap Cub \restriction A_\eta = U(\kappa, \eta) \in M,$$

this is a contradiction since  $U(\kappa, \eta)$  coheres with  $K^M$  and is in M (it implies that it is in  $K^M$  by its maximality) but is not in  $K^M$ .

**Remark 4.6** 1. The above proof actually shows that non of  $U(\kappa, \eta)$ 's with  $\eta$  below a weak repeat point can be extended to a normal  $\kappa$ -complete ultrafilter and every stationary  $X \subseteq \kappa, X \in K$  must have measure one in one of the measures over  $\kappa$  in K.

2. If we assume that only the following:

for every stationary  $A \subseteq \kappa, A \in K$  the filter  $Cub \upharpoonright A$  extends to a  $\kappa$ -complete ultrafilter, then the argument goes through and the conclusion will be the same.

The next result is strengthening a bit the previous one.

**Theorem 4.7** Suppose that for every  $A \subseteq \kappa, A \in K$  such that  $A \cap Regular$  is stationary, the filter generated by  $(Cub_{\kappa} \upharpoonright A \cap Regular)^{K}$  extends to a  $\kappa$ -complete ultrafilter. Then there is a repeat point for the coherent sequence of measures over  $\kappa$  in the core model K.

*Proof.* Proceed as in 4.4. Let W be a normal measure over  $\kappa$ . Let

$$i: V \longleftrightarrow M \simeq V^{\kappa}/W$$

Consider  $\tilde{i} = i \upharpoonright K$ . Then

 $\tilde{i}: K \longrightarrow (K)^M$ 

is an iterate of K.

Let  $U(\kappa, \eta)$  be the first measure used in  $\tilde{i}$ .

Assume that there is no repeat point over  $\kappa$  in K. Then there will be a set  $A_{\eta} \in K$ ,  $A_{\eta} \in U(\kappa, \eta)$  such that

$$\forall \xi \neq \eta \ (A_\eta \notin U(\kappa, \xi)).$$

Some of the elements of  $A_{\eta}$  may be singular in V, still  $A \cap Regular$  is stationary since  $A \in W$ and W is a normal measure.

The following analog of Lemma 4.5:

**Lemma 4.8** Suppose that  $B \subseteq A_{\eta}$ ,  $B \in K$  and  $B \notin U(\kappa, \eta)$ . Then, in  $V, B \cap Regular$  is non-stationary.

*Proof.* Suppose otherwise. Then there is  $B \subseteq A_{\eta}, B \in K, B \notin U(\kappa, \eta)$  stationary in V. Work in V.

Let F be the filter generated by  $(Cub_{\kappa} \upharpoonright B)^{K}$ , i.e.

$$F = \{ X \subseteq \kappa \mid (\exists C \in K \text{ a club }) (X \supseteq B \cap C) \}.$$

By the assumption, there is a  $\kappa$ -complete ultrafilter  $F^*$  over  $\kappa$  such that  $F^* \supseteq F$ .

Continue now exactly as in Lemma 4.5. Note that the club C defined there at the final stage is in K, hence  $\delta \in \tilde{i}^*(C)$ . Contradiction.  $\Box$  of the lemma. Let us conclude now the proof of the theorem.

Notice that  $Cub_{\kappa} \upharpoonright A_{\eta} \cap Regular \in M$ , since V and M agree about regularity of cardinals below  $\kappa$  (just  $\kappa$  is the critical point) and  $(\mathcal{P}(\kappa))^{V} = (\mathcal{P}(\kappa))^{M}$ . By the lemma, it follows that

$$(\mathcal{P}(\kappa))^K \cap (Cub \upharpoonright A_\eta \cap Regular) = U(\kappa, \eta) \in M,$$

this is a contradiction since  $U(\kappa, \eta)$  coheres with  $K^M$  and is in M (it implies that it is in  $K^M$  by its maximality) but is not in  $K^M$ .

### 5 Forcing constructions-regular cardinals.

In this section we would like to provide an upper bound on consistency strength of a weakly  $\kappa$ -compact cardinal  $\kappa$  and weaker properties considered in the previous section. Let us start with the following observation.

**Theorem 5.1** Suppose that there is a weak repeat point over  $\kappa$  in the core model. Then there is a cofinality preserving extension in which for every  $X \subseteq \kappa, X \in K$  stationary and consisting of regular cardinals, the filter generated  $(Cub_{\kappa} \upharpoonright X)^{K}$  extends to a  $\kappa$ -complete ultrafilter. However there is  $X \subseteq \kappa, X \in K$  stationary and consisting of regular cardinals, such that the filter  $Cub_{\kappa} \upharpoonright X$  does not extend to a  $\kappa$ -complete ultrafilter.

Proof. Let

$$\vec{U} = \langle U(\kappa, \alpha) \mid \alpha \le \eta \rangle$$

be a coherent sequence of measures over  $\kappa$  in K,  $o(\kappa) = \eta + 1$  and  $\eta$  is the least weak repeat point for  $\vec{U}$ . It is well known (see for example [2]) that then  $cof(\eta) = \kappa^+$  and for every  $X \in U(\kappa, \eta)$  the set

$$\{\xi < \eta \mid X \in U(\kappa, \xi)\}$$

is unbounded in  $\eta$ . Denote by  $\mathcal{F}_{\eta}$  the following set:

$$\{X \subseteq \kappa \mid \exists \gamma < \eta \forall \beta (\gamma \le \beta < \eta \longrightarrow X \in U(\kappa, \beta))\}.$$

Then it is a  $\kappa$ -copmplete filter over  $\kappa$  and  $U(\kappa, \eta) \supseteq \mathcal{F}_{\eta}$ , since otherwise there will be a set  $Y \in \mathcal{F}_{\eta} \setminus U(\kappa, \eta)$ . But, then  $\kappa \setminus Y \in U(\kappa, \eta)$ , which is impossible, since the set  $\{\xi < \eta \mid \kappa \setminus Y \in U(\kappa, \xi)\}$  is unbounded in  $\eta$ . Define now a Backward Easton iteration

$$\langle P_{\alpha}, Q_{\beta} \mid \beta \leq \kappa, \alpha \leq \kappa + 1 \rangle.$$

Suppose that  $\alpha < \kappa + 1$  and  $P_{\alpha}$  is defined. Define  $Q_{\alpha}$ . Set  $Q_{\alpha}$  to be a trivial forcing unless  $o(\alpha) > 0$  is a limit ordinal.

Once  $o(\alpha) > 0$  and it is a limit ordinal, then let  $Q_{\alpha}$  be the less than  $\alpha$ -support iteration of the standard forcing notion for adding a club into  $X \cup Singular$ , for every  $X \subseteq \alpha$  such that for some  $\gamma < \alpha$ ,

$$X \in \bigcap_{\gamma \le \beta < o(\alpha)} U(\alpha, \beta).$$

Now, the elementary embedding  $i_{\eta} : K \to K_{\eta} \simeq K^{\kappa}/U(\kappa, \eta)$  extends, but non of  $i_{\xi}$  for  $\xi < \eta$ . However, we will extend the embeddings by  $U(\kappa, \eta) \times U(\kappa, \xi)$ , for  $\xi < \eta$ . This way it will be insured that for each  $X \subseteq \kappa \cap Regular, X \in K$  which is stationary in the extension there will be a  $\kappa$ -complete ultrafilter including  $(Cub_{\kappa} \upharpoonright X)^{K}$ . Such ultrafilter will be an extension of  $U(\kappa, \eta) \times U(\kappa, \xi)$  with  $X \in U(\kappa, \xi)$ .

Let  $G(P_{\kappa}) * G(Q_{\kappa})$  be a generic subset of  $P_{\kappa} * Q_{\kappa}$ .

Lemma 5.2 The elementary embedding

$$i_{\eta}: K \to K_{\eta} \simeq K^{\kappa}/U(\kappa, \eta)$$

extends to an elementary embedding

$$i_{\eta}^*: K[G(P_{\kappa}) * G(Q_{\kappa})] \to K_{\eta}[G(P_{i_{\eta}(\kappa)} * G(Q_{i_{\eta}(\kappa)})],$$

for some  $K_{\eta}$ -generic subsets  $G(P_{i_{\eta}(\kappa)} * G(Q_{i_{\eta}(\kappa)}))$  of  $i_{\eta}(P_{\kappa} * Q_{\kappa})$ .

Proof. Note that  $\mathcal{F}_{\eta} \in M_{\eta}$  by the coherency of the sequence  $\vec{U}$ . The club subsets are added over  $\kappa$  to  $X \cup Singular$ , for every  $X \in \mathcal{F}_{\eta}$ . But each X like this is necessary in  $U(\kappa, \eta)$ . So  $i_{\eta}$  extends in a standard way. Note that the adding of singulars provides enough closure in order to construct a master condition sequence.

 $\Box$  of the lemma.

Let

$$i_{\eta\xi}: K \to K_{\eta,\xi} \simeq K^{\kappa^2} / U(\kappa,\eta) \times U(\kappa,\xi)$$

be the elementary embedding corresponding to  $U(\kappa, \eta) \times U(\kappa, \xi)$ , where  $\xi < \eta$ . Then, similar to 5.2, we obtain the following: **Lemma 5.3** Let  $\xi < \eta$ . Then the elementary embedding

$$i_{\eta\xi}: K \to K_{\eta\xi}$$

extends to an elementary embedding

$$i_{\eta\xi}^* : K[G(P_{\kappa}) * G(Q_{\kappa})] \to K_{\eta\xi}[G(P_{i_{\eta}(\kappa)} * G(Q_{i_{\eta}(\kappa)})],$$

for some  $K_{\eta\xi}$ -generic subsets  $G(P_{i_{\eta\xi}(\kappa)} * G(Q_{i_{\eta\xi}(\kappa)}))$  of  $i_{\eta\xi}(P_{\kappa} * Q_{\kappa})$ .

Suppose now that  $X \subseteq \kappa \cap Regular, X \in K$  is stationary in the extension. Then there is  $\xi < \eta$  such that  $X \in U(\kappa, \xi)$ . Hence,

$$\kappa \in i_{\xi}(X)$$
 and  $i_{\eta}(\kappa) \in i_{\eta\xi}(X)$ .

Also, if  $C \subseteq \kappa, C \in K$  is a club, then

$$\kappa \in i_{\xi}(C) \text{ and } i_{\eta}(\kappa) \in i_{\eta\xi}(C).$$

Consider now in  $K[G(P_{\kappa}) * G(Q_{\kappa})]$  the following  $\kappa$ -complete ultrafilter:

$$U_{\xi} := \{ Y \subseteq \kappa \mid i_{\eta}(\kappa) \in i_{\eta\xi}^*(Y) \}.$$

Then

$$(Cub \upharpoonright X)^K \subseteq U_{\xi}.$$

It remains to give an example of a stationary (in the extension) set  $X \subseteq \kappa \cap Regular, X \in K$  such that the filter  $Cub_{\kappa} \upharpoonright X$  does not extend to a  $\kappa$ -complete ultrafilter. Let X be any  $\mathcal{F}_{\eta}$ -positive set in K which does not belong to  $U(\kappa, \eta)$ . Suppose that the filter  $Cub_{\kappa} \upharpoonright X$  extends to a  $\kappa$ -complete ultrafilter W. Consider

$$i_W : K[G(P_\kappa) * G(Q_\kappa)] \to M_W \simeq K[G(P_\kappa) * G(Q_\kappa)]^{\kappa} / W.$$

Let

 $\delta = [id]_W$  and  $\tilde{i} = i_W \upharpoonright K$ .

Then

 $\tilde{i}: K \to K^{M_W}.$ 

The forcing used was cofinality preserving forcing. Then, also,  $K^{M_W}$  and  $M_W$  agree on cofinality of ordinals. In addition,  $M_W$  is closed under  $\kappa$ -sequences of its elements, as an ultrapower by a  $\kappa$ -complete ultrafilter. Hence,  $\tilde{i}$  is finite iterated ultrapower of K. It follows, as in 4.5, that  $\delta$  is  $\kappa$  or one its images in this iteration.

#### Claim 3 $\delta \neq \kappa$ .

Proof. Suppose otherwise. Then W is normal. The iteration i starts with a normal measure  $U(\kappa,\xi)$ , for some  $\xi \leq \eta = o(\kappa) - 1$ , and  $W \supseteq U(\kappa,\xi)$ . But  $X \notin U(\kappa,\eta)$ , hence  $\xi < \eta$ . Recall that  $\eta$  is the first weak repeat point. So, there is  $A_{\xi} \in U(\kappa,\xi)$  which does not belong to any other  $U(\kappa,\xi')$  with  $\xi' \neq \xi$ . Then the forcing  $Q_{\kappa}$  adds a club C disjoint with  $A_{\xi}$ . Hence,  $C \in W$ , but also  $X \cap A_{\xi} \in U(\kappa,\xi) \subseteq W$ . Contradiction.

 $\Box$  of the claim.

So,  $\delta \neq \kappa$ . In addition,  $W \not\supseteq U(\kappa, \eta)$ . Hence there is  $\xi < \eta$  such that  $\delta$  is the critical point of the iteration at a step where an image of  $U(\kappa, \xi)$  was applied. Then  $W \supseteq U(\kappa, \xi)$ , but such possibility was already ruled out in the claim above. Hence we obtain a contradiction.

In order to finish the proof, we need to show that the set X as above remains stationary. Suppose otherwise. Then the forcing  $Q_{\kappa}$  over  $K[G(P_{\kappa})]$  adds a club C disjoint to X. Recall that  $Q_{\kappa}$  is a  $< \kappa$ -support iteration of forcings of cardinality  $\kappa$  of the length  $\kappa^+$ . So, there  $\beta < \kappa^+$  such that already  $Q_{\kappa} \upharpoonright \beta$  adds C.

Pick now  $\rho < \eta$  such that

- 1.  $X \in U(\kappa, \rho)$ ,
- 2. for every  $\rho', \rho \leq \rho' < \eta$ , for every  $Y \in U(\kappa, \rho')$  there is no forcing shooting a club through Y in the iteration  $Q_{\kappa} \upharpoonright \beta$ .

This is possible since  $cof(\eta) = \kappa^+$  and  $X \in U(\kappa, \zeta)$  for unboundedly many  $\zeta < \eta$ . But, the elementary embedding

$$i_{\rho}: K \to K_{\rho} \simeq K^{\kappa}/U(\kappa, \rho)$$

extends to an elementary embedding

$$i_{\rho}^{*}: K[G(P_{\kappa}) * (G(Q_{\kappa} \upharpoonright \rho)] \to K_{\eta}[G(P_{i_{\rho}(\kappa)} * G(Q_{i_{\rho}(\kappa)})]$$

as in Lemma 5.2. This is clearly impossible, since we will have that both

$$\kappa \in i_{\rho}(X) = i_{\rho}^*(X)$$
 and  $\kappa \in i_{\rho}^*(C)$ .

Contradiction. So, we are done.

Let us deal now with an other filter and extend the previous result to filters of the form  $Cub_{\kappa} \upharpoonright X$  where X is as in 5.1.

**Theorem 5.4** Suppose that there is a weak repeat point over  $\kappa$  in the core model. Then there is a cofinality preserving extension in which for every  $X \subseteq \kappa, X \in K$  stationary and consisting of regular cardinals, the filter  $Cub_{\kappa} \upharpoonright X$  extends to a  $\kappa$ -complete ultrafilter.

*Proof.* Let

$$\vec{U} = \langle U(\kappa, \alpha) \mid \alpha \le \eta \rangle$$

be a coherent sequence of measures over  $\kappa$  in K,  $o(\kappa) = \eta + 1$  and  $\eta$  is the least weak repeat point for  $\vec{U}$ . It is well known (see for example [2]) that then  $cof(\eta) = \kappa^+$  and for every  $X \in U(\kappa, \eta)$  the set

$$\{\xi < \eta \mid X \in U(\kappa, \xi)\}$$

is unbounded in  $\eta$ . Denote by  $\mathcal{G}_{\eta}$  the filter

$$\bigcap_{\alpha < \eta} U(\kappa, \alpha).$$

We have

$$\bigcap_{\alpha < \eta} U(\kappa, \alpha) = \bigcap_{\alpha \leq \eta} U(\kappa, \alpha) \text{ and } U(\kappa, \eta) \supseteq \bigcap_{\alpha \leq \eta} U(\kappa, \alpha)$$

since  $\eta$  is a weak repeat point.

Define a Backward Easton iteration

$$\langle P_{\alpha}, Q_{\beta} \mid \beta \leq \kappa, \alpha \leq \kappa + 1 \rangle.$$

Suppose that  $\alpha < \kappa + 1$  and  $P_{\alpha}$  is defined. Define  $Q_{\alpha}$ . Set  $Q_{\alpha}$  to be a trivial forcing unless  $o(\alpha) > 0$  is a limit ordinal.

Once  $o(\alpha) > 0$  and it is a limit ordinal, then let  $Q_{\alpha}$  be the less than  $\alpha$ -support iteration of the standard forcing notion for adding a club into  $X \cup Singular$ , for every  $X \subseteq \alpha$  such that

$$X \in \bigcap_{\beta < o(\alpha)} U(\alpha, \beta).$$

Let  $G(P_{\kappa}) * G(Q_{\kappa})$  be a generic subset of  $P_{\kappa} * Q_{\kappa}$ .

The proof of the next lemma is the same as those of 5.2.

**Lemma 5.5** The elementary embedding

$$i_{\eta}: K \to K_{\eta} \simeq K^{\kappa}/U(\kappa, \eta)$$

extends to an elementary embedding

$$i_{\eta}^*: K[G(P_{\kappa}) * G(Q_{\kappa})] \to K_{\eta}[G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})],$$

for some  $K_{\eta}$ -generic subsets  $G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})$  of  $i_{\eta}(P_{\kappa} * Q_{\kappa})$ .

Let  $\xi < \eta$ . Consider

$$i_{\eta\xi}: K \to K_{\eta,\xi} \simeq K^{\kappa^2}/U(\kappa,\eta) \times U(\kappa,\xi)$$

the elementary embedding corresponding to  $U(\kappa, \eta) \times U(\kappa, \xi)$ . It can be written also as

$$K \longrightarrow^{i_{\eta}} K_{\eta} \longrightarrow^{k_{\eta\xi}} K_{\eta,\xi},$$

where  $k_{\eta\xi}$  is the canonical embedding of  $K_{\eta}$  into its ultrapower by  $i_{\eta}(U(\kappa,\xi))$ .

Similar to 5.2, we have the following:

**Lemma 5.6** Let  $\xi < \eta$ . Then the elementary embedding

$$i_{\eta\xi}: K \to K_{\eta\xi}$$

extends to an elementary embedding

$$i_{\eta\xi}^*: K[G(P_{\kappa}) * G(Q_{\kappa})] \to K_{\eta\xi}[G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})]$$

for some  $K_{\eta\xi}$ -generic subsets  $G(P_{i_{\eta\xi}(\kappa)}) * G(Q_{i_{\eta\xi}(\kappa)})$  of  $i_{\eta\xi}(P_{\kappa} * Q_{\kappa})$ .

Let us argue that in the present situation also  $k_{\eta\xi}$ , and so, the all diagram extends.

**Lemma 5.7** Let  $\xi < \eta$ . Then the diagram

$$K \longrightarrow^{i_{\eta}} K_{\eta} \longrightarrow^{k_{\eta}\xi} K_{\eta,\xi}$$

extends to

$$K[G(P_{\kappa}) * G(Q_{\kappa})] \longrightarrow^{i_{\eta}^{*}} K_{\eta}[G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})] \longrightarrow^{k_{\eta\xi}^{*}} K_{\eta\xi}[G(P_{i_{\eta\xi}(\kappa)}) * G(Q_{i_{\eta\xi}(\kappa)})]$$

for some  $K_{\eta\xi}$ -generic subsets  $G(P_{i_{\eta\xi}(\kappa)}) * G(Q_{i_{\eta\xi}(\kappa)})$  of  $i_{\eta\xi}(P_{\kappa} * Q_{\kappa})$ .

*Proof.* The new point here is that the forcing  $Q_{i_{\eta}(\kappa)}$  used at  $i_{\eta}(\kappa)$  over  $K_{\eta}[G(P_{i_{\eta}(\kappa)}]$  shoots clubs only to sets which belong to

$$i_{\eta}(\mathcal{G}_{\eta}) = \bigcap_{\alpha < i_{\eta}(\eta)} U(i_{\eta}(\kappa), \alpha).$$

In particular, every subset of  $i_{\eta}(\kappa)$  into which  $Q_{i_{\eta}(\kappa)}$  shoots a club belongs to  $U(i_{\eta}(\kappa), i_{\eta}(\xi))$ . Also,

$$i_{\eta}(\kappa) \in k_{\eta\xi}(X)$$
 iff  $X \in U(i_{\eta}(\kappa), i_{\eta}(\xi)).$ 

Hence, we can add  $i_{\eta}(\kappa)$  to  $k_{\eta\xi}''C = C$  and keep it a condition in  $Q_{i_{\eta\xi}(\kappa)}$ , for every generic (i.e. in  $G(Q_{i_{\eta}(\kappa)})$ ) club  $C \subseteq i_{\eta}(\kappa)$ . So,  $k_{\eta\xi}$  extends as well as the diagram.  $\Box$  of the lemma. **Lemma 5.8** Let  $\xi < \eta$  and

$$i_{\eta\xi}^*: K[G(P_{\kappa}) * G(Q_{\kappa})] \to K_{\eta\xi}[G(P_{i_{\eta}(\kappa)} * G(Q_{i_{\eta}(\kappa)})],$$

be as in the previous lemma (5.7). Then for every club  $C \subseteq \kappa$  in  $K[G(P_{\kappa}) * G(Q_{\kappa})]$ , we have

$$i_{\eta}(\kappa) \in i^*_{\eta\xi}(C).$$

Proof. By Lemma 5.7,

$$i_{\eta\xi}^* = k_{\eta\xi}^* \circ i_{\eta}^*$$

The critical point of  $k_{\eta\xi}^*$  is  $i_{\eta}(\kappa)$  and  $i_{\eta}^*(C)$  is unbounded in  $i_{\eta}(\kappa)$ . Hence,

$$i_{\eta}(\kappa) \in k_{\eta\xi}^*(i_{\eta}^*(C)) = i_{\eta\xi}^*(C).$$

 $\Box$  of the lemma.

Suppose now that  $X \subseteq \kappa \cap Regular, X \in K$  is stationary in the extension. Then there is  $\xi < \eta$  such that  $X \in U(\kappa, \xi)$ . Hence,

$$\kappa \in i_{\xi}(X)$$
 and  $i_{\eta}(\kappa) \in i_{\eta\xi}(X)$ .

Also, if  $C \subseteq \kappa$  is a club, then, by Lemma 5.8,

$$i_{\eta}(\kappa) \in i^*_{\eta\xi}(C).$$

Consider now in  $K[G(P_{\kappa}) * G(Q_{\kappa})]$  the following  $\kappa$ -complete ultrafilter:

$$U_{\xi} := \{ Y \subseteq \kappa \mid i_{\eta}(\kappa) \in i_{\eta\xi}^*(Y) \}.$$

Then

$$Cub \upharpoonright X \subseteq U_{\xi}.$$

**Remark 5.9** It is possible to show that in  $K[G(P_{\kappa}) * G(Q_{\kappa})]$ ,

$$U^*(\kappa,\eta) := \{Y \subseteq \kappa \mid \kappa \in i^*_\eta(Y)\}$$

is the only normal measure and each  $U_{\xi}$ , with  $\xi < \eta$ , is a non-normal Q-point measure.

Let us now remove the restriction  $X \in K$  from the previous theorem.

**Theorem 5.10** Suppose that there is a weak repeat point over  $\kappa$  in the core model. Then there is a cofinality preserving extension in which for every stationary  $X \subseteq \kappa$  consisting of regular cardinals, the filter  $Cub_{\kappa} \upharpoonright X$  extends to a  $\kappa$ -complete ultrafilter.

*Proof.* We proceed as in 5.4. Let

$$\vec{U} = \langle U(\kappa, \alpha) \mid \alpha \le \eta \rangle$$

be a coherent sequence of measures over  $\kappa$  in K,  $o(\kappa) = \eta + 1$  and  $\eta$  is the least weak repeat point for  $\vec{U}$ . It is well known (see for example [2]) that then  $cof(\eta) = \kappa^+$  and for every  $X \in U(\kappa, \eta)$  the set

$$\{\xi < \eta \mid X \in U(\kappa, \xi)\}$$

is unbounded in  $\eta$ . Denote by  $\mathcal{G}_{\eta}$  the filter

$$\bigcap_{\alpha < \eta} U(\kappa, \alpha)$$

We have

$$\bigcap_{\alpha < \eta} U(\kappa, \alpha) = \bigcap_{\alpha \le \eta} U(\kappa, \alpha) \text{ and } U(\kappa, \eta) \supseteq \bigcap_{\alpha \le \eta} U(\kappa, \alpha),$$

since  $\eta$  is a weak repeat point.

Let us first continue further as in 5.4. So, we define a Backward Easton iteration

$$\langle P_{\alpha}, Q_{\beta} \mid \beta \leq \kappa, \alpha \leq \kappa + 1 \rangle.$$

Let  $G(P_{\kappa}) * G(Q_{\kappa})$  be a generic subset of  $P_{\kappa} * Q_{\kappa}$ .

Suppose now that  $X \subseteq \kappa$  is stationary in  $V[G(P_{\kappa}) * G(Q_{\kappa})]$  which consists of regular cardinals.

Consider first extensions of

$$i_{\eta}: V \to M_{\eta} \simeq V^{\kappa}/U(\kappa, \eta).$$

If there are condition  $p \in G(P_{\kappa}) * G(Q_{\kappa})$  and  $q \in i_{\eta}(P_{\kappa} * Q_{\kappa})/P_{\kappa} * Q_{\kappa}$  such that

$$(p, \underline{q}) \Vdash \kappa \in i_{\eta}(\underline{X}),$$

then X will belong to a normal ultrafilter which extends  $U(\kappa, \eta)$ .

Suppose that this is not the case.

Then, there is  $p \in G(P_{\kappa}) * G(Q_{\kappa})$  such that

$$(p, \underline{0}) \Vdash \eta \notin i_{\eta}(\underline{X}).$$

We can alter the name X of X such that for every  $\nu < \kappa$ , if a condition  $(s, \underline{t}) \in P_{\kappa+1}$  is incompatible with  $f(\nu)$ , then

$$(\check{\nu}, (s, \underbrace{t})) \notin X,$$

where f is a function which represents (p, 0) in  $M_{\eta}$ . So, using such name, we will have

$$0_{P_{i_{\eta}(\kappa)+1}} \Vdash \eta \notin i_{\eta}(X)$$

 $\operatorname{Set}$ 

$$Y_{\eta} = \{ \nu < \kappa \mid 0_{P_{\kappa+1}} \Vdash \nu \notin X \}.$$

Then  $Y_{\eta} \in U(\kappa, \eta)$  and, in  $V[G(P_{\kappa}) * G(Q_{\kappa})]$ ,

$$Y_{\eta} \cap X = \emptyset.$$

Now, let us do a similar thing for every  $\xi < \eta$ . Consider

$$i_{\eta\xi}: V \to M_{\eta,\xi} \simeq V^{\kappa^2} / U(\kappa,\eta) \times U(\kappa,\xi)$$

the elementary embedding corresponding to  $U(\kappa, \eta) \times U(\kappa, \xi)$ . It can be written as

$$V \longrightarrow^{i_{\eta}} M_{\eta} \longrightarrow^{k_{\eta\xi}} M_{\eta,\xi},$$

where  $k_{\eta\xi}$  is the canonical embedding of  $M_{\eta}$  into its ultrapower by  $i_{\eta}(U(\kappa,\xi))$ . By Lemma 5.6, the elementary embedding

$$i_{\eta\xi}: V \to M_{\eta\xi}$$

extends to an elementary embedding

$$i_{\eta\xi}^*: V[G(P_{\kappa}) * G(Q_{\kappa})] \to M_{\eta\xi}[G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})],$$

for some  $M_{\eta\xi}$ -generic subsets  $G(P_{i_{\eta\xi}(\kappa)}) * G(Q_{i_{\eta\xi}(\kappa)})$  of  $i_{\eta\xi}(P_{\kappa} * Q_{\kappa})$ . Also,  $k_{\eta\xi}$  extends to

$$k_{\eta\xi}^*: M_{\eta}[G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})] \to M_{\eta\xi}[G(P_{i_{\eta\xi}(\kappa)}) * G(Q_{i_{\eta\xi}(\kappa)})]$$

for some  $M_{\eta\xi}$ -generic subsets  $G(P_{i_{\eta\xi}(\kappa)}) * G(Q_{i_{\eta\xi}(\kappa)})$  of  $i_{\eta\xi}(P_{\kappa} * Q_{\kappa})$ . If there are condition  $p = (r, \underline{s}) \in G(P_{\kappa}) * G(Q_{\kappa})$  and  $\underline{q} \in i_{\eta\xi}(P_{\kappa} * Q_{\kappa})/P_{\kappa} * Q_{\kappa}$  which extends  $i_{\eta}'' \underline{s}$  and such that

$$(p, q) \Vdash i_{\eta}(\kappa) \in i_{\eta\xi}(X)$$

then X will belong to a normal ultrafilter which extends  $U(\kappa, \xi)$ . Suppose that it is not the case. Then there is a condition  $p = (r, \underline{s}) \in G(P_{\kappa}) * G(Q_{\kappa})$  such that for every  $\underline{q} \in i_{\eta\xi}(P_{\kappa} * Q_{\kappa})/P_{\kappa} * Q_{\kappa}$  which extends  $i_{\eta}'' \underline{s}$ , we have

$$(p, q) \Vdash i_{\eta}(\kappa) \notin i_{\eta\xi}(X).$$

Consider

$$i_{\xi}: V \to M_{\xi} \simeq V^{\kappa}/U(\kappa, \xi).$$

Claim In  $M_{\xi}$ ,

$$(p, \underline{0}) \Vdash \kappa \notin i_{\xi}(X).$$

*Proof.* Suppose otherwise. Then there is some  $t \in i_{\xi}(P_{\kappa} * Q_{\kappa})/P_{\kappa} * Q_{\kappa}, t \geq i_{\xi}'' s$  such that

 $(p, \underline{t}) \Vdash \kappa \in i_{\xi}(\underline{X}).$ 

We would like to use now the elementary embedding

$$\sigma_{\xi\eta}: M_{\xi} \to M_{\eta\xi}$$

which is defined as follows:

$$\sigma_{\xi\eta}(i_{\xi}(g)(\kappa)) = (i_{\eta\xi}(g))(i_{\eta}(\kappa)).$$

Apply  $\sigma_{\xi\eta}$  to (p, t). Then, by elementarity, in  $M_{\eta\xi}$ ,

$$(p, \sigma_{\xi\eta}(\underline{t})) \Vdash \kappa \in \sigma_{\xi\eta}(i_{\xi}(\underline{X})) = i_{\eta\xi}(\underline{X}).$$

The condition  $\underline{t} \geq i_{\xi}'' \underline{s}$  translates into  $\sigma_{\xi\eta}(\underline{t}) \geq i_{\eta}'' \underline{s}$ . But this is impossible. Contradiction.

 $\Box$  of the claim.

Now, as above with  $\eta$ , we can alter the name X and find  $Y_{\xi} \in U(\kappa, \xi)$  such that in  $V[G(P_{\kappa}) * G(Q_{\kappa})],$ 

$$Y_{\xi} \cap X = \emptyset.$$

Set  $Y = \bigcup_{\xi \leq \eta} Y_{\xi}$ . Then  $Y \cap X = \emptyset$  and for every  $\xi \leq \eta, Y \supseteq Y_{\xi} \in U(\kappa, \xi)$ . Hence, if  $Y \in V$  then a club was added to  $Y \cup Singular$ .

We have  $2^{\kappa} = \kappa^+$  and the forcing  $P_{\kappa+1}$  satisfies  $\kappa^+$ -c.c., hence, there is a sequence

$$\langle Z_{\xi} \mid \xi \le \eta \rangle \in V$$

such that  $Z_{\xi} \in U(\kappa, \xi)$  and  $|Z_{\xi} \cap X| < \kappa$ .

However this does not guarantee that there will be a set in  $\mathcal{F}_{\eta} = \bigcap_{\xi < \eta} U(\kappa, \xi)$  disjoint with X.

In order to deal with this problem, let us modify the forcing a bit: if at some stage of the iteration a set X as above appears, then let us force a club disjoint to it.

Such modified version shares the properties of the original forcing, but in the final extension there will be no stationary sets X as above and so for every stationary set S consisting of regular cardinals the filter  $Cub_{\kappa} \upharpoonright S$  extends to a  $\kappa$ -complete ultrafilter.

## 6 Forcing constructions-singular cardinals.

Let us extend now the previous results in order to include stationary sets consisting of singular ordinals as well.

**Theorem 6.1** Suppose that there is a weak repeat point over  $\kappa$  in the core model. Then there is cardinal preserving extension in which for every  $X \subseteq \kappa, X \in K$  stationary, the filter  $Cub_{\kappa} \upharpoonright X$  extends to a  $\kappa$ -complete ultrafilter.

Proof. Let

$$\vec{U} = \langle U(\nu, \alpha) \mid \nu \le \kappa, o(\nu) > 0, \alpha < o(\nu) \rangle$$

be a coherent sequence of measures in K. Assume that  $o(\kappa) = \eta + 1$  and  $\eta$  is the least weak repeat point for

$$\langle U(\kappa, \alpha) \mid \alpha \le \eta \rangle$$

Force with Easton iteration of Prikry-Magidor forcings and change cofinality of each  $\nu < \kappa$  such that  $o(\nu) > 0$  and  $cof(o(\nu)) < \nu^+$ . This way  $\nu$ 's below  $\kappa$  with  $cof(o(\nu)) = \nu^+$  remain measurable.

Let V = K and denote the generic extension above  $V_1 = V[G]$ . Fix an extension  $U_1(\kappa, \eta)$  of  $U(\kappa, \eta)$  in  $V_1$ . Let

$$i^1_\eta: V_1 = V[G] \to M^1_\eta = \tilde{M}_\eta[\tilde{G}]$$

be the corresponding embedding. Note that  $\tilde{M}_{\eta}$  is not  $M_{\eta}$ , but rather its iterated ultrapower. Consider the set  $\mathcal{R}_{\xi}$  of all possible extensions of  $U(\kappa, \xi)$  in  $M_{\eta}^{1}$  or equivalently in  $\tilde{M}_{\eta}[G]$ , for every  $\xi < \eta$ . Set

$$\mathcal{R}(\eta) = igcup_{\xi < \eta} \mathcal{R}_{\xi}$$

**Lemma 6.2** Let  $X \in U_1(\kappa, \eta)$ . Then  $X \in W$ , for some normal measure  $W \in \mathcal{R}(\eta)$ .

*Proof.* It is enough to proof the statement for sets of the form

$$X_p := \{ \nu < \kappa \mid p \upharpoonright \nu^{\frown} f_p(\nu) \in G \},\$$

where  $p \in \tilde{G}$  and  $f_p$  represents (mod  $U_1(\kappa, \eta)$ ) the part of p above  $\kappa$ . Clearly there are many  $W \in \mathcal{R}$  with  $X_p \in W$ .  $\Box$  of the lemma.

Define now over  $V_1$  a Backward Easton iteration

$$\langle P_{\alpha}, Q_{\beta} \mid \beta \leq \kappa, \alpha \leq \kappa + 1 \rangle.$$

Suppose that  $\alpha < \kappa + 1$  and  $P_{\alpha}$  is defined. Define  $Q_{\alpha}$ . Suppose first that  $\alpha < \kappa$ . Set  $Q_{\alpha}$  to be a trivial forcing unless in K,  $\operatorname{cof}(o(\alpha)) = \kappa^+$ .

Once it is, then let  $Q_{\alpha}$  be the less than  $\alpha$ -support iteration of the standard forcing notion for adding a club into X, for every  $X \subseteq \alpha$  such that

$$X \in \bigcap \mathcal{R}(\alpha),$$

where  $\mathcal{R}(\alpha)$  is is the intersection of all  $\alpha$ -complete ultrafilters over  $\alpha$  in  $V_1$ , i.e. of all extensions of  $U(\alpha, \beta), \beta < o(\alpha)$ .

Note that such  $Q_{\alpha}$  preserves cardinals (and cofinality), since we have here closed chunks of Magidor sequences of arbitrary length below  $\alpha$ .

If  $\alpha = \kappa$ , then let  $Q_{\alpha}$  be the less than  $\alpha$ -support iteration of the standard forcing notion for adding a club into X, for every  $X \subseteq \alpha$  such that

$$X \in \bigcap \mathcal{R}(\eta).$$

Again, such  $Q_{\kappa}$  preserves cardinals (and cofinality), since we have here closed chunks of Magidor sequences of arbitrary length below  $\kappa$ .

Let  $G(P_{\kappa}) * G(Q_{\kappa})$  be a generic subset of  $P_{\kappa} * Q_{\kappa}$ .

It is natural now to try to extend the elementary embedding

$$i_{\eta}^1: V_1 = V[G] \to M_{\eta}^1 = \tilde{M}_{\eta}[\tilde{G}].$$

However, the forcing  $Q_{\kappa}$  seems to have not enough closure for this. So, instead of dealing directly with  $i_{\eta}^{1}$ , let us choose an other embedding.

Consider in V the sequence

$$\langle U(\kappa,\beta) \mid \beta < \kappa^+ \rangle.$$

The first forcing turns it into a Rudin-Keisler increasing. More precisely, there is a sequence

$$\langle U_1(\kappa,\beta) \mid \beta < \kappa^+ \rangle$$

in  $V_1$  (i.e. before forcing clubs) of extensions which is a Rudin-Keisler increasing. Also, there is such a sequence consisting of elements of  $\mathcal{R}(\eta)$ . Let

$$\langle U_1(\kappa,\beta) \mid \beta < \kappa^+ \rangle$$

be such a sequence.

Consider now the following sequence

$$\langle U_1(\kappa,\eta) \times U_1(\kappa,\beta) \mid \beta < \kappa^+ \rangle.$$

It is still a Rudin-Keisler increasing. Let

$$i_{\eta}^*: V_1 \to M_{\eta}^*$$

be the corresponding embedding into its direct limit. Then  $M_{\eta}^*$  is closed under  $\kappa$ -sequences of its elements and its core model, which we denote by  $K_{\eta}^*$ , is a further iteration of  $\tilde{M}_{\eta}$  which uses measures from

$$i_n^1(\langle U_1(\kappa,\beta) \mid \beta < \kappa^+ \rangle).$$

We claim that the embedding  $i_{\eta}^*$  extends.

Lemma 6.3 The elementary embedding

$$i_{\eta}^*: V_1 \to M_{\eta}^*$$

extends to an elementary embedding

$$i_{\eta}^{**}: V_1[G(P_{\kappa}) * G(Q_{\kappa})] \to M_{\eta}^*[G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})],$$

for some  $M_{\eta}^*$ -generic subsets  $G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})$  of  $i_{\eta}^*(P_{\kappa} * Q_{\kappa})$ .

*Proof.* The proof is rather standard and similar to those of Lemma 5.5. The new point here is to use the critical points measures

$$i_{\eta}^{1}(\langle U_{1}(\kappa,\beta) \mid \beta < \kappa^{+} \rangle)$$

in order to proceed  $\kappa^+$ -many steps in the process of constructing of a master condition sequence.

 $\Box$  of the lemma.

Let  $\xi < \eta$ . Consider

$$i_{\eta\xi}: K \to K_{\eta,\xi} \simeq K^{\kappa^2}/U(\kappa,\eta) \times U(\kappa,\xi)$$

the elementary embedding corresponding to  $U(\kappa, \eta) \times U(\kappa, \xi)$ . It can be written also as

$$K \longrightarrow^{i_{\eta}} K_{\eta} \longrightarrow^{k_{\eta\xi}} K_{\eta,\xi},$$

where  $k_{\eta\xi}$  is the canonical embedding of  $K_{\eta}$  into its ultrapower by  $i_{\eta}(U(\kappa,\xi))$ .

Now, instead of extending this diagram directly, as in 5.4, let us add a Rudin -Keisler increasing sequences of the length  $\kappa^+$  to both  $\eta$  and  $\xi$ .

Proceed as follows. Let  $U_1(\kappa,\xi)$  be an extension in  $V_1$  of  $U(\kappa,\xi)$  which belongs to  $\mathcal{R}(\eta)$ . Let

$$i^1_{\xi}: V_1 = V[G] \to M^1_{\xi} = \tilde{M}_{\xi}[\tilde{G}_{\xi}]$$

be the corresponding elementary embedding. Let

$$\langle U_1(\kappa,\beta) \mid \beta < \kappa^+ \rangle$$

be as above. We will use

$$\langle U_1(\kappa,\eta) \times U_1(\kappa,\beta) \mid \beta < \kappa^+ \rangle,$$

its elementary embedding

$$i^*_\eta: V_1 \to M^*_\eta$$

and an extension

$$i_{\eta}^{**}: V_1[G(P_{\kappa}) * G(Q_{\kappa})] \to M_{\eta}^*[G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta}(\kappa)})]$$

given by Lemma 6.3.

Add  $U_1(\kappa,\xi)$  in the following fashion. Consider

$$\langle U_1(\kappa,\eta) \times U_1(\kappa,\beta) \times U_1(\kappa,\xi) \times U_1(\kappa,\beta) \mid \beta < \kappa^+ \rangle.$$

It is still Rudin-Keisler increasing. Let

$$i_{\eta\xi}^*: V_1 \to M_{\eta\xi}^*$$

be its elementary embedding into the direct limit.

It can be written also as

$$V_1 \longrightarrow^{i^*_{\eta}} M^*_{\eta} \longrightarrow^{k^*_{\eta\xi}} M^*_{\eta\xi},$$

where  $k_{\eta\xi}^*$  is the canonical embedding of  $M_{\eta}^*$  into its ultrapower by the system

$$i_{\eta}^{*}(\langle U_{1}(\kappa,\xi) \times U_{1}(\kappa,\beta) \mid \beta < \kappa^{+} \rangle).$$

Then the following analog of Lemma 5.7 holds:

**Lemma 6.4** Let  $\xi < \eta$ . Then the diagram

$$V_1 \longrightarrow^{i_\eta^*} M_\eta^* \longrightarrow^{k_{\eta\xi}^*} M_{\eta,\xi}^*$$

extends to

$$V_1[G(P_{\kappa}) * G(Q_{\kappa})] \longrightarrow^{i_{\eta^*}^{**}} M_{\eta}^*[G(P_{i_{\eta}(\kappa)}) * G(Q_{i_{\eta^*}(\kappa)})] \longrightarrow^{k_{\eta^*}^{**}} M_{\eta\xi}^*[G(P_{i_{\eta\xi}(\kappa)}) * G(Q_{i_{\eta\xi}(\kappa)})],$$

for some  $M^*_{\eta\xi}$ -generic subsets  $G(P_{i^*_{\eta\xi}(\kappa)}) * G(Q_{i^*_{\eta\xi}(\kappa)})$  of  $i^*_{\eta\xi}(P_{\kappa} * Q_{\kappa})$ .

*Proof.* The proof just combines the arguments of 5.7 and 6.3.  $\Box$  of the lemma.

**Lemma 6.5** Let  $\xi < \eta$  and

$$i_{\eta\xi}^{**}: V_1[G(P_\kappa) * G(Q_\kappa)] \to M_{\eta\xi}^*[G(P_{i_\eta(\kappa)} * G(Q_{i_\eta(\kappa)})]$$

be as in the previous lemma (6.4). Then for every club  $C \subseteq \kappa$  in  $V_1[G(P_{\kappa}) * G(Q_{\kappa})]$ , we have

$$i^*_{\eta}(\kappa) \in i^{**}_{\eta\xi}(C).$$

*Proof.* By Lemma 6.4,

$$i_{\eta\xi}^{**} = k_{\eta\xi}^{**} \circ i_{\eta}^{**}.$$

The critical point of  $k_{\eta\xi}^{**}$  is  $i_{\eta}^{*}(\kappa)$  and  $i_{\eta}^{**}(C)$  is unbounded in  $i_{\eta}^{*}(\kappa)$ . Hence,

$$i_{\eta}^{*}(\kappa) \in k_{\eta\xi}^{**}(i_{\eta}^{**}(C)) = i_{\eta\xi}^{**}(C).$$

 $\Box$  of the lemma.

Suppose now that  $X \subseteq \kappa, X \in K$  is stationary in the final extension  $V_1[G(P_{\kappa}) * G(Q_{\kappa})]$ . Then there is  $\xi < \eta$  such that  $X \in U(\kappa, \xi)$ . Hence,

$$\kappa \in i_{\xi}(X) \text{ and } i^*_{\eta}(\kappa) \in i^{**}_{\eta\xi}(X).$$

Also, if  $C \subseteq \kappa$  is a club, then, by Lemma 6.5,

$$i_{\eta}^*(\kappa) \in i_{\eta\xi}^{**}(C).$$

Consider now in  $V_1[G(P_{\kappa}) * G(Q_{\kappa})]$  the following  $\kappa$ -complete ultrafilter:

$$U_{\xi} := \{ Y \subseteq \kappa \mid i_{\eta}^*(\kappa) \in i_{\eta\xi}^{**}(Y) \}.$$

Then

 $Cub \upharpoonright X \subseteq U_{\xi}.$ 

In order to deal with arbitrary stationary sets which may be not in K, combine the previous construction (6.1) with one of 5.10. We obtain the following:

**Theorem 6.6** Suppose that there is a weak repeat point over  $\kappa$  in the core model. Then there is cardinal preserving extension in which for every  $X \subseteq \kappa$  stationary, the filter  $Cub_{\kappa} \upharpoonright X$  extends to a  $\kappa$ -complete ultrafilter.

# 7 Open problems.

Let us conclude with the following questions.

**Question 1.** What is the exact consistency strength of a  $\kappa$ -compact cardinal  $\kappa$ ? We think that it should be somewhere beyond a superstrong.

**Question 2.** What is the exact consistency strength of the following statement: every normal  $\kappa$ -complete filter over a cardinal  $\kappa$  extends to a  $\kappa$ -complete ultrafilter?

By previous results at least a weak repeat is needed. But may be the upper bound is below  $o(\kappa) = \kappa^{++}$ ?

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